

Regulatory Circular RG99-209

To: Members and Associated Persons
From: Office of the Secretary
Date: November 11, 1999
Re: Campaign Materials

Members and associated persons of members are advised that creation or distribution of unsigned, defamatory, false, or other inappropriate election or campaign materials may be viewed as engaging in conduct inconsistent with just and equitable principles of trade in violation of CBOE Rule 4.1. Violation of this Rule could lead to Exchange disciplinary proceedings or other Exchange action.

If you have any questions, contact the Office of the Secretary at (312) 786-7483.