



400 S. LaSalle Street  
Chicago, Illinois 60605

Regulatory Circular 97-47

Date: April 21, 1997  
To: Members and Member Firms  
From: Market Operations  
Re: SEC Order Handling Rules

The SEC has announced the implementation schedule for the next 550 Nasdaq-listed issues to be covered by the new Order Handling Rules. For the next 4 months, 50 securities per date will be phased-in based on the following schedule:

Monday, April 21  
Monday, April 28  
Monday, May 5  
Monday, May 12  
Monday, May 19  
Tuesday, May 27  
Monday, June 2  
Monday, June 9  
Monday, June 30  
Monday, July 7  
Monday, July 28

In advance of each phase-in date, Nasdaq will distribute the list of securities to be covered. Of the 50 Nasdaq stocks implemented with the SEC order handling rules today, April 21, the CBOE list is comprised of the following 18 classes:

<u>SYMBOL</u>	<u>COMPANY NAME</u>	<u>CBOE SYMBOL / LOCATION</u>	<u>LEAPS</u> (none)
AVEI	Arterial Vascular Engineering	GQZ	P9 S5
DURI	Duriron Company	UQE	P1 S3
GART	Gartner Group	GQT	P10S1
GLFD	Guilford Pharmaceuticals	GQF	P9 S5
MATX	Matrix Pharmaceutical	QTA	P10S4
MCSY	Medic Computer Systems	YQM	P6 S8
MCHP	Microchip Technology	QMT/QMZ	P1 S3
NTRS	Northern Trust Corporation	NRQ	P2 S8
ZEUS	Olympic Steel	ZQU	P10S3
PBCT	People's Bank	PQY	P10S4
PRMA	Primadonna Resorts	PQR	P3 S9
PDLI	Protein Design Labs	PQI	P5 S8
RWIN	Republic Industries	WQR	P6 S1
SCHL	Scholastic Corporation	NHQ	P5 S7
SMTG	Somatogen	GNQ	P2 S8
SWFT	Swift Transportation	FQW	P1 S3
TTEC	TeleTech Holdings	QTC	P2S10
UTEK	Ultratech Stepper	UQT	P1 S3

Questions concerning the SEC Order Handling Rules may be directed to Edward L. Provost, Senior Vice President at 312-786-7717. Questions regarding autoquote parameters should be directed to Tim Watkins at 312-786-7172.