



400 S. LaSalle Street
Chicago, Illinois 60605

Date: September 22, 1997

Regulatory Circular 97- 122

To: Members and Member Firms

From: Market Operations

Re: Next 850 Nasdaq Stocks Subject to New Order Handling Rules

Effective Monday, September 22, 1997, the 850 Nasdaq stocks on the attached list will become subject to the new SEC Order Handling Rules. [The list of Nasdaq stocks is available from Mitch Sadus]. The phase-in dates for the remaining stocks are as follows:

| <u>Date</u> | <u>Number of Stocks Phased-in</u> |
|------------------|-----------------------------------|
| Monday, 9/29/97 | 850 |
| Monday, 10/6/97 | 850 |
| Monday, 10/13/97 | 930 |

For questions regarding SEC Order Handling Rules, please refer to Regulatory Circular RG 97-22, dated February 21, 1997 or contact Edward L. Provost, Senior Vice-President at 312-786-7717. For questions regarding autoquote parameters please contact Tim Watkins at 312-786-7172 or Mitch Sadus at 312-786-7152.