



Cboe Options Regulatory Circular RG18-040

Date: October 26, 2018

To: Trading Permit Holders

From: Regulatory Division

RE: On-Floor and Off-Floor Position Transfers
Effective Date of Recently Approved Changes to Rule 6.49A Delayed Pending SEC Review

This Regulatory circular updates/replaces Cboe Options Regulatory Circular 18-039

Cboe Exchange, Inc. ("Cboe Options" or the "Exchange") is issuing this Regulatory Circular to advise Trading Permit Holders that the Securities and Exchange Commission ("SEC") has provided notification (available [here](#)) that the SEC has received a [notice of intention to petition for review](#) the recently approved changes to Rule 6.49A (see [Approval Order](#) for Rule Filing [SR-Cboe-2018-060](#)), and that the approval is stayed until the SEC orders otherwise. As a result of the stay, on-floor and off-floor position transfers will be subject to the version of Rule 6.49A that existed prior to the SEC's approval of SR-Cboe-2018-060 until further notice. Please consult the [Cboe Options Rulebook](#) for the complete text of Rule 6.49A.

Additional Information

Any further questions may be directed to Regulatory Interpretations at RegInterps@cboe.com or (312) 786-8141.