

Cboe Options Regulatory Circular RG17-167 C2 Options Regulatory Circular RG17-068

Date: November 22, 2017

To: Choe Options and C2 Options Trading Permit Holders

From: Regulatory Division

RE: November 29, 2017 TPH Information Session

This circular updates Cboe Options Regulatory Circular RG17-132 and C2 Options Regulatory Circular RG17-054

On Wednesday, November 29, 2017, the Regulatory Division will host an informal meeting for Trading Permit Holders and their compliance personnel.

November 29, 2017 CBOE 4th Floor Auditorium 3:30 p.m. (CT)

Staff from the Financial Industry Regulatory Authority, Inc. ("FINRA") Floor Surveillance Unit will be on hand at the meeting to provide an overview of the on-floor regulatory support functions they perform. In addition, there will be discussion on current Cboe Options order systematization and audit trail timestamp requirements (e.g., represent, trade, and trade report time), as well as an overview of certain recent Cboe Options and C2 Options rule filings with a regulatory compliance impact.

Additional Information

Please contact Regulatory Interpretations at (312) 786-8141 or reginterps@cboe.com with any questions for the session or suggestions on meeting topics. Questions and suggestions may also be submitted anonymously via the TPH Hotline at (312) 786-8600.

If you would like to attend the session by phone, please contact Regulatory Interpretations by November 27, 2017 to register for dial-in information.