

CBOE Regulatory Circular RG17-093

Date: June 29, 2017

To: Trading Permit Holders

From: Regulatory Division

RE: Hotline for Certain CBOE Report Cards

On behalf of Chicago Board Options Exchange, Incorporated ("CBOE"), FINRA Market Regulation Staff ("FINRA Staff") has established a dedicated hotline and email address for CBOE Trading Permit Holders ("TPHs") to submit questions and comments to FINRA Staff regarding exception information disseminated in FINRA's Report Center Application ("Report Center") for the following report cards:

- CBOE - Priority Review Report Card
- CBOE - Consolidated Options Audit Trail Report Card

The hotline, which will be operational July 5, 2017, may be used by TPHs to request clarification of exception data, to submit potentially mitigating information related to exceptions, and to provide feedback regarding potential improvements to the reports. The hotline phone number is (312) 230-5200. Questions and comments can also be sent via email to FINRAOptionsHotline@finra.org.

Additional Information

TPHs interested in meeting with FINRA Staff and CBOE Regulatory Staff regarding these reports are encouraged to request a meeting by sending an email to FINRAOptionsHotline@finra.org. FINRA Staff will attempt to provide feedback to the TPHs in a timely manner. Additionally, questions may be directed to the CBOE Regulatory Interpretations and Guidance team at reginterps@cboe.com or (312) 786-8141.