

CBOE Regulatory Circular RG17-080

Date: June 6, 2017

To: Trading Permit Holders

From: Business Development Division

RE: CTM Terminal Enhancement

This circular updates circular RG17-072

The enhancement to the CTM terminal that will require the designation of a “Reason Code” when a trade record is added or deleted, or if one of the following fields is changed (pursuant to Rule 6.67) as originally announced in circular RG17-072 has been delayed. The activation of the enhancement will be announced via circular prior to implementation.

- 1) Series (including, for example, the class symbol, expiration date, or strike price);
- 2) Quantity;¹
- 3) Buy or Sell;
- 4) Premium Price; and/or
- 5) Origin Code (if changing from origin code “C” to any other origin code)

Additional Information

Operational questions may be directed to CBOE Help Desk at (312) 786-7914. Regulatory questions regarding this circular may be directed to the Regulatory Interpretations and Guidance team at reginterps@cboe.com or (312) 786-8141.

¹ Users must designate a reason code for changes which result in a difference between the original and updated cumulative trade quantity. Post-trade account/CMTA re-allocations which do not result in a change to the cumulative trade quantity do not require a reason code.