

Regulatory Circular RG16-156

Date: September 27, 2016
To: Trading Permit Holders
From: Regulatory Division
RE: Mandatory Workplace Anti-Harassment Training

Pursuant to CBOE Rule 6.20(e), Trading Permit Holders (TPHs) and persons associated with TPHs are required to attend trainings and educational classes as the Exchange may require from time to time.

Beginning October 17, 2016, the Exchange will hold a series of mandatory workplace anti-harassment trainings for all TPHs and associated persons with access to the trading floor. **Each TPH and associated person with access to the trading floor must register for and attend one of the following training sessions:**¹

Date	Time	Location
October 17, 2016 (M)	3:30 pm	4 th Floor Auditorium - CBOE
October 18, 2016 (T)	3:30 pm	4 th Floor Auditorium – CBOE
October 19, 2016 (W)	7:15 am	4 th Floor Auditorium - CBOE
October 19, 2016 (W)	3:30 pm	4 th Floor Auditorium – CBOE
October 20, 2016 (Th)	7:15 am	4 th Floor Auditorium - CBOE
October 20, 2016 (Th)	3:30 pm	4 th Floor Auditorium - CBOE
October 21, 2016 (F)	7:15 am	4 th Floor Auditorium - CBOE
October 24, 2016 (M)	3:30 pm	4 th Floor Auditorium - CBOE
October 25, 2016 (T)	7:15 am	4 th Floor Auditorium - CBOE
October 25, 2016 (T)	3:30 pm	4 th Floor Auditorium – CBOE

To register for a morning training session, please go to:
<http://www.cboe.com/learncenter/seminar/viewseminar-tph.aspx?SeminarId=129>.

To register for an afternoon training session, please go to:
<http://www.cboe.com/learncenter/seminar/viewseminar-tph.aspx?SeminarId=128>.

Once you have reached the appropriate site, you will be asked to sign into your myCBOE account. If you do not already have a myCBOE account, you can register for one at that time. Registration for each session is available on a first come, first served basis. Space in each training session is limited to 90 persons.

¹ Alternative accommodations for persons who are unable to attend any of the scheduled training sessions due to extenuating circumstances will only be granted upon the request of a TPH organization's Chief Compliance Officer on behalf of the TPH or associated person. The Exchange reserves discretion to deny any such request.



Please note that failure to attend an Exchange mandated training or educational class may subject a TPH or person associated with a TPH to sanctions pursuant to the Exchange's Minor Rule Violation Plan under Rule 17.50 and/or further disciplinary action under Chapter XVII of the Rules, including, but not limited to revocation of a TPH's or associated person's access to the trading floor.

Additional Information

For questions, please contact the CBOE Regulatory Interpretations and Guidance team at (312) 786-8141 or RegInterps@cboe.com.