

## Regulatory Circular RG16-056

**Date:** March 22, 2016

**To:** Trading Permit Holders

**From:** Registration Services Department (RSD) and Market-Maker and DPM Administration (MDA) Department

**RE:** Introduction of Market Access Portal (MAP) Permit Management Interface

---

In the 2<sup>nd</sup> Quarter of 2016, CBOE intends to introduce new functionality to the online appointment system (referred to as MPPI) and will rename the site Market Access Portal (MAP). Specifically, the new functionality will allow Trading Permit Holders (TPHs) to perform a variety of registration processes that are currently handled manually and through the use of forms by the Registration Services Department. As of the launch, MAP will offer the following features in addition to what is currently offered via the current MPPI system.

### MAP Information

Trading Permit Management Home Page displaying:

- ▶ Total Number of Trading Permit Users
- ▶ Current Trading Permit and Parking Spaces Assignments
- ▶ Current Bandwidth Packets Allocated and Allowed
- ▶ Direct Owners of the TPH

Logins Page displaying:

- ▶ Primary and Secondary Login Information

Permits Page displaying:

- ▶ Parking Space Maintenance
  - View current Parking Space permit assignments
  - View pending Parking Space Requests
- ▶ Trading Permit Maintenance
  - View current Trading Permit assignments
  - View pending Trading Permit Requests

### MAP Actions

Nominee Changes Page displaying:

- ▶ Nominee Rotation (Permit Swap)
  - Process a Full Rotation, Activation or Inactivation Only as an immediate transaction or for a future date.
- ▶ Market Maker Trading Permit Transfer
  - Process a Trading Permit Transfer between two (2) effective nominees of the same TPH as an immediate transaction or for a future date.

Permits Page displaying:

- ▶ Parking Space Maintenance
  - Add or Remove a Parking Space(s)
- ▶ Trading Permit Maintenance
  - Submit request to Add or Remove a Trading Permit(s)
  - Assign User to Trading Permit

Bandwidth Page

- ▶ Bandwidth Maintenance
  - Submit request to purchase bandwidth packet(s)
  - Reset bandwidth packet(s) rate from 1 second to 5 seconds.

- Bandwidth Allocation changes for Extended Trading Hours (ETH)

### Future Releases

It is expected that future releases of the MAP site will include the following:

- ▶ Submit request to Add or Remove ETH Trading Permit(s)
- ▶ Online Request Form Submission for Appointment/Bandwidth access, BestEx, CFLEX Login, CTMi, Hardware, Logins, Market Replay, MPID's, Nominee, Activation/Termination, TPH Site, and WBS.
- ▶ Daily Permit Holder Status Change Report by Clearing TPH

### MAP Access and Roles

To obtain access to MAP, please complete the MAP Request Form at <https://www.cboe.org/publish/tphforms/c1-map-access-form.pdf>. Please note that in order to have the MAP system enabled, users must provide their public IP address on the form. To locate this address go to <http://www.cboe.com/whatsmyip>. For those who currently have access to the online appointments system and would like to have access to these added features, the MAP request form must also be submitted.

The following are new roles available for MAP access:

- ▶ TPH Authorized Signatory - access to all available features
- ▶ TPH User – access to Home Page, Logins and Nominee Changes
- ▶ Clearing Trading Permit Holder (CTPH) Authorized Signatory - access to all available features
- ▶ CTPH User - access to Home Page, Logins and Nominee Changes

### Information Session and Online Tutorials

It is expected that by the 4<sup>th</sup> Quarter of 2016 MAP will be implemented, have replaced MPPI, and all available functionality will be required to be completed through the MAP site. This will mean all forms and processes available on MAP will no longer be completed manually by RSD. This will require TPH staff to become fluent with the MAP platform so they can efficiently make the changes currently done through RSD.

To assist the TPH's, we will host information sessions in the CBOE 4<sup>th</sup> Floor Auditorium on the following dates and times (all times are CT):

Tuesday, April 5, 2016 at 3:30 PM for Firms A - H  
Thursday, April 7, 2016 at 3:30 PM for Firms Q - Z

At least two (2) representatives for each CBOE Trading Permit Holder organization are encouraged to participate. The online tutorials will be available at a later date.

### Additional Information

Please direct questions as follows:

Registration Services Department  
Rich Fuller, Director (312) 786-7835 [fuller@cboe.com](mailto:fuller@cboe.com) or  
Nycole Rodriguez, Analyst (312) 786-7451 [rodrigu3@cboe.com](mailto:rodrigu3@cboe.com)

Market Maker and DPM Administration  
Allison Kile, Director (312) 786-7210 [kilea@cboe.com](mailto:kilea@cboe.com)