

## **CBOE Regulatory Circular RG15-101 C2 Regulatory Circular RG15-031**

**DATE:** July 8, 2015

TO: CBOE and C2 Trading Permit Holders

FROM: Regulatory Division

RE: Regulatory Oversight Information Session

On Wednesday, July 15, 2015, Chicago Board Options Exchange, Incorporated ("CBOE") and C2 Options Exchange, Incorporated ("C2"), will host an informal meeting for compliance personnel of CBOE/C2 Trading Permit Holders ("TPHs") with CBOE Regulatory Division and Financial Industry Regulatory Authority ("FINRA") staff.

The session will include an introduction to the Trading and Compliance Examination regulatory program as well as an update on certain options market regulation topics.

## **Regulatory Oversight Information Session**

July 15, 2015 CBOE 4<sup>th</sup> Floor Auditorium 3:30 – 5:00 pm (CT)

## **Additional Information**

Please note that space is limited. Contact the CBOE Regulatory Interpretations and Guidance team at (312) 786-8141 or <a href="RegInterps@cboe.com">RegInterps@cboe.com</a> to register. A conference call bridge will be available for TPHs that are unable to attend in person.