

Regulatory Circular RG15-032

Date: February 27, 2015

To: Trading Permit Holders
From: CBOE Help Desk
Registration Services Department
RE: Give Up of Clearing Trading Permit Holders for Extended Trading Hours

The following is a list of Clearing Trading Permit Holders (CTPHs), and OCC numbers, that are available for give up upon the launch of Extended Trading Hours (ETH). Further updates will be posted on the ETH webpage from time to time. The list is located at <https://www.cboe.org/members/generalinfo/memberforms.aspx>.

- ABN AMRO Clearing Chicago LLC (008, 330, 396, 541, 695, 813, 829)
- Automated Trading Desk Financial Services LLC (411)
- Goldman Sachs Execution & Clearing LP (009, 105, 501)
- Instinet LLC (067)
- JP Morgan Clearing Corp (352)
- Merrill Lynch Pierce Fenner & Smith Inc (161, 792)
- Merrill Lynch Professional Clearing Corp (551, 552, 598, 847)
- Timber Hill LLC (477, 549, 611)

How to Identify Designated Give Ups

To designate a "Designated Give Up", a non-Market-Maker Trading Permit Holder (TPH) must submit an ETH Notification of Identified Designated Give-Ups form (Notification Form). The Notification Form is located at <https://www.cboe.org/publish/TPHForms/ETHNotificationofDesignatedGiveUps.pdf>. The form is to be submitted to giveups@cboe.com and must be signed by an authorized signatory of the TPH. CBOE will process the Notification Forms and enable Designated Give Ups and Guarantors for submitting TPHs on a rolling basis. To ensure that CBOE has enabled all identified Designated Give Ups and Guarantors for each non-Market-Maker TPH, **TPHs must submit their initial Notification Form by 4:00 pm today, February 27.**

Notification to Clearing Trading Permit Holders

CBOE will notify a Clearing Trading Permit Holder (CTPH), in writing and as soon as practicable, of each TPH that has identified the CTPH as a Designated Give Up. The notification will be sent to those individuals authorized to receive these notifications, which are currently on file with CBOE. Should a CTPH wish to change the individual(s) receiving notifications, the CTPH must submit an Authorized Designated Give Up Contact form, which is located at <https://www.cboe.org/publish/TPHForms/CTPHAuthorizedDesignatedGiveUpContactForm.pdf>.

Additional Information

Please see rule filing [SR-CBOE-2014-048](#) for additional information. Questions regarding the operational matters related to this circular may be directed to the CBOE Help Desk at (866) 728-2263 or helpdesk@cboe.com. Questions regarding the submission of the Notification Form may be directed to the Registration Services Department at (312) 786-7449 or registration@cboe.com. Regulatory questions regarding this circular may be directed to the Regulatory Interpretations and Guidance team at reginterps@cboe.com or (312) 786-8141.