



EXECUTE SUCCESSSM

Regulatory Circular RG14-164

Date: November 19, 2014

To: Trading Permit Holders

From: Market Operations Department

RE: Trading Restrictions Lifted for Transactions in Adjusted Geron Corporation (GERN1) Options
Effective October 8, 2014

Replaces CBOE Regulatory Circular RG14-116

On July 22, 2014 Geron Corporation (GERN and adjusted symbol GERN1) options were adjusted to reflect a distribution of shares of Asterias Biotherapeutics, Inc. Series A (ASTY). On October 8, 2014, Asterias Biotherapeutics, Inc. Series A (ASTY) moved its common stock listing from the Other-OTC Market to the NYSE MKT LCC and changed its stock symbol to AST. Therefore, Geron Corporation (adjusted symbol GERN1) options are no longer subject to the trading restrictions previously announced in CBOE Regulatory Circular RG14-116.

Any questions regarding this circular may be directed to Kerry Winters at helpdesk@cboe.com and (312) 786-7312 or the Regulatory Interpretations and Guidance team at RegInterps@cboe.com and by telephone at (312) 786-8141.