



EXECUTE SUCCESSSM

Regulatory Circular RG14-154 C2 Regulatory Circular RG14-043

Date: October 31, 2014

To: Trading Permit Holders

From: Regulatory Services Division

RE: Delayed Regulatory Request Data Submissions Format

This circular updates CBOE Regulatory Circular RG14-139 and C2 Regulatory Circular RG14-040

On October 1, 2014, the Chicago Board Options Exchange, Incorporated and C2 Options Exchange, Incorporated (the “Exchanges”) issued regulatory circulars CBOE RG14-139 and C2 RG14-040 pursuant to Rule 17.2, Interpretation and Policy .04¹, defining the uniform file structures and formats for the submission of data in relation to an investigation, examination or other regulatory request (“regulatory review”).

The Exchanges have determined to delay the January 5, 2015 compliance date. Any new compliance date and any changes to the types of data required and/or the uniform file structures and formats will be announced via regulatory circular or rule filing in the near future.

Any questions may be submitted to the Regulatory Interpretations Guidance Team via email at reginterps@cboe.com.

¹ C2 Options Exchange, Incorporated (“C2”) Chapter 17 incorporates CBOE’s Chapter XVII into the C2 Rules.