



EXECUTE SUCCESSSM

Regulatory Circular RG14-143

Date: October 13, 2014

To: Trading Permit Holders

From: Business Development Division

RE: Complex Order Auction (COA) Origin Code Eligibility

Effective October 14, 2014, Complex Order Auction (COA) eligibility for incoming orders will be limited to non-broker-dealer public customer orders (origin code 'C') and professional customer orders (origin code 'W'). All other COA-eligibility requirements for inbound orders are unchanged. Orders not eligible for COA upon initial receipt will otherwise follow normal routing and may continue to be eligible to be auctioned from PAR, rest in the Complex Order Book (COB), auction once resting in the COB, execute against resting COB orders and may be routed for open outcry handling.

Additional Information:

Please contact the CBOE Help Desk at helpdesk@cboe.com or (866) 728-2263 for additional information.