

Regulatory Circular RG13-110

Date: August 7, 2013

To: Trading Permit Holders

From: API Group

RE: Connectivity to the CBOE Back-up Data Center

This circular replaces CBOE Regulatory Circular RG12-163 which was issued on November 30, 2012. As announced in RG12-163, the primary data center for CBOE relocated to the Equinix NY4 Data Center in Secaucus, New Jersey. The circular also announced the Exchange's expectation that Trading Permit Holders (TPHs) maintain connectivity to the back-up data center in the Exchange's Chicago building.

This circular sets forth which TPHs need to maintain connectivity to the Exchange back-up data center in Chicago. More specifically, the Exchange has determined that, pursuant to Rule 6.18, the following TPH categories are required to connect to the back-up data center:

- All Market-Makers in option classes exclusively listed on CBOE¹ who are streaming quotes in such classes;
- All Designated Primary Market-Makers (DPMs) in multiply listed option classes; and
- All TPHs connected to the CBOE primary data center and transacting non-TPH customer business unless a TPH can demonstrate ready access to the back-up data center through another TPH connected to the back-up data center.

The Exchange notes that all other TPHs are encouraged to maintain connectivity to the CBOE back-up data center and may connect at their discretion.

Additional Information:

Please contact the API Group at (312) 786-7300 for connectivity questions or additional information.

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¹ "Exclusively listed by the Exchange" means an option that is listed exclusively by the Exchange because the Exchange has an exclusive license to use, or has proprietary rights in, the interest underlying the option.