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## Regulatory Circular RG13-099

**Date:** July 15, 2013

**To:** Trading Permit Holders

**From:** Regulatory Services Division

**RE:** Format Change to Market-Maker Equity Trade (MMET) and Market-Maker Stock Position (MMSTK) Files

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This circular updates Regulatory Circulars RG13-050 and RG13-078. Regulatory Circular RG13-050 announced a format change to the Market-Maker Equity Trade (MMET) and Market-Maker Stock Position (MMSTK) data files that are submitted on a daily basis and a June 28, 2013 deadline to submit the data files in the new format. Regulatory Circular RG13-079 extended the deadline to July 26, 2013. Regulatory Circular RG13-079 also noted that the Exchange intends to submit a proposed rule change filing that will, among other things, clarify the accounts for which the "Proprietary Trading" firm reporting requirement is intended to apply. As a result, the deadline for the Proprietary Trading firm reporting requirement was extended to the later of July 26, 2013 or the date provided through the rule change filing.

The purpose of this circular is to advise Trading Permit Holders that both of the July 26, 2013 deadlines to submit the data files in the new format have been suspended until further notice. In the interim, firms should continue to submit data files on a daily basis in the existing format. Alternatively, firms may submit the data files in the new format once they have completed testing. Note that the Exchange recommends that firms successfully transmit and test at least a week's worth of test files before migrating to the new format.

Questions about this circular may be directed to the Regulatory Interpretations and Guidance team by telephone at (312) 786-8141 or by email at [RegInterps@cboe.com](mailto:RegInterps@cboe.com).