



Regulatory Circular RG11-144

To: Trading Permit Holders
From: Finance and Administration
Date: November 23, 2011
Re: Floor Broker Workstation (FBW) —Market Access Controls Window

The Chicago Board Options Exchange, Inc. (CBOE) has added to the functionality of the Floor Broker Workstations (FBW) by providing a new “Market Access Controls Window,” an optional feature of FBW available to each CBOE Trading Permit Holder that requests the feature.

The Market Access Controls Window displays, for each grouping of FBW users within a CBOE Trading Permit Holder firm, values for the following access-based controls:

- Per Order Controls, which include values for (a) quantity of contracts per order, (b) premium amount per order, (c) number of identical orders, and (d) frequency of order entry (count and timeframe).
- Aggregate Controls, which include actual and predictive values for (1) premium amount per day, (2) quantity of contracts per day, and (3) the number of orders with a status of “working.”

The FBW Market Access Controls Window fee is \$100 per month per login ID, with a cap of \$2,000 per month for any Trading Permit Holder. Therefore, any Trading Permit Holder that requests access to the FBW Market Access Controls Window for more than twenty login IDs will not be required to pay more than \$2,000 per month for such access. The monthly fee will be effective December 1, 2011.

The complete CBOE Fees Schedule is posted at:

<http://www.cboe.com/publish/feeschedule/CBOEFeeSchedule.pdf>

Transaction fee questions may be directed to Don Patton at (312) 786-7026 or patton@cboe.com, Colleen Laughlin at 312-786-8390 or Laughlin@cboe.com, or John Mavindidze at (312) 786-7689 or mavindidze@cboe.com.