



CBOE Regulatory Circular RG11-117  
C2 Regulatory Circular C2 RG11-022

To: CBOE, C2 and CBSX Trading Permit Holders

From: Legal and Regulatory Services Divisions  
Joanne Moffic-Silver, General Counsel  
Timothy Thompson, Chief Regulatory Officer

Date: October 6, 2011

Re: Launch of New Regulatory Informational Tools

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### **COMPLIANCE HIGHLIGHTS WEBPAGES**

CBOE, C2 and CBSX have launched new informational webpages, “Compliance Highlights,” on their “Legal & Regulatory” sites. The “Compliance Highlights” webpages provide information regarding certain new Securities and Exchange Commission (“SEC”) and Exchange rules and requirements. These webpages include compliance dates, links to SEC releases, Exchange rules and circulars, contact information, and other relevant information. You can access the “Compliance Highlights” webpages as follows:

CBOE: <http://www.cboe.com/aboutCBOE/legal/Compliance.aspx>  
C2: <http://www.c2exchange.com/Legal/Compliance.aspx>  
CBSX: <http://www.cbsx.com/Regulation/Compliance.aspx>

### **REGULATORY GUIDANCE CONTACT INFORMATION**

The Regulatory Services Division (the “Division”) has enhanced its communication policy. Effective immediately, **all** queries regarding Exchange (CBOE, C2 and CBSX) rules, interpretations, and policies should be directed to the Division’s Regulatory Interpretations and Guidance team either **by telephone at (312) 786-8141** or **by e-mail at [RegInterps@cboe.com](mailto:RegInterps@cboe.com)**. A dedicated group of Division staff is available between the hours of 8:30 a.m. and 4:30 p.m. (CT) to respond to queries directed to the above phone number and email address.

Queries regarding SEC rules and interpretations may also be directed to the SEC’s Division of Trading and Markets, Office of Interpretation and Guidance either by telephone at (202) 551-5777 or by e-mail at [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov).

For information about where to direct concerns, suggestions, and complaints regarding regulatory issues, please see Information Circular IC08-11 at <https://www.cboe.org/publish/infocir/ic08-011.pdf> [Information Circular IC08-11](#) and the Comment/Complaint Forms webpage at <http://www.cboe.com/aboutcboe/legal/forms/FormsMain.aspx>.

### **CBOE LEGAL & REGULATORY WEBPAGE**

A direct link to the CBOE Legal & Regulatory webpage is now located at the top of the CBOE home page at [www.cboe.com](http://www.cboe.com).