



Regulatory Circular RG11-100

Date: August 23, 2011

To: Trading Permit Holders and TPH Organizations

From: Registration Services Department

Re: Extension of Registration and Qualification Examination Deadline

As previously announced, three new registration categories have become available in WebCRD[®] to CBOE and CBSX Trading Permit Holders.¹ These registration categories were made available to Trading Permit Holders in conjunction with recent changes to CBOE Rule 3.6A and other Exchange rules regarding registration and qualification requirements.²

The deadline of September 19, 2011³ to comply with these registration and qualification requirements has been extended to **October 29, 2011**.⁴ CBOE is continuing to work with staff from the Division of Trading and Markets at the SEC and will announce any additional changes to this deadline via Regulatory Circular.

If you have questions, please feel free to contact the following individuals:

Stan Leimer, Registration Services Department (312) 786-7299 Larry Bresnahan, Department of Member Firm Regulation (312) 786-7713 Kerry Adler, Legal Division (312) 786-8093

See Regulatory Circular RG11-077 (http://www.cboe.com/publish/RegCir/RG11-077.pdf).

These registration categories include PT – Proprietary Trader (S56), CT – Proprietary Trader Compliance Officer (S56, S14) and TP – Proprietary Trader Principal (S56, S24).

³ <u>See</u> Securities Exchange Act Release No. 64946 (July 21, 2011) 76 FR 44972 (July 27, 2011) (SR-CBOE-2011-064).

See SR-CBOE-2011-081 (http://www.cboe.com/publish/RuleFilingsSEC/SR-CBOE-2011-081.pdf).