



Regulatory Circular – RG11-063

Date: May 26, 2011

To: Trading Floor
Trading Permit Holders and Associated Persons

From: Trading Operations

Re: Food on the Trading Floor

This memo is to inform you of changes to the food policy on the trading floor effective June 1, 2011. Additional food items have been added to the allowable food list. This food policy will continue to be on a pilot basis and subject to periodic evaluations. Any changes to this pilot program will be communicated to the Trading Floor through a Regulatory Circular.

Attached is a list containing examples of allowable and non-allowable foods. Fines will be issued to Trading Permit Holders and Associated Persons in accordance with the fine schedule set forth in Exchange Regulatory Circular RG09-026 for violations of this policy.

Trading Permit Holders and Associated Persons will be expected to properly dispose of all waste and keep all areas of the Trading Floor clean. Food will not be allowed in the SPX pit. Failure to adhere to these requirements may result in the termination of this pilot program.

In addition, small, compact type refrigerators will now be allowed on the trading floor in the PERIMETER BOOTH AREAS only. This will be on a pilot basis as well and abuse of this program will result in removal of all refrigerators from the trading floor.

Refrigerators must meet the criteria listed on the second page of this notice. Refrigerators will not be allowed if they fail to meet these standards. The Exchange reserves the right to check the contents of these refrigerators at any time.

Thank you for your cooperation in making this work for all.

Replaces Regulatory Circular RG10-041

FOOD ON THE TRADING FLOOR

ALLOWABLE FOOD

- Any type of sandwich (sub, deli, etc)
- Hamburgers, Hot Dogs, French Fries
- Fried, Baked, Broiled Chicken Pieces
- Oatmeal
- Hot Breakfast Items (eggs, pancakes, bacon, etc.)
- Tacos, Burritos
- Snacks (chips, pretzels, candies, cookies, etc.)
- Salads
- Fruit
- Yogurt
- Dry Cereal
- Bagels, Donuts, Muffins
- Any drink in SPORTS CAP TOPS
- Hot Drinks (coffee, tea, hot chocolate) in TRAVEL MUG containers

NON-ALLOWABLE FOOD

- Pizza
- Soup
- Nuts and Seeds in Shells
- Ice Cream
- Cereal with Milk
- Nachos with Cheese
- Any type of Group/Family Style Catering
- Any Alcoholic Drink

THIS LIST OF NON-ALLOWABLE FOODS IS NOT ALL INCLUSIVE. THERE MAY BE FINES ISSUED FOR FOOD THAT FLOOR OFFICIALS DEEM INAPPROPRIATE FOR THE TRADING FLOOR

REFRIGERATOR CRITERIA

- Allowed in the PERIMETER BOOTH AREAS only
- Not allowed in the SPX or VIX booths
- Not allowed in the Trading Posts or Crowds
- Must fit under counters in booths and should not be larger than the following dimensions:
36"high x 24"wide x 22"deep
- Auto defrost only, no manual defrost
- Can draw no more than 3 amps