



Regulatory Circular RG11-059

DATE: May 11, 2011

TO: Trading Permit Holders (“TPHs”) and Persons Associated with TPHs

FROM: Registration and Regulatory Services Division

RE: Audio and Visual Imaging and Recording Devices on the Trading Floor

The purpose of this circular is to remind TPHs and persons associated with TPHs of the Exchange’s following policy concerning audio and visual imaging and recording devices on the trading floor:

Exchange policy prohibits TPHs, persons associated with TPHs, employees, visitors and all other persons from using any type of audio or visual imaging and recording devices on the trading floor. Audio or visual imaging and recording devices, for purposes of this policy statement include, but are not limited to, television, radio and other recording equipment, cameras and camera devices on cellular phones and PDAs.

Persons who have received prior written consent from the Exchange’s Public Relations Department may bring a recording device on the trading floor, but may use such devices only in such a manner which, in the opinion of Floor Officials, does not disrupt, prevent or hinder trading.

Questions pertaining to this circular may be directed to the Department of Market Regulation at (312) 786-7730 or (312) 786-8460.

(RG91-74, revised)