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Regulatory Circular RG10-11

To: Members and Member Organizations
From: Regulatory Services Division
Date: January 12, 2010
Re: COATS Floor Broker (FB) Contra Trade Time Match Report

This Regulatory Circular is to inform the Membership that, effective January 4, 2010; the FB Contra Trade Time Match Report is available online for members to monitor their COATS compliance requirements. The website for viewing COATS reports is (www.cboe.org). The report is located within the Detail Request tab. User identification and passwords for this report are the same as those currently used by members to access the COATS Summary Report.

For further information in relation to COATS requirements pertaining to Contra Party Matching refer to Regulatory Circular RG09-139 <http://www.cboe.org/publish/RegCir/RegCir/09-139.pdf> .

Questions

Questions pertaining to the regulatory requirements or the content of the COATS Regulatory Reports can be directed to Regulatory Services at (312) 786-8460 or (312) 786-7730.