



Regulatory Circular RG08-095

To: All Exchange Members and Personnel

From: Legal Division

Re: Visitor Access to the Trading Floor

Date: August 18, 2008

This circular summarizes Exchange's policy on visitor access to the trading floor.

- Each visitor must be **escorted and supervised** by the person who signed the visitor in at all times while the visitor is present on the trading floor. A visitor may have escorted and supervised access to any part of the Exchange's facilities that the person who signed the visitor in is permitted access.
- Each visitor must be signed in at the 1st floor lobby security desk.
- A visitor may only be signed in by an Exchange member, a floor manager, or a senior Exchange staff member. Member firm employees and unauthorized Exchange staff are not permitted to sign in a visitor or to grant a visitor access to Exchange facilities.
- During the entire time period that a visitor is present on the trading floor, the visitor must wear in a visible place the visitor's pass that is issued to the visitor.
- Any member or employee of a member who violates any of the foregoing rules or policies may be subject to disciplinary action. In addition, a person who signs a visitor in may be subject to disciplinary action in the event that the visitor violates any Exchange rules or policies.

To view the Exchange's complete policies concerning access to the Exchange's trading floor please see Regulatory Circular RG95-62. Any questions regarding this circular may be directed to Andrew Spiwak, Legal Division at (312) 786-7483 or to the Office of Trading Floor Liaison at (312) 786-7939.