



**Date:** February 1, 2005

**Regulatory Circular RG05-15**

**To:** Membership

**From:** Legal Division

**Re:** 2004 Regulatory Circulars

Exchange policy provides that communications to Exchange members of a regulatory nature will be designated Regulatory Circulars, and published in the *Regulatory Bulletin*. For the convenience of the membership, the following is a list of Regulatory Circulars that were issued during 2004.

Any questions regarding a specific circular may be directed to the Exchange department or committee that issued the notice. *Regulatory Bulletins* from 1998 to the present are available on the Membership Site at <http://www.cboe.com>.

**2004 REGULATORY CIRCULARS**

<u>Regulatory Circular #</u>	<u>Subject</u>	<u>Issued By</u>	<u>Regulatory Bulletin Issue</u>
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RG04-02	Double Width Quote Spreads	Equity Market Performance Committee	1-16-04
RG04-03	Supplement to the Options Disclosure Document	Regulatory Services	1-16-04
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RG04-05	Erroneous Execution Reports and Order Reinstatments	Regulatory Services	1-23-04
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RG04-13	Membership Application and Other Membership Fees	Membership	2-6-04
RG04-14	Extension of Inactive Nominee Status and Renewal/Change of Status Application Time Periods	Membership	2-6-04
RG04-15	2003 Regulatory Circulars	Legal	2-6-04
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RG04-17	Prospective Fee Reductions program for February and March 2004	Financial Planning	2-6-04
RG04-18	SEC Approval of Supplement to the Options Disclosure Document	Regulatory Services	2-13-04
RG04-19	DPM Fee Credits Relating to Linkage “Scratched” Transactions	Financial Planning	2-20-04
RG04-20	SEC Approval of Proposed Changes to the Governance of the Exchange	Legal	2-20-04
RG04-21	Delisting Option Classes	Allocation Committee	2-20-04
RG04-22	Hybrid Quote Lock Timer	Equity Floor Procedure Committee	2-20-04
RG04-23	\$5 Quote Widths in Top 200 Hybrid Classes	Equity Market Performance Committee	3-5-04
RG04-24	Extension of Contrary Exercise Advice (CEA) Submission Time for Certain Accounts	Market Regulation	2-27-04
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RG04-26	Product Delisting	Office of the Chairman	3-5-04
RG04-27	CMTA Orders Regarding Cap on Member Firm Proprietary and Firm Facilitation Fees	Financial Planning Committee	3-12-04
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RG04-29	Restrictions on Transactions in Certain Motorola Options (MOT/MOJ)	Market Operations	3-12-04

RG04-30	Transfer of Individual Memberships in Trust	Membership	3-12-04
RG04-31	\$5 Quote Widths Expanded to All Hybrid Classes	Equity Market Performance Committee	3-12-04
RG04-32	Food & Drink on the Trading Floor	Floor Officials Committee	3-12-04
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RG04-35	SEC Approval of Amendments to Exchange Rule 4.6 (False Statements) and Adoption of Exchange Rule 4.22 (Communications to the Exchange or the Clearing Corporation)	Legal/Regulatory Services Divisions	3-26-04
RG04-36	Regular Contrary Exercise Advice (CEA) Procedures for Equity Options	Market Regulation	3-26-04
RG04-37	Permanent Approval of Rule Change to Extend the Contrary Exercise Advice (CEA) Submission Time for Certain Accounts	Market Regulation	3-26-04
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