

Regulatory Circular RG 04-32

Date: March 4, 2004

To: Members & Member Firm Personnel

From: Damon Fawcett, Chairman

Floor Officials Committee

Re: Food & Drink on the Trading Floor

Please be advised that Food or Drink on the Trading Floor is strictly prohibited!

Please be advised that Floor Officials will impose fines to CBOE members and member firm personnel for violations of food or drink on the trading floor.¹ Each Floor Manager, Post Supervisor, or Firm Representative will be responsible for monitoring staff compliance with food or drink on the trading floor. Failure to adhere to the food or drink prohibition may result in fines to the individual, member, or person associated with the member or member organization. Additionally, the member or member organization may be fined for failure to supervise.

Note: Security staff will be posted at points of entry to the trading floor to ensure compliance with this prohibition.

Violations of this policy are classified as Class B offenses and will result in the imposition of summary fines within the following ranges pursuant to Exchange Rule 17.50, Imposition of Fines for Minor Rule Violations, as they relate to violations of the Exchange's trading conduct and decorum policies under Exchange Rule 6.20-Admission to and Conduct on the Trading Floor:

1st Offense	2nd Offense	Subsequent Offenses
\$100 to \$500	\$500 to \$1,000	\$1,000 to \$2,500

Any questions regarding this circular may be directed to a member of the Floor Officials Committee.

