



Date: 2 February 2001

Regulatory Circular RG01-13

To: Membership

From: Legal Division

Re: 2000 Regulatory Circulars

Exchange policy provides that communications to Exchange members of a regulatory nature will be designated Regulatory Circulars, approved by the Legal Division, and published in the *Regulatory Bulletin*. For the convenience of the membership, the following is a list of Regulatory Circulars that were issued during 2000.

Any questions regarding a specific circular may be directed to the Exchange department or committee that issued the notice. *Regulatory Bulletins* from 1998 to the present are available on the Membership Site at <http://www.cboe.com>.

2000 REGULATORY CIRCULARS

<u>Regulatory Circular #</u>	<u>Subject</u>	<u>Issued By</u>	<u>Regulatory Bulletin Issue</u>
RG00-01	Focus Report (Form X-17A-5); 1999 Year-End Filing; Due 3-1-2000	Financial and Sales Practice Compliance	1-14-00
RG00-02	Delisting Option Classes Listing & Delisting Series of Option Classes (RG99-124 Revised)	Allocation Committee	1-14-00
RG00-03	Prevention of Misuse of Material, Non-Public Information and Limitation on Dealings of DMSs	Market Monitoring	1-14-00
RG00-04	Inter-Exchange Procedures in Volatile Markets for First Quarter 2000 (RG99-197 Revised)	Market Operations	1-21-00
RG00-05	Stock Sliding Tick	Trading Operations	1-21-00
RG00-06	Options Classes Using CBOE AutoQuote	Trading Operations	1-21-00
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RG00-08	Option Day Trades Exceeding Account Approval Level	Regulatory Services	1-21-00
RG00-09	Definition of Primary DPM/Alternate DPM & Alternate DPM With Supervision	MTS Appointments Committee	1-21-00
RG00-10	Conversion of Resting GTCs from Fractions to Decimals – Structured (Equity) Products	Trading Operations/Liaison Group	1-21-00

RG00-11	Semi-Annual Position and Exercise Limit Review	Market Regulation	1-21-00
RG00-12	Restrictions on Transactions in Integrated Health Systems (HIS) Options	Market Operations	1-21-00
RG00-13	Void		
RG00-14	Modified Bid/Ask Differentials	Market Performance Committee	1-28-00
RG00-15	1999 Regulatory Circulars	Legal	1-28-00
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RG00-17	Conversion of Resting GTCs from Fractions to Decimals Options Products	Trading Operations/The Liaison Group	1-28-00
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RG00-26	Hardwiring Market-Makers Handheld Terminals	Facilities	2-4-00
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RG00-28	Option Classes Using CBOE Auto Quote	Trading Operations	2-11-00
RG00-29	Trade Throughs/Trade or Fade	Market Monitoring	2-11-00
RG00-30	Collasion, Harassment and Intimidation (RG97-167 Reissued)	Competition Task Force	2-18-00
RG00-31	Electronic Re-Routing of Live Ammo Orders to RAES	Trading Operations	2-18-00

RG00-32	Flexible Strike Prices for Equity FLEX Call Options	Legal	2-25-00
RG00-33	Removal of Restrictions on Transaction Glenayre Technologies, Inc./GEMS/GQM)	Market Regulation	2-25-00
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