



BYX Information Circular 10-006

Date: October 21, 2010

Re: Certain UTP Derivative Securities to be Traded on the Exchange

Pursuant to Rule 14.1(c)(2) of the Rules of BATS Y-Exchange, Inc. ("BYX" or the "Exchange"), this Information Circular is being issued to provide you with information about the following securities that have been approved for trading on the Exchange as UTP Derivative Securities pursuant to Chapter XIV of the Exchange's Rules.

Securities (the "Funds", units of which are referred to as "Shares")

See Attached List Below

Commencement of Trading on the Exchange: October 22, 2010

Attached to this Information Circular is a list of ticker symbols related to certain UTP Derivative Securities that will commence trading on the Exchange on Friday, October 22, 2010. Along with the list of ticker symbols, the Exchange has included the name of each particular ticker symbol's associated Fund/Trust, the original number of the circular on BATS Exchange, Inc. ("BATS Exchange"), the date the UTP Derivative Security was originally traded on BATS Exchange, and a link to the information circular containing certain information about the UTP Derivative Security.

The purpose of the information circulars linked to below is to outline various rules and policies that will be applicable to trading in these UTP Derivative Securities pursuant to the Exchange's unlisted trading privileges, as well as to provide certain characteristics and features of the Shares. For a more complete description of the Issuer, the Shares and the underlying market instruments or indexes, visit the Issuer Website, consult the Prospectus available on the Issuer Website, examine the Issuer Registration Statement or review the most current information bulletin issued by the Primary Listing Exchange.

This Information Circular is not a statutory prospectus. BYX Members should consult the prospectus for a security and the security's website for relevant information.

Please contact Membership Services at 913.815.7002 with any inquiries regarding this Information Circular.

Symbol	Fund Name	Circular Number	First Date Traded on BZX	Links
QABA	First Trust NASDAQ ABA Community Bank Index Fund	-134	8/13/2009	Link to Circular
QAI	IQ Hedge Multi-Strategy Tracker ETF	-085	4/2/2009	Link to Circular
QCLN	First Trust Exchange-Traded Fund - First Trust NASDAQ Clean Edge U.S. Liquid Series Index Fund	-157	10/24/08 - 11/6/08	Link to Circular
QID	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
QLD	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
QQEW	First Trust Exchange-Traded Fund - First Trust NASDAQ-100 Equal Weighted Index Fund	-147	10/24/08 - 11/6/08	Link to Circular
QQQQ	PowerShares Exchange-Traded Fund Trust - PowerShares QQQ Trust, Series 1	-143	10/24/08 - 11/6/08	Link to Circular
QQXT	First Trust Exchange-Traded Fund - First Trust NASDAQ-100 Ex-Technology Sector Index Fund	-157	10/24/08 - 11/6/08	Link to Circular
QTEC	First Trust Exchange-Traded Fund - First Trust NASDAQ-100- Technology Index Fund	-146	10/24/08 - 11/6/08	Link to Circular
RBL	SPDR S&P Russia Exchange-Traded Fund	-033	3/25/2010	Link to Circular
RCD	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
REA	Rydex ETF Trust	-186	12/23/2008	Link to Circular
REC	Rydex ETF Trust	-186	12/23/2008	Link to Circular

REK	ProShares Short Real Estate	-036	4/14/2010	Link to Circular
REM	ISHARES TR MRTG REIT INDX	-159	10/24/08 - 11/6/08	Link to Circular
RETL	Direxion Funds Daily Retail Bull 2X Shares ETF	-073	7/19/2010	Link to Circular
RETS	Direxion Funds Daily Retail Bear 2X Shares ETF	-073	7/19/2010	Link to Circular
REW	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
REZ	ISHARES TR RESIDENT INDEX	-159	10/24/08 - 11/6/08	Link to Circular
RFF	Grail Advisors RP Financials ETF	-027	3/5/2010	Link to Circular
RFG	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RFL	Rydex ETF Trust	-186	12/23/2008	Link to Circular
RFN	Rydex ETF Trust	-186	12/23/2008	Link to Circular
RFV	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RGI	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RHM	Rydex ETF Trust	-186	12/23/2008	Link to Circular
RHO	Rydex ETF Trust	-139	10/24/08 - 11/6/08	Link to Circular

RHS	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RJA	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-117	10/24/08 - 11/6/08	Link to Circular
RJI	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-123	10/24/08 - 11/6/08	Link to Circular
RJN	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-123	10/24/08 - 11/6/08	Link to Circular
RJZ	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-123	10/24/08 - 11/6/08	Link to Circular
RKH	Regional Bank HOLDRs Trust Regional Bank HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
RMM	Rydex ETF Trust	-138	10/24/08 - 11/6/08	Link to Circular
RMS	Rydex ETF Trust	-138	10/24/08 - 11/6/08	Link to Circular
ROB	Claymore/Robb Report Global Luxury Index ETF Shares of beneficial interest	-104	10/24/08 - 11/6/08	Link to Circular
ROI	WisdomTree Trust	-064	3/9/2009	Link to Circular
ROM	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
RPG	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RPQ	Grail Advisors RP Technology ETF	-027	3/5/2010	Link to Circular
RPV	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular

RPX	Grail Advisors RP Growth ETF	-027	3/5/2010	Link to Circular
RRY	Rydex ETF Trust	-138	10/24/08 - 11/6/08	Link to Circular
RRZ	Rydex ETF Trust	-138	10/24/08 - 11/6/08	Link to Circular
RSP	RYDEX ETF TRUST S&P 500 EQ TRD	-041	10/24/08 - 11/6/08	Link to Circular
RSU	Rydex ETF Trust	-138	10/24/08 - 11/6/08	Link to Circular
RSW	RYDEX ETF TRUST INV S&P500 2X	-138	10/24/08 - 11/6/08	Link to Circular
RSX	Market Vectors Russia ETF Trust Shares of Beneficial Interest	-109	10/24/08 - 11/6/08	Link to Circular
RTG	Rydex ETF Trust	-186	12/23/2008	Link to Circular
RTH	Retail HOLDRs Trust Retail HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
RTL	ISHARES TR RETAIL INDEX	-159	10/24/08 - 11/6/08	Link to Circular
RTM	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RTR	RevenueShares ETF Trust	-097	4/16/2009	Link to Circular
RTW	Rydex ETF Trust	-139	10/24/08 - 11/6/08	Link to Circular
RWG	Grail Advisors RP Focused Large Cap Growth	-027	3/5/2010	Link to Circular

RWJ	REVENUESHARES ETF TR SMALL CAP FD	-007	10/24/08 - 11/6/08	Link to Circular
RWK	REVENUESHARES ETF TR MID CAP FD	-007	10/24/08 - 11/6/08	Link to Circular
RWL	REVENUESHARES ETF TR LARGE CAP FD	-007	10/24/08 - 11/6/08	Link to Circular
RWM	PROSHARES TR SHORT RUSS2000	-002	10/24/08 - 11/6/08	Link to Circular
RWR	SPDR SERIES TRUST DJWS REIT ETF	-174	10/24/08 - 11/6/08	Link to Circular
RWV	RevenueShares ETF Trust	-074	3/18/2009	Link to Circular
RWW	RevenueShares ETF Trust	-072	3/18/2009	Link to Circular
RWX	streetTRACKS Index Shares Funds	-042	10/24/08 - 11/6/08	Link to Circular
RXD	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
RXI	iShares Trust iShares S&P Global Consumer Discretionary Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
RXL	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
RYE	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RYF	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RYH	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular

RYT	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RYU	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RZG	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
RZV	Rydex ETF Trust	-057	10/24/08 - 11/6/08	Link to Circular
SAA	PROSHARES TR ULTRA SMCAP600	-002	10/24/08 - 11/6/08	Link to Circular
SBB	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SBM	ProShares Short Basic Materials	-036	4/14/2010	Link to Circular
SBND	PowerShares DB 3X Short 25+ Year Treasury Bond ETNs	-072	7/19/2010	Link to Circular
SCC	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SCHA	Schwab U.S. Small-Cap ETF	-155	11/5/2009	Link to Circular
SCHB	Schwab U.S. Broad Market ETF	-155	11/5/2009	Link to Circular
SCHC	Schwab International Small-Cap Equity ETF	-004	1/21/2010	Link to Circular
SCHE	Schwab Emerging Markets Equity ETF	-004	1/21/2010	Link to Circular
SCHF	Schwab International Equity ETF	-155	11/5/2009	Link to Circular

SCHG	Schwab U.S. Large-Cap Growth ETF	-166	12/15/2009	Link to Circular
SCHO	Schwab Short-Term U.S. Treasury ETF™	-081	8/9/2010	Link to Circular
SCHP	Schwab U.S. TIPS ETF™	-081	8/9/2010	Link to Circular
SCHR	Schwab Intermediate-Term U.S. Treasury ETF™	-081	8/9/2010	Link to Circular
SCHV	Schwab U.S. Large-Cap Value ETF	-166	12/15/2009	Link to Circular
SCHX	Schwab U.S. Large-Cap ETF	-155	11/5/2009	Link to Circular
SCIF	Market Vectors India Small-Cap Index ETF	-087	8/25/2010	Link to Circular
SCIN	Emerging Global Shares INDXX India Small Cap Fund ETF	-068	7/9/2010	Link to Circular
SCJ	ISHARES INC JAPAN SML CAP	-126	10/24/08 - 11/6/08	Link to Circular
SCO	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
SCPB	SPDR Barclays Capital Short Term Corporate Bond ETF	-173	12/24/2009	Link to Circular
SCZ	ISHARES TR MSCI SMALL CAP	-167	10/24/08 - 11/6/08	Link to Circular
SDD	PROSHARES TR ULTSH SMCAP600	-002	10/24/08 - 11/6/08	Link to Circular
SDK	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular

SDOW	ProShares UltraPro Short Dow30	-016	2/19/2010	Link to Circular
SDP	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SDS	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SDY	SPDR SERIES TRUST S&P DIVID ETF	-174	10/24/08 - 11/6/08	Link to Circular
SEA	Claymore/Delta Global Shipping Index	-129	10/24/08 - 11/6/08	Link to Circular
SEA	Claymore Shipping ETF	-064	6/15/2010	Link to Circular
SEF	ProShares Trust	-178	12/1/2008	Link to Circular
SFK	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SFV	SPA ETF Trust	-025	10/24/08 - 11/6/08	Link to Circular
SGOL	ETFS Physical Swiss Gold Shares	-142	9/10/2009	Link to Circular
SGT	NETS TR FTSE SINGP ETF	-079	10/24/08 - 11/6/08	Link to Circular
SH	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SHM	SPDR Lehman Short Term Municipal Bond ETF	-023	10/24/08 - 11/6/08	Link to Circular
SHV	iShares Trust iShares Lehman Short Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular

SHY	iShares Lehman 1-3 Year Treasury Bond Fund iShares Lehman 1-3 Year Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
SIH	SPA MarketGrader 100 Fund	-025	10/24/08 - 11/6/08	Link to Circular
SIJ	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SIL	Global X Silver Miners ETF	-041	4/26/2010	Link to Circular
SIVR	ETFS Silver Trust	-132	8/5/2009	Link to Circular
SJF	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SJH	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SJL	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SKF	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SKK	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SKOR	IQ South Korea Small Cap Exchange-Traded Fund	-046	5/5/2010	Link to Circular
SLV	iShares Silver Trust	-009	10/24/08 - 11/6/08	Link to Circular
SLX	Market Vectors ETF Trust	-056	10/24/08 - 11/6/08	Link to Circular
SMB	Market Vectors ETF Trust	-038	10/24/08 - 11/6/08	Link to Circular

SMDD	ProShares UltraPro Short MidCap400	-016	2/19/2010	Link to Circular
SMH	Semiconductor HOLDRs Trust Semiconductor HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
SMK	ProShares Ultra MSCI Mexico Investable Market	-125	6/25/2009	Link to Circular
SMMU	PIMCO Short-Term Municipal Bond Strategy Fund ETF	-017	2/19/2010	Link to Circular
SMN	PROSHARES TR BASIC MTRL PRO	-002	10/24/08 - 11/6/08	Link to Circular
SNB	SPA ETF TRUST MRKTGRAD 200FD	-025	10/24/08 - 11/6/08	Link to Circular
SOXL	Direxion Daily Semiconductor Bull 3X Shares	-037	4/14/2010	Link to Circular
SOXS	Direxion Daily Semiconductor Bear 3X Shares	-037	4/14/2010	Link to Circular
SOXX	iShares PHLX SOX Semiconductor Sector Index Fund	-105	10/19/10	Link to Circular
SPGH	UBS E-TRACS S&P 500 Gold Hedged Index ETNs	-057	5/27/2010	Link to Circular
SPXU	ProShares UltraPro Short S&P 500	-126	6/26/2009	Link to Circular
SPY	Standard & Poor's Depositary Receipts Standard & Poor's Depositary Receipts	-034	10/24/08 - 11/6/08	Link to Circular
SQQQ	ProShares UltraPro Short QQQ	-012	2/12/2010	Link to Circular
SRS	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular

SRTY	ProShares UltraPro Short Russell2000	-016	2/19/2010	Link to Circular
SSG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SSK	SPA MarcegGrader Small Cap 100 Fund	-025	10/24/08 - 11/6/08	Link to Circular
SSO	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
STH	Claymore/Sabrient Stealth ETF	-043	10/24/08 - 11/6/08	Link to Circular
STPP	iPath US Treasury Steepener ETN	-085	8/13/2010	Link to Circular
STPZ	PIMCO 1-5 Year U.S. TIPS Index Fund ETF	-139	9/4/2009	Link to Circular
SUB	iShares Trust	-012	1/29/2009	Link to Circular
SVD	SPA ETF Trust	-025	10/24/08 - 11/6/08	Link to Circular
SWH	Software HOLDRs Trust Software HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
SZE	Suez American Depositary Shares (Each representing one Share of nominal E2)	-049	10/24/08 - 11/6/08	Link to Circular
SZG	SPA ETF Trust	-025	10/24/08 - 11/6/08	Link to Circular
SZK	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
SZO	DEUTSCHE BK AG LONDON BRH PS CR OIL SH ETN	-180	12/16/2008	Link to Circular

SZR	WisdomTree Trust ETF	-096	4/16/2009	Link to Circular
TAN	Claymore Exchange Traded FD TR MAC GLOB Solar	-131	10/24/08 - 11/6/08	Link to Circular
TAO	CLAYMORE ETF TRUST 2 CLAY/ALPH CHN	-168	10/24/08 - 11/6/08	Link to Circular
TBF	ProShares Short 20+ Year Treasury ETF	-140	9/4/2009	Link to Circular
TBT	ProShares Trust	-178	12/1/2008	Link to Circular
TDD	TDX INDEPENDENCE FUNDS INC TDX IND2010 ETF	-021	10/24/08 - 11/6/08	Link to Circular
TDH	TDX INDEPENDENCE FUNDS INC TDX IND2020 ETF	-021	10/24/08 - 11/6/08	Link to Circular
TDN	TDX INDEPENDENCE FUNDS INC TDX IND2030 ETF	-021	10/24/08 - 11/6/08	Link to Circular
TDV	TDX INDEPENDENCE FUNDS INC TDX IND2040 ETF	-021	10/24/08 - 11/6/08	Link to Circular
TDX	TDX INDEPENDENCE FUNDS INC TDX TARGET ETF	-021	10/24/08 - 11/6/08	Link to Circular
TENZ	PIMCO 7-15 Year U.S. Treasury Index Fund	-151	10/9/2009	Link to Circular
TFI	SPDR Series Trust	-112	10/24/08 - 11/6/08	Link to Circular
TGR	iShares Trust	-012	1/29/2009	Link to Circular
THD	iShares Trust	-062	3/9/2009	Link to Circular

TIP	iShares Trust iShares Lehman TIPS Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
TIPZ	PIMCO Broad U.S. TIPS Index Fund ETF	-018	2/19/2010	Link to Circular
TLH	iShares Trust iShare Lehman 10-20 Year Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
TLL	ProShares Ultrashort Telecommunications	-137	10/24/08 - 11/6/08	Link to Circular
TLO	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular
TLT	iShares Lehman 20+ Year Treasury Bond Fund iShares Lehman 20+ Year Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
TMF	Direxion Shares ETF Trust	-101	4/23/2009	Link to Circular
TMV	Direxion Shares ETF Trust	-101	4/23/2009	Link to Circular
TMW	SPDR SERIES TRUST DJWS TOTAL MKT	-174	10/24/08 - 11/6/08	Link to Circular
TNA	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
TOK	ISHARES TR MSCI KOKUSAI	-167	10/24/08 - 11/6/08	Link to Circular
TQQQ	ProShares UltraPro QQQ	-012	2/12/2010	Link to Circular
TTH	Telecom HOLDRs Trust Telecom HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
TUR	iShares Trust	-070	3/18/2009	Link to Circular

TUZ	PIMCO 1-3 Year U.S. Treasury Index Fund ETF	-123	6/12/2009	Link to Circular
TWM	PROSHARES TR ULTSHT RUS2000	-002	10/24/08 - 11/6/08	Link to Circular
TWOL	Direxion Daily 2-Year Treasury Bull 3X Shares	-050	5/5/2010	Link to Circular
TWON	IQ Taiwan Small Cap ETF	-055	5/27/2010	Link to Circular
TWOZ	Direxion Daily 2-Year Treasury Bear 3X Shares	-050	5/5/2010	Link to Circular
TWQ	ProShares UltraShort Russell 3000	-022	2/24/2010	Link to Circular
TXF	TXF Large Companies Exchange-Traded Fund	-026	3/5/2010	Link to Circular
TYD	Direxion Shares ETF Trust	-101	4/23/2009	Link to Circular
TYH	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
TYO	Direxion Shares ETF Trust	-101	4/23/2009	Link to Circular
TYP	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
TZA	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
TZD	iShares Trust	-012	1/29/2009	Link to Circular
TZE	iShares Trust	-012	1/29/2009	Link to Circular

TZG	iShares Trust	-012	1/29/2009	Link to Circular
TZI	iShares Trust	-012	1/29/2009	Link to Circular
TZL	iShares Trust	-012	1/29/2009	Link to Circular
TZO	iShares Trust	-012	1/29/2009	Link to Circular
TZV	iShares Trust	-012	1/29/2009	Link to Circular
UAG	UBS AG ETN	-103	4/23/2009	Link to Circular
UBC	UBS AG ETN	-103	4/23/2009	Link to Circular
UBD	Claymore Exchange-Traded Fund Trust	-035	10/24/08 - 11/6/08	Link to Circular
UBG	UBS AG ETN	-103	4/23/2009	Link to Circular
UBM	UBS AG ETN	-103	4/23/2009	Link to Circular
UBN	UBS AG ETN	-103	4/23/2009	Link to Circular
UBR	ProShares Ultra MSCI Brazil	-044	5/5/2010	Link to Circular
UBT	ProShares Ultra 20+ Year Treasury	-006	1/27/2010	Link to Circular
UCC	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular

UCD	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
UCI	UICI Common Stock	-150	10/24/08 - 11/6/08	Link to Circular
UCO	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
UDN	PowerShares DB US Dollar Index Trust	-011	10/24/08 - 11/6/08	Link to Circular
UDOW	ProShares UltraPro Dow30	-016	2/19/2010	Link to Circular
UEM	Claymore Exchange-Traded Fund Trust	-035	10/24/08 - 11/6/08	Link to Circular
UGA	United States Gasoline Fund, LP	-015	10/24/08 - 11/6/08	Link to Circular
UGE	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UGL	ProShares ETF Trust II	-185	12/23/2008	Link to Circular
UHN	United States Heating Oil Fund LP	-134	10/24/08 - 11/6/08	Link to Circular
UKF	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UKK	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UKW	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
ULE	ProShares ETF Trust II	-184	12/23/2008	Link to Circular

ULQ	Claymore Exchange-Traded Fund Trust	-035	10/24/08 - 11/6/08	Link to Circular
UMDD	ProShares UltraPro MidCap400	-016	2/19/2010	Link to Circular
UMX	ProShares Ultra MSCI Mexico Investable Market	-044	5/5/2010	Link to Circular
UNG	United States Natural Gas Fund, LP	-081	10/24/08 - 11/6/08	Link to Circular
UNL	US 12 Month Natural Gas Fund, L.P.	-161	11/25/2009	Link to Circular
UPRO	ProShares UltraPro S&P 500	-126	6/26/2009	Link to Circular
UPV	ProShares Ultra MSCI Europe	-044	5/5/2010	Link to Circular
UPW	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
URE	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
URR	Morgan Stanley & Co., Inc.	-014	2/5/2009	Link to Circular
URTY	ProShares UltraPro Russell2000	-016	2/19/2010	Link to Circular
USCI	United States Commodity Index Fund	-082	8/11/2010	Link to Circular
USD	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
USL	United States 12 Month Oil Fund, LP	-122	10/24/08 - 11/6/08	Link to Circular

USO	United States Oil Fund, LP	-067	10/24/08 - 11/6/08	Link to Circular
UST	ProShares Ultra 7-10 Year Treasury	-006	1/27/2010	Link to Circular
USV	UBS AG ETN	-103	4/23/2009	Link to Circular
UTH	Utilities HOLDRs Trust Utilities HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
UUP	PowerShares DB US Dollar Index Trust	-011	10/24/08 - 11/6/08	Link to Circular
UVG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UVT	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UVU	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UWC	ProShares Ultra Russell 3000	-022	2/24/2010	Link to Circular
UWM	PROSHARES TR ULTRA RUSS2000	-002	10/24/08 - 11/6/08	Link to Circular
UXI	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UXJ	ProShares Ultra MSCI Pacific ex-Japan	-044	5/5/2010	Link to Circular
UYG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
UYM	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular

VAW	VANGUARD WORLD FDS MATERIALS ETF	-175	10/24/08 - 11/6/08	Link to Circular
VB	VANGUARD INDEX FDS SMALL CP ETF	-175	10/24/08 - 11/6/08	Link to Circular
VBK	VANGUARD INDEX FDS SML CP GRW ETF	-175	10/24/08 - 11/6/08	Link to Circular
VBR	VANGUARD INDEX FDS SM CP VAL ETF	-175	10/24/08 - 11/6/08	Link to Circular
VCIT	Vanguard Intermediate-Term Corporate Bond ETF	-165	12/10/2009	Link to Circular
VCLT	Vanguard Long-Term Corporate Bond ETF	-165	12/10/2009	Link to Circular
VCR	VANGUARD WORLD FDS CONSUM DIS ETF	-175	10/24/08 - 11/6/08	Link to Circular
VCSH	Vanguard Short-Term Corporate Bond ETF	-165	12/10/2009	Link to Circular
VDC	VANGUARD WORLD FDS CONSUM STP ETF	-175	10/24/08 - 11/6/08	Link to Circular
VDE	VANGUARD WORLD FDS ENERGY ETF	-175	10/24/08 - 11/6/08	Link to Circular
VEA	Vanguard Tax-Managed Funds	-103	10/24/08 - 11/6/08	Link to Circular
VEU	Vanguard International Equity Index Funds	-158	10/24/08 - 11/6/08	Link to Circular
VFH	VANGUARD WORLD FDS FINANCIALS ETF	-175	10/24/08 - 11/6/08	Link to Circular
VGIT	Vanguard Intermediate-Term Government Bond ETF	-165	12/10/2009	Link to Circular

VGK	VANGUARD INTL EQUITY INDEX FD EUROPEAN ETF	-175	10/24/08 - 11/6/08	Link to Circular
VGSH	Vanguard Short-Term Government Bond ETF	-165	12/10/2009	Link to Circular
VGX	VANGUARD WORLD FDS INF TECH ETF	-175	10/24/08 - 11/6/08	Link to Circular
VHT	VANGUARD WORLD FDS HEALTH CARE ETF	-175	10/24/08 - 11/6/08	Link to Circular
VIG	Vanguard Specialized Funds	-175	10/24/08 - 11/6/08	Link to Circular
VIOG	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
VIOO	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
VIOV	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
VIS	VANGUARD WORLD FDS INDUSTRIAL ETF	-175	10/24/08 - 11/6/08	Link to Circular
VMBS	Vanguard Mortgage-Backed Securities ETF	-165	12/10/2009	Link to Circular
VNM	Market Vectors Vietnam ETF	-136	8/20/2009	Link to Circular
VNQ	VANGUARD INDEX FDS REIT ETF	-175	10/24/08 - 11/6/08	Link to Circular
VO	VANGUARD INDEX FDS MID CAP ETF	-175	10/24/08 - 11/6/08	Link to Circular
VOE	Vanguard Index Funds	-175	10/24/08 - 11/6/08	Link to Circular

VONE	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VONG	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VONV	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VOO	Vanguard S&P 500 ETF	-092	9/8/2010	Link to Circular
VOOG	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
VOOV	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
VOT	VANGUARD INDEX FDS MCAP GR IDXVIP	-175	10/24/08 - 11/6/08	Link to Circular
VOX	VANGUARD WORLD FDS TELCOMM ETF	-175	10/24/08 - 11/6/08	Link to Circular
VPL	VANGUARD INTL EQUITY INDEX FD PACIFIC ETF	-175	10/24/08 - 11/6/08	Link to Circular
VPU	VANGUARD WORLD FDS UTILITIES ETF	-175	10/24/08 - 11/6/08	Link to Circular
VQT	Barclays Bank Dynamic VEQTOR ETN	-095	9/17/2010	Link to Circular
VRD	SPDR S&P VRDO Municipal Bond ETF	-150	10/9/2009	Link to Circular
VSS	Market Vectors ETF Trust	-095	4/16/2009	Link to Circular
VT	Vanguard International Equity Index Funds	-001	1/21/2009	Link to Circular

VTHR	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VTI	Vanguard Total Stock Market VIPERs Vanguard Total Stock Market VIPERs	-175	10/24/08 - 11/6/08	Link to Circular
VTV	VANGUARD INDEX FDS VALUE ETF	-175	10/24/08 - 11/6/08	Link to Circular
VTWG	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VTWO	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VTWV	Vanguard Scottsdale Funds ETF	-096	9/22/2010	Link to Circular
VUG	VANGUARD INDEX FDS GROWTH ETF	-175	10/24/08 - 11/6/08	Link to Circular
VV	VANGUARD INDEX FDS LARGE CAP ETF	-175	10/24/08 - 11/6/08	Link to Circular
VWO	VANGUARD INTL EQUITY INDEX FD EMR MKT ETF	-175	10/24/08 - 11/6/08	Link to Circular
VXF	VANGUARD INDEX FDS EXTEND MKT ETF	-175	10/24/08 - 11/6/08	Link to Circular
VYM	Vanguard High Yield Dividend ETF	-175	10/24/08 - 11/6/08	Link to Circular
WCAT	Thomson Reuters/Jeffereis CRB Wildcatters Exploration & Production Equity ETF	-032	3/25/2010	Link to Circular
WFKV	Claymore Wilshire 5000 Total Market ETF	-030	3/5/2010	Link to Circular
WIP	SPDR Index Shares Funds	-015	2/5/2009	Link to Circular

WMH	Wireless HOLDRs Trust Wireless HOLDRs Trust	-060	10/24/08 - 11/6/08	Link to Circular
WMW	DEUTSCHE BK AG LONDON ELE MORN TTL22	-026	10/24/08 - 11/6/08	Link to Circular
WOOD	iShares Trust	-007	1/23/2009	Link to Circular
WPS	iShares Trust iShares S&P World Ex-U.S. Property Index Fund	-108	10/24/08 - 11/6/08	Link to Circular
WREI	Claymore Wilshire US REIT ETF	-030	3/5/2010	Link to Circular
WXSP	Claymore Wilshire 4500 Total Market ETF	-030	3/5/2010	Link to Circular
XBI	SPDR SERIES TRUST S&P BIOTECH	-174	10/24/08 - 11/6/08	Link to Circular
XES	SPDR Oil & Gas Equipment & Services ETF	-174	10/24/08 - 11/6/08	Link to Circular
XGC	Claymore Exchange-Traded Fund Trust	-074	10/24/08 - 11/6/08	Link to Circular
XHB	SPDR SERIES TRUST S&P HOMEBUILD	-174	10/24/08 - 11/6/08	Link to Circular
XLB	Select Sector SPDR Fund Materials	-058	10/24/08 - 11/6/08	Link to Circular
XLBS	PowerShares S&P SmallCap Materials Portfolio	-034	4/9/2010	Link to Circular
XLE	Select Sector SPDR Fund Energy	-058	10/24/08 - 11/6/08	Link to Circular
XLES	PowerShares S&P SmallCap Energy Portfolio	-034	4/9/2010	Link to Circular

XLFF	Select Sector SPDR Fund Financial	-058	10/24/08 - 11/6/08	Link to Circular
XLFS	PowerShares S&P SmallCap Financials Portfolio	-034	4/9/2010	Link to Circular
XLG	RYDEX ETF TRUST TOP 50 ETF	-041	10/24/08 - 11/6/08	Link to Circular
XLI	Select Sector SPDR Fund Industrial	-058	10/24/08 - 11/6/08	Link to Circular
XLIS	PowerShares S&P SmallCap Industrials Portfolio	-034	4/9/2010	Link to Circular
XLK	Select Sector SPDR Fund Technology	-058	10/24/08 - 11/6/08	Link to Circular
XLKS	PowerShares S&P SmallCap Information Technology Portfolio	-034	4/9/2010	Link to Circular
XLP	Select Sector SPDR Fund Consumer Staples	-058	10/24/08 - 11/6/08	Link to Circular
XLPS	PowerShares S&P SmallCap Consumer Staples Portfolio	-034	4/9/2010	Link to Circular
XLU	Select Sector SPDR Fund Utilities	-058	10/24/08 - 11/6/08	Link to Circular
XLUS	PowerShares S&P SmallCap Utilities Portfolio	-034	4/9/2010	Link to Circular
XLV	Select Sector SPDR Fund Health Care	-058	10/24/08 - 11/6/08	Link to Circular
XLVS	PowerShares S&P SmallCap Health Care Portfolio	-034	4/9/2010	Link to Circular
XLVY	Select Sector SPDR Fund Cyclical Transportation	-058	10/24/08 - 11/6/08	Link to Circular

XLYS	PowerShares S&P SmallCap Consumer Discretionary Portfolio	-034	4/9/2010	Link to Circular
XME	SPDR Metals & Mining ETF	-174	10/24/08 - 11/6/08	Link to Circular
XOP	SPDR Oil & Gas Exploration & Production ETF	-174	10/24/08 - 11/6/08	Link to Circular
XPH	SPDR Pharmaceuticals ETF	-174	10/24/08 - 11/6/08	Link to Circular
XPP	ProShares Ultra FTSE/Xinhua China 25 ETF	-124	6/12/2009	Link to Circular
XRO	Claymore/Zacks Sector Rotation ETF	-043	10/24/08 - 11/6/08	Link to Circular
XRT	SPDR Retail ETF	-174	10/24/08 - 11/6/08	Link to Circular
XRU	CurrencyShares Russian Ruble Trust	-116	5/14/2009	Link to Circular
XSD	SPDR SERIES TRUST S&P SEMICNDCTR	-174	10/24/08 - 11/6/08	Link to Circular
XXV	Barclays ETN+ Inverse S&P 500® VIX Short-Term Futures™ ETN	-076	7/20/2010	Link to Circular
YAO	AlphaShares China All-Cap ETF	-153	10/28/2009	Link to Circular
YCL	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
YCS	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
YXI	ProShares Short FTSE/Xinhua China 25	-036	4/14/2010	Link to Circular

ZROZ	PIMCO 25+ Year Zero Coupon U.S. Treasury Index Fund	-163	12/2/2009	Link to Circular
ZSL	ProShares ETF Trust II	-185	12/23/2008	Link to Circular