



BYX Information Circular 10-005

Date: October 19, 2010

Re: Certain UTP Derivative Securities to be Traded on the Exchange

Pursuant to Rule 14.1(c)(2) of the Rules of BATS Y-Exchange, Inc. ("BYX" or the "Exchange"), this Information Circular is being issued to provide you with information about the following securities that have been approved for trading on the Exchange as UTP Derivative Securities pursuant to Chapter XIV of the Exchange's Rules.

Securities (the "Funds", units of which are referred to as "Shares")

See Attached List Below

Commencement of Trading on the Exchange: October 20, 2010

Attached to this Information Circular is a list of ticker symbols related to certain UTP Derivative Securities that will commence trading on the Exchange on Wednesday, October 20, 2010. Along with the list of ticker symbols, the Exchange has included the name of each particular ticker symbol's associated Fund/Trust, the original number of the circular on BATS Exchange, Inc. ("BATS Exchange"), the date the UTP Derivative Security was originally traded on BATS Exchange, and a link to the information circular containing certain information about the UTP Derivative Security.

The purpose of the information circulars linked to below is to outline various rules and policies that will be applicable to trading in these UTP Derivative Securities pursuant to the Exchange's unlisted trading privileges, as well as to provide certain characteristics and features of the Shares. For a more complete description of the Issuer, the Shares and the underlying market instruments or indexes, visit the Issuer Website, consult the Prospectus available on the Issuer Website, examine the Issuer Registration Statement or review the most current information bulletin issued by the Primary Listing Exchange.

This Information Circular is not a statutory prospectus. BYX Members should consult the prospectus for a security and the security's website for relevant information.

Please contact Membership Services at 913.815.7002 with any inquiries regarding this Information Circular.

Symbol	Fund Name	Circular Number	First Date Traded on BZX	Links
HAO	Claymore Exchange-Traded Fund Trust 2	-019	10/24/08 - 11/6/08	Link to Circular
HAP	Market Vectors ETF Trust	-132	10/24/08 - 11/6/08	Link to Circular
HEDJ	WisdomTree International Hedged Equity Fund ETF	-019	2/22/2010	Link to Circular
HGI	Claymore Exchange-Traded Fund Trust 2	-090	10/24/08 - 11/6/08	Link to Circular
HHD	HealthShares, Inc. HealthShares Diagnostics Exchange -Traded Fund	-156	10/24/08 - 11/6/08	Link to Circular
HHH	Internet HOLDRs Trust Internet HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
HHK	HealthShares, Inc. HealthShares Cancer Exchange-Traded Fund	-061	10/24/08 - 11/6/08	Link to Circular
HHV	HealthShares, Inc. HealthShares Enabling Technologies Exchange-Traded Fund	-156	10/24/08 - 11/6/08	Link to Circular
HKG	NETS TR HANG SENG HK	-072	10/24/08 - 11/6/08	Link to Circular
HRJ	HealthShares, Inc. HealthShares European Drugs Exchange-Traded Fund	-075	10/24/08 - 11/6/08	Link to Circular
HSJ	Merril Lynch & Co., Inc. ETN	-083	3/30/2009	Link to Circular

HYD	Market Vectors ETF Trust	-039	2/17/2009	Link to Circular
HYG	iShares Trust	-085	10/24/08 - 11/6/08	Link to Circular
IAH	Internet Architecture HOLDRs Trust Internet Architecture HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
IAI	iShares Trust iShares Dow Jones U.S. Broker-Dealers Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IAK	iShares Trust iShares Dow Jones U.S. Insurance Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IAT	iShares Trust iShares Dow Jones U.S. Regional Banks Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IAU	ISHARES COMEX GOLD TR ISHARES	-012	10/24/08 - 11/6/08	Link to Circular
IBB	ISHARES TR NASDQ BIO INDX	-039	10/24/08 - 11/6/08	Link to Circular
IBND	SPDR Barclays Capital International Corporate Bond ETF	-052	5/26/2010	Link to Circular
ICF	iShares Trust iShares Cohen & Steers Realty Majors Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
ICI	iPath Exchange Traded Notes	-109	4/30/2009	Link to Circular
ICLN	iShares Trust	-007	1/23/2009	Link to Circular

ICN	WISEOMTREE TRUST INDIAN RUP ETF	-016	10/24/08 - 11/6/08	Link to Circular
ICT	Merrill Lynch & Co., Inc.	-011	1/29/2009	Link to Circular
IDU	iShares Trust iShares Dow Jones U.S. Utilities Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IDV	ISHARES TR DJ EPAC DIVIDE	-100	10/24/08 - 11/6/08	Link to Circular
IDX	Market Vectors ETF Trust	-063	3/9/2009	Link to Circular
IEF	iShares Lehman 7-10 Year Treasury Bond Fund iShares Lehman 7-10 Year Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
IEI	iShares Trust iShares Lehman 3-7 Year Treasury Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
IEO	iShares Trust iShares Dow Jones U.S. Oil & Gas Exploration & Production Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IEV	iShares Trust iShares S&P Europe 350 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IEZ	iShares Trust iShares Dow Jones U.S. Oil Equipment & Services Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IFAS	iShares Trust - iShares FTSE EPRA/NAREIT Asia Index Fund	-164	10/24/08 - 11/6/08	Link to Circular
IFEU	iShares Trust - iShares FTSE EPRA/NAREIT Europe Index Fund	-164	10/24/08 - 11/6/08	Link to Circular

IFGL	iShares Trust - iShares FTSE EPRA/NAREIT Global Real Estate ex-U.S. Index Fund	-164	10/24/08 - 11/6/08	Link to Circular
IFNA	iShares Trust - iShares FTSE EPRA/NAREIT North America Index Fund	-164	10/24/08 - 11/6/08	Link to Circular
IFSM	iShares Trust - iShares FTSE Developed Small Cap ex-North America Index Fund	-164	10/24/08 - 11/6/08	Link to Circular
IGE	iShares Trust iShares S&P GSSI Natural Resources Index Fund of ISHARES TRUST	-039	10/24/08 - 11/6/08	Link to Circular
IGF	ISHARES TR S&P GLO INFRAS	-167	10/24/08 - 11/6/08	Link to Circular
IGM	iShares Trust iShares S&P GSTI Technology Index Fund of ISHARES TRUST	-152	10/24/08 - 11/6/08	Link to Circular
IGN	iShares Trust iShares S&P GSTI Networking Index Fund of ISHARES TRUST	-152	10/24/08 - 11/6/08	Link to Circular
IGOV	Direxion Shares ETF Trust	-106	4/30/2009	Link to Circular
IGV	iShares Trust iShares S&P GSTI Software Index Fund of ISHARES TRUST	-152	10/24/08 - 11/6/08	Link to Circular
IGW	iShares Trust iShares S&P GSTI Semiconductor Index Fund of ISHARES TRUST	-152	10/24/08 - 11/6/08	Link to Circular
IHE	iShares Trust iShares Dow Jones U.S. Pharmaceuticals Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IHF	iShares Trust iShares Dow Jones U.S. Health Care Providers Index Fund	-160	10/24/08 - 11/6/08	Link to Circular

IHI	iShares Trust iShares Dow Jones U.S. Medical Devices Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IIH	Internet Infrastructure HOLDRs Trust Internet Infrastructure HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
IJH	iShares Trust iShares S&P MidCap 400 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IJJ	iShares Trust iShares S&P MidCap 400 Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IJK	iShares Trust iShares S&P MidCap 400 Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IJR	iShares Trust iShares S&P SmallCap 600 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IJS	iShares Trust iShares S&P SmallCap 600 Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IJT	iShares Trust iShares S&P SmallCap 600 Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
ILF	iShares Trust iShares S&P Latin America 40 Index FundÂ	-160	10/24/08 - 11/6/08	Link to Circular
IMW	Merrill Lynch & Co., Inc.	-066	3/18/2009	Link to Circular
INDL	Direxion Daily India Bull 2X Shares	-037	4/14/2010	Link to Circular
INDY	iShares S&P India Nifty 50 Index Fund ETF	-160	11/25/2009	Link to Circular

INDZ	Direxion Daily India Bear 2X Shares	-037	4/14/2010	Link to Circular
INP	Barclays Bank PLC iPath ETNs linked to the MSCI India Total Return Index	-010	10/24/08 - 11/6/08	Link to Circular
INR	Market Vectors Indian Rupee/USD ETN	-147	9/24/2009	Link to Circular
INXX	Emerging Global Shares INDXX India Infrastructure Index Fund	-083	8/11/2010	Link to Circular
INY	SPDR Series Trust	-023	10/24/08 - 11/6/08	Link to Circular
IOO	iShares Trust iShares S&P Global 100 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IPD	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IPE	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular
IPF	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IPK	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IPN	SPDR S&P International Industrial Sector ETF	-122	6/5/2009	Link to Circular
IPS	SPDR Index Shares Fun	-108	4/30/2009	Link to Circular

IPU	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IPW	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IQE	NETS TR ISEQ 20 IR ETF	-094	10/24/08 - 11/6/08	Link to Circular
IRO	Claymore Exchange-Traded Fund Trust	-027	10/24/08 - 11/6/08	Link to Circular
IRV	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
IRY	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
ISHG	Direxion Shares ETF Trust	-106	4/30/2009	Link to Circular
ISI	iShares Trust iShares S&P 1500 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IST	SPDR Index Shares Funds	-102	10/24/08 - 11/6/08	Link to Circular
ITA	iShares Trust iShares Dow Jones U.S. Aerospace & Defense Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
ITB	iShares Trust iShares Dow Jones U.S. Home Construction Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
ITE	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular

ITF	iShares Trust iShares S&P/TOPIX 150 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
ITL	NETS TR S&P MIB ETF	-079	10/24/08 - 11/6/08	Link to Circular
ITM	Market Vectors ETF Trust	-125	10/24/08 - 11/6/08	Link to Circular
ITR	SPDR Index Shares Funds ETF	-086	4/2/2009	Link to Circular
IVE	iShares Trust iShares S&P 500 Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IVOG	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
IVOO	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
IVOV	Vanguard Admiral Fund ETF	-091	9/8/2010	Link to Circular
IVV	iShares Trust iShares S&P 500 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IVW	iShares Trust iShares S&P 500 Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IWB	iShares Trust iShares Russell 1000 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWC	iShares Trust iShares Russell Microcap Index Fund	-160	10/24/08 - 11/6/08	Link to Circular

IWD	iShares Trust iShares Russell 1000 Value Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWF	iShares Trust iShares Russell 1000 Growth Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWL	iShares Russell Top 200 Index Fund	-154	10/28/2009	Link to Circular
IWM	iShares Trust iShares Russell 2000 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWN	iShares Trust iShares Russell 2000 Value Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWO	iShares Trust iShares Russell 2000 Growth Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IWP	ISHARES TR RUSSELL MCP GR	-160	10/24/08 - 11/6/08	Link to Circular
IWR	ISHARES TR RUSSELL MIDCAP	-160	10/24/08 - 11/6/08	Link to Circular
IWS	ISHARES TR RUSSELL MCP VL	-160	10/24/08 - 11/6/08	Link to Circular
IWV	ISHARES TR RUSSELL 3000	-160	10/24/08 - 11/6/08	Link to Circular
IWW	ISHARES TR RUSL 3000 VALU	-160	10/24/08 - 11/6/08	Link to Circular
IWX	iShares Russell Top 200 Value Index Fund	-154	10/28/2009	Link to Circular

IWY	iShares Russell Top 200 Growth Index Fund	-154	10/28/2009	Link to Circular
IWZ	ISHARES TR RUSL 3000 GROW	-160	10/24/08 - 11/6/08	Link to Circular
IXC	iShares Trust iShares S&P Global Energy Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IXG	iShares Trust iShares S&P Global Financials Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IXJ	iShares Trust iShares S&P Global Healthcare Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IXN	iShares Trust iShares S&P Global Technology Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IXP	iShares Trust iShares S&P Global Telecommunications Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
IYC	iShares Trust iShares Dow Jones U.S. Consumer Services Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYE	iShares Trust iShares Dow Jones U.S. Energy Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYF	iShares Trust iShares Dow Jones U.S. Financial Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYG	iShares Trust iShares Dow Jones U.S. Financial Services Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYH	iShares Trust iShares Dow Jones U.S. Healthcare Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular

IYJ	iShares Trust iShares Dow Jones U.S. Industrial Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYK	iShares Trust iShares Dow Jones U.S. Consumer Goods Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYM	iShares Trust iShares Dow Jones U.S. Basic Materials Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYR	iShares Trust iShares Dow Jones U.S. Real Estate Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYT	iShares Trust iShares Dow Jones Transportation Average Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
IYW	iShares Trust iShares Dow Jones U.S. Technology Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYY	iShares Trust iShares Dow Jones U.S. Fund of iShares Fund	-152	10/24/08 - 11/6/08	Link to Circular
IYZ	iShares Trust iShares Dow Jones U.S. Telecommunications Sector Index Fund	-152	10/24/08 - 11/6/08	Link to Circular
JCO	JETS Contrarian Opportunities Index Fund	-058	5/27/2010	Link to Circular
JEM	Barclays GEMS Index ETN	-158	11/18/2009	Link to Circular
JFT	JP Morgan Chase & Co. ETN	-115	5/14/2009	Link to Circular
JJA	BARCLAYS BANK PLC ETN IPTH AGRI	-119	10/24/08 - 11/6/08	Link to Circular

JJC	BARCLAYS BK PLC ETN IPTH COPR	-119	10/24/08 - 11/6/08	Link to Circular
JJE	BARCLAYS BANK PLC ETN IPTH ENRGY	-119	10/24/08 - 11/6/08	Link to Circular
JJG	BARCLAYS BANK PLC ETN IPTH GRNS	-119	10/24/08 - 11/6/08	Link to Circular
JJM	BARCLAYS BK PLC ETN IPTH IND M	-119	10/24/08 - 11/6/08	Link to Circular
JJN	BARCLAYS BK PLC ETN IPTH NCKL	-119	10/24/08 - 11/6/08	Link to Circular
JJP	BARCLAYS BANK PLC ETN PREC MET38	-128	10/24/08 - 11/6/08	Link to Circular
JJS	BARCLAYS BANK PLC ETN SOFTS 38	-128	10/24/08 - 11/6/08	Link to Circular
JJT	BARCLAYS BANK PLC ETN TIN 38	-128	10/24/08 - 11/6/08	Link to Circular
JJU	BARCLAYS BANK PLC ETN ALUMINUM38	-128	10/24/08 - 11/6/08	Link to Circular
JKD	iShares Trust iShares Morningstar Large Core Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKE	iShares Trust iShares Morningstar Large Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKF	iShares Trust iShares Morningstar Large Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular

JKG	iShares Morningstar Mid Core Index Fund iShares Morningstar Mid Core Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKH	iShares Morningstar Mid Growth Value Index Fund iShares Morningstar Mid Growth Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKI	iShares Trust iShares Morningstar Mid Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKJ	iShares Trust iShares Morningstar Small Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKK	iShares Trust iShares Morningstar Small Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JKL	iShares Trust iShares Morningstar Small Core Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
JNK	SPDR Series Trust	-165	10/24/08 - 11/6/08	Link to Circular
JPP	streetTRACKS Index Shares Funds	-042	10/24/08 - 11/6/08	Link to Circular
JPX	ProShares Ultra MSCI Pacific ex-Japan	-125	6/25/2009	Link to Circular
JRE	NETS TR TOKYO REIT ETF	-115	10/24/08 - 11/6/08	Link to Circular
JSC	streetTRACKS Index Shares Funds	-042	10/24/08 - 11/6/08	Link to Circular
JVS	JETS Dow Jones Islamic Market International Index Fund	-138	8/20/2009	Link to Circular

JXI	iShares Trust iShares S&P Global Utilities Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
JYF	WisdomTree Trust	-016	2/5/2009	Link to Circular
JYN	Barclays Bank PLC iPath JPY/USD Exchange Rate ETN	-120	10/24/08 - 11/6/08	Link to Circular
KBE	SPDR SERIES TRUST KBW BK ETF	-174	10/24/08 - 11/6/08	Link to Circular
KCE	SPDR SERIES TRUST KBW CAP MKTS	-174	10/24/08 - 11/6/08	Link to Circular
KIE	SPDR SERIES TRUST KBW INS ETF	-174	10/24/08 - 11/6/08	Link to Circular
KLD	iShares KLD Select Social Index Fund iShares KLD Select Social Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
KME	SPDR KBW Mortgage Finance ETF	-117	5/14/2009	Link to Circular
KOL	MARKET VECTORS ETF TR COAL ETF	-017	10/24/08 - 11/6/08	Link to Circular
KRE	streetTRACKS KBW Regional Banking ETF	-174	10/24/08 - 11/6/08	Link to Circular
KROO	IQ Australia Small Cap ETF	-031	3/25/2010	Link to Circular
KRS	ProShares Short KBW Regional Banking	-042	4/26/2010	Link to Circular

KRU	ProShares Ultra KBW Regional Banking	-042	4/26/2010	Link to Circular
KWT	Market Vectors ETF Trust	-179	12/1/2008	Link to Circular
KXI	iShares Trust iShares S&P Global Consumer Staples Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
LAG	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular
LATM	Market Vectors Latin America Small Cap	-045	5/5/2010	Link to Circular
LBJ	Direxion Funds Daily Latin America Bull 3x Shares	-170	12/21/2009	Link to Circular
LBND	PowerShares DB 3X Long 25+ Year Treasury Bond ETNs	-072	7/19/2010	Link to Circular
LD	BARCLAYS BANK PLC ETN LEAD 38	-128	10/24/08 - 11/6/08	Link to Circular
LDN	NETS FTSE 100 Index Fund	-145	10/24/08 - 11/6/08	Link to Circular
LHB	Direxion Funds Daily Latin America Bear 3x Shares	-170	12/21/2009	Link to Circular
LIS	NETS TR PSI 20 PORTUGA	-048	10/24/08 - 11/6/08	Link to Circular
LIT	Global X Lithium ETF	-078	7/23/2010	Link to Circular

LQD	iShares iBoxx \$ Investop Investment Grade Corporate Bond Fund iShares iBoxx \$ Investop Investment Grade Corporate Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
LSC	HSBC USA	-008	1/26/2009	Link to Circular
LTL	ProShares Trust	-178	12/1/2008	Link to Circular
LTPZ	PIMCO 15+ Year U.S. TIPS Index Fund ETF	-156	11/6/2009	Link to Circular
LVL	Claymore Exchange-Traded Fund Trust	-097	10/24/08 - 11/6/08	Link to Circular
LWC	SPDR Series Trust ETF	-073	3/18/2009	Link to Circular
MAO	Merrill Lynch & Co., Inc.	-017	2/5/2009	Link to Circular
MBB	iShares Trust	-085	10/24/08 - 11/6/08	Link to Circular
MBG	SPDR Series Trust ETF	-065	3/18/2009	Link to Circular
MCRO	IQ Hedge Macro Tracker ETF	-145	9/24/2009	Link to Circular
MDY	Midcap SPDR Trust Midcap SPDR Trust	-033	10/24/08 - 11/6/08	Link to Circular
MES	Market Vectors ETF Trust	-075	3/30/2009	Link to Circular

MGC	VANGUARD WORLD FD MEGA CAP INDEX	-169	10/24/08 - 11/6/08	Link to Circular
MGK	VANGUARD WORLD FD MEGA GRWTH IND	-169	10/24/08 - 11/6/08	Link to Circular
MGV	VANGUARD WORLD FD MEGA VALUE 300	-169	10/24/08 - 11/6/08	Link to Circular
MHG	AB Svensk Exportkredit	-071	3/18/2009	Link to Circular
MINT	PIMCO Enhanced Short Maturity Strategy Fund	-007	1/27/2010	Link to Circular
MKH	Market 2000+ HOLDRs Trust Market 2000+ HOLDRs Trust	-060	10/24/08 - 11/6/08	Link to Circular
MLN	MARKET VECTORS ETF TR LEH AMT LONG	-020	10/24/08 - 11/6/08	Link to Circular
MLPG	UBS AG Exchange Traded Access Securities Linked to the Alerian Natural Gas MLP Index	-074	7/19/2010	Link to Circular
MLPI	UBS E-TRACS Alerian MLP Infrastructure Exchange-Traded Notes linked to the Alerian MLP Infrastructure	-040	4/26/2010	Link to Circular
MLPL	UBS AG 2x Leveraged Long Exchange Traded Access Securities	-067	7/9/2010	Link to Circular
MLPN	Credit Suisse Cushing 30 MLP Index ETN	-039	4/16/2010	Link to Circular
MNA	IQ ARB Merger Arbitrage ETF	-176	12/31/2009	Link to Circular

MOO	Market Vectors ETF Trust	-114	10/24/08 - 11/6/08	Link to Circular
MTK	SPDR SERIES TRUST MORGAN STN TCH	-174	10/24/08 - 11/6/08	Link to Circular
MUAA	iShares 2012 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUAB	iShares 2013 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUAC	iShares 2014 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUAD	iShares 2015 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUAE	iShares 2016 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUAF	iShares 2017 S&P AMT-Free Municipal Series	-014	2/19/2010	Link to Circular
MUB	iShares Trust	-111	10/24/08 - 11/6/08	Link to Circular
MUNI	PIMCO Intermediate Municipal Bond Strategy Fund	-169	12/16/2009	Link to Circular
MVV	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
MWJ	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular

MWL	Merrill Lynch & Co., Inc.	-055	2/26/2009	Link to Circular
MWL	Merrill Lynch & Co., Inc. ETN	-111	5/7/2009	Link to Circular
MWN	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
MXI	iShares Trust iShares S&P Global Materials Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
MYX	Merrill Lynch & Co., Inc. ETN	-098	4/16/2009	Link to Circular
MYX	Merrill Lynch & Co., Inc. STRIDES	-107	4/30/2009	Link to Circular
MYY	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
MZG	CLAYMORE EXCHANGE TRADED FD TR MFG SUPER SECT	-107	10/24/08 - 11/6/08	Link to Circular
MZN	CLAYMORE EXCHANGE TRADED FD TR INFO SUPER SEC	-107	10/24/08 - 11/6/08	Link to Circular
MZO	CLAYMORE EXCHANGE TRADED FD TR SVCS SUPER SEC	-107	10/24/08 - 11/6/08	Link to Circular
MZZ	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
NASI	ESG Shares North America Sustainability Index ETF	-060	5/27/2010	Link to Circular

NFO	Claymore/Sabrient Insider ETF	-043	10/24/08 - 11/6/08	Link to Circular
NLR	Market Vectors ETF Trust	-105	10/24/08 - 11/6/08	Link to Circular
NUCL	iShares Trust	-007	1/23/2009	Link to Circular
NXT	ZIEGLER EXCHANGE TRADED TR NYSE ARCA TECH	-065	10/24/08 - 11/6/08	Link to Circular
NY	iShares Trust iShares NYSE 100 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
NYC	iShares Trust iShares NYSE Composite Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
NYF	iShares Trust	-162	10/24/08 - 11/6/08	Link to Circular
NYW	Merril Lynch & Co., Inc. ETN	-080	3/30/2009	Link to Circular
OEF	iShares S&P 100 Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
OIH	Oil Service HOLDRs Trust Oil Service HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
OIL	iPath Exchange Traded Notes Linked to Goldman Sachs Crude Oil Total Return Index	-068	10/24/08 - 11/6/08	Link to Circular
OLO	DEUTSCHE BK AG LONDON BRH PS CR OIL LG ETN	-180	12/16/2008	Link to Circular

ONEF	One Fund ETF	-053	5/27/2010	Link to Circular
OOK	OOK, Inc. ETF	-028	3/5/2010	Link to Circular
OTP	Claymore Exchange-Traded Fund Trust	-043	10/24/08 - 11/6/08	Link to Circular
OTR	Claymore Exchange-Traded Fund Trust	-074	10/24/08 - 11/6/08	Link to Circular
PAF	POWERSHARES GLOBAL ETF TRUST ASIA PAC EX-JP	-096	10/24/08 - 11/6/08	Link to Circular
PAGG	POWERSHARES ETF TRUST II GLOBL AGRI ETF	-153	10/24/08 - 11/6/08	Link to Circular
PALL	ETFS Palladium Trust	-002	1/12/2010	Link to Circular
PAO	PowerShares Exchange-Traded Fund Trust II	-013	1/29/2009	Link to Circular
PBD	PowerShares Global Exchange-Traded Fund Trust	-091	10/24/08 - 11/6/08	Link to Circular
PBE	POWERSHARES ETF TRUST DYN BIOT & GEN	-040	10/24/08 - 11/6/08	Link to Circular
PBJ	POWERSHARES ETF TRUST DYN FD & BEV	-040	10/24/08 - 11/6/08	Link to Circular
PBP	POWERSHARES GLOBAL ETF FD S&P 500 BUYWRT	-008	10/24/08 - 11/6/08	Link to Circular

PBS	POWERSHARES ETF TRUST DYN MEDIA PORT	-040	10/24/08 - 11/6/08	Link to Circular
PBTQ	POWERSHARES ETF TRUST II GLOBL BIOT ETF	-153	10/24/08 - 11/6/08	Link to Circular
PBW	POWERSHARES ETF TRUST WNDRHLL CLN EN	-040	10/24/08 - 11/6/08	Link to Circular
PCA	PowerShares Exchange-Traded Fund Trust II	-013	1/29/2009	Link to Circular
PCEF	PowerShares CEF Income Composite Portfolio	-023	3/1/2010	Link to Circular
PCY	PowerShares Exchange-Traded Fund Trust II	-022	10/24/08 - 11/6/08	Link to Circular
PDN	PowerShares Exchange-Traded Fund Trust II	-113	10/24/08 - 11/6/08	Link to Circular
PDP	PowerShares DWA Technical Leaders Portfolio ETF	-062	10/24/08 - 11/6/08	Link to Circular
PDQ	PowerShares Exchange-Traded Fund Trust II	-113	10/24/08 - 11/6/08	Link to Circular
PEF	POWERSHARES GLOBAL ETF TRUST EUROPE PORTFOL	-096	10/24/08 - 11/6/08	Link to Circular
PEH	PowerShares Global Exchange-Traded Fund Trust	-091	10/24/08 - 11/6/08	Link to Circular
PEJ	POWERSHARES ETF TRUST DYN LSR & ENT	-040	10/24/08 - 11/6/08	Link to Circular

PEY	POWERSHARES ETF TRUST HI YLD EQ DVDN	-040	10/24/08 - 11/6/08	Link to Circular
PEZ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PFA	PowerShares Global Exchange-Traded Fund Trust	-091	10/24/08 - 11/6/08	Link to Circular
PFF	iShares Trust	-066	10/24/08 - 11/6/08	Link to Circular
PFI	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PFM	POWERSHARES ETF TRUST DIV ACHV PRTF	-040	10/24/08 - 11/6/08	Link to Circular
PFP	PowerShares Exchange-Traded Fund Trust II	-113	10/24/08 - 11/6/08	Link to Circular
PGD	Barclays ETN	-094	4/9/2009	Link to Circular
PGF	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PGJ	POWERSHARES ETF TRUST GOLDEN DRG USX	-040	10/24/08 - 11/6/08	Link to Circular
PGM	BARCLAYS BANK PLC ETN PLATINUM38	-128	10/24/08 - 11/6/08	Link to Circular
PGX	PowerShares Exchange-Traded Fund Trust II	-032	10/24/08 - 11/6/08	Link to Circular

PGZ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PHB	PowerShares Exchange-Traded Fund Trust II	-024	10/24/08 - 11/6/08	Link to Circular
PHJ	POWERSHARES ETF TRUST HI GRW RT DIV	-040	10/24/08 - 11/6/08	Link to Circular
PHO	POWERSHARES ETF TRUST WATER RESOURCE	-040	10/24/08 - 11/6/08	Link to Circular
PHW	POWERSHARES ETF TRUST DYNAMIC HARDWA	-040	10/24/08 - 11/6/08	Link to Circular
PIC	POWERSHARES ETF TRUST DYN INS PTF	-040	10/24/08 - 11/6/08	Link to Circular
PICB	PowerShares International Corporate Bond Portfolio	-063	6/11/2010	Link to Circular
PID	POWERSHARES ETF TRUST INTL DIV ACHV	-040	10/24/08 - 11/6/08	Link to Circular
PIE	PWERSHARES ETF TRUST II DWA EMRG MKTS	-170	10/24/08 - 11/6/08	Link to Circular
PIN	PowerShares India Trust ETF	-040	2/17/2009	Link to Circular
PIO	POWERSHARES GLOBAL ETF TRUST GBL WTR PORT	-091	10/24/08 - 11/6/08	Link to Circular
PIQ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular

PIV	POWERSHARES ETF TRUST VAL LINE TIME	-040	10/24/08 - 11/6/08	Link to Circular
PIZ	PWERSHARES ETF TRUST II DWA DEVEL MKTS	-170	10/24/08 - 11/6/08	Link to Circular
PJB	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PJF	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PJG	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PJM	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PJO	POWERSHARES GLOBAL ETF TRUST JAPAN PORTFOLI	-096	10/24/08 - 11/6/08	Link to Circular
PJP	POWERSHARES ETF TRUST DYN PHRMA PORT	-040	10/24/08 - 11/6/08	Link to Circular
PKB	POWERSHARES ETF TRUST DYN BLDG CNSTR	-040	10/24/08 - 11/6/08	Link to Circular
PKN	PowerShares ETF Trust	-069	3/18/2009	Link to Circular
PKOL	POWERSHARES ETF TRUST II GLOBL COAL ETF	-153	10/24/08 - 11/6/08	Link to Circular
PKW	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular

PLK	POWERSHARES ACTIVE MNG ETF TR LOW DURAT PORT	-088	10/24/08 - 11/6/08	Link to Circular
PLND	Market Vectors Poland ETF	-008	2/5/2010	Link to Circular
PLTM	First Trust ISE Global Platinum Index Fund	-038	4/16/2010	Link to Circular
PLW	PowerShares Exchange-Traded Fund Trust II	-022	10/24/08 - 11/6/08	Link to Circular
PMNA	PowerShares Exchange Traded Fund Trust II	-005	1/23/2009	Link to Circular
PMR	POWERSHARES ETF TRUST DYN RETAIL PTF	-040	10/24/08 - 11/6/08	Link to Circular
PMY	Credit Suisse Group	-057	3/4/2009	Link to Circular
PNQI	POWERSHARES ETF TRUST NASD INTNT ETF	-135	10/24/08 - 11/6/08	Link to Circular
PNXQ	PowerShares Exchange-Traded Fund Trust - PowerShares Nasdaq Next Q Portfolio	-136	10/24/08 - 11/6/08	Link to Circular
PPA	POWERSHARES ETF TRUST AERSPC DEF PTF	-040	10/24/08 - 11/6/08	Link to Circular
PPH	Pharmaceutical HOLDRs Trust Pharmaceutical HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
PPLT	ETFS Platinum Trust	-003	1/12/2010	Link to Circular

PQBW	PowerShares Exchange-Traded Fund Trust PowerShares Nasdaq-100 BuyWrite Portfolio	-135	10/24/08 - 11/6/08	Link to Circular
PQSC	PowerShares Exchange-Traded Fund Trust - PowerShares FTSE Nasdaq Small Cap Portfolio	-136	10/24/08 - 11/6/08	Link to Circular
PRB	Market Vectors ETF Trust	-038	2/17/2009	Link to Circular
PRF	PowerShares Exchange-Traded Fund Trust Shares of PowerShares FTSE RAFI US 1000 Portfolio	-040	10/24/08 - 11/6/08	Link to Circular
PRFE	PowerShares FTSE RAFI Energy Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFF	PowerShares FTSE RAFI Financials Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFG	PowerShares FTSE RAFI Consumer Goods Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFH	PowerShares FTSE RAFI Healthcare Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFM	PowerShares FTSE RAFI Basic Materials Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFN	PowerShares FTSE RAFI Industrials Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFQ	PowerShares FTSE RAFI Telecommunications & Technology Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFS	PowerShares FTSE RAFI Consumer Services Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular

PRFU	PowerShares FTSE RAFI Utilities Sector Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRFZ	PowerShares FTSE RAFI US 1500 Small-Mid Portfolio	-154	10/24/08 - 11/6/08	Link to Circular
PRN	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PSAU	POWERSHARES ETF TRUST II GLOB GLD&P ETF	-153	10/24/08 - 11/6/08	Link to Circular
PSJ	POWERSHARES ETF TRUST DYN SFTWR PORT	-040	10/24/08 - 11/6/08	Link to Circular
PSK	SPDR Wells Fargo Preferred Stock ETF	-146	9/24/2009	Link to Circular
PSL	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PSP	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PSQ	ProShares Short QQQ	-002	10/24/08 - 11/6/08	Link to Circular
PSR	PowerShares Actively Managed ETF Trust	-110	4/30/2009	Link to Circular
PST	ProShares Trust 7-10 Year Treasury ETF	-171	10/24/08 - 11/6/08	Link to Circular
PSTL	POWERSHARES ETF TRUST II GLOBAL STL ETF	-153	10/24/08 - 11/6/08	Link to Circular

PTD	UBS AG JERSEY BRH SHRT PLATN ETN18	-172	10/24/08 - 11/6/08	Link to Circular
PTE	POWERSHARES ETF TRUST DYNAMIC TELECO	-040	10/24/08 - 11/6/08	Link to Circular
PTF	POWERSHARES Dynamic Banking Portfolio	-040	10/24/08 - 11/6/08	Link to Circular
PTH	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PTJ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PTM	UBS AG JERSEY BRH LONG PLATN ETN18	-172	10/24/08 - 11/6/08	Link to Circular
PTO	PowerShares Exchange-Traded Fund Trust II	-013	1/29/2009	Link to Circular
PTRP	POWERSHARES ETF TRUST II GLOBL TRAN ETF	-153	10/24/08 - 11/6/08	Link to Circular
PUA	PowerShares Global Exchange-Traded Fund Trust	-091	10/24/08 - 11/6/08	Link to Circular
PUI	POWERSHARES ETF TRUST DYN UTIL PORTF	-040	10/24/08 - 11/6/08	Link to Circular
PUW	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PVI	PowerShares Exchange-Traded Fund Trust II	-024	10/24/08 - 11/6/08	Link to Circular

PVM	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PWB	POWERSHARES ETF TRUST DYNM LRG CP GR	-040	10/24/08 - 11/6/08	Link to Circular
PWC	POWERSHARES ETF TRUST DYNAMIC MKT PT	-040	10/24/08 - 11/6/08	Link to Circular
PWD	PowerShares Exchange-Traded Fund Trust II	-113	10/24/08 - 11/6/08	Link to Circular
PWJ	POWERSHARES ETF TRUST DYNM MC GRWTH	-040	10/24/08 - 11/6/08	Link to Circular
PWND	PowerShares Exchange Traded Fund Trust II	-004	1/23/2009	Link to Circular
PWO	POWERSHARES ETF TRUST DYNAMIC OTC PT	-040	10/24/08 - 11/6/08	Link to Circular
PWP	POWERSHARES ETF TRUST DYMN MC VAL	-040	10/24/08 - 11/6/08	Link to Circular
PWT	POWERSHARES ETF TRUST DYNM SM CP GRW	-040	10/24/08 - 11/6/08	Link to Circular
PWV	POWERSHARES ETF TRUST DYNM LRG CP VL	-040	10/24/08 - 11/6/08	Link to Circular
PWY	POWERSHARES ETF TRUST DYNM SM CP VAL	-040	10/24/08 - 11/6/08	Link to Circular
PWZ	PowerShares Exchange-Traded Fund Trust II	-022	10/24/08 - 11/6/08	Link to Circular

PXE	POWERSHARES ETF TRUST DYN EN EX PROD	-040	10/24/08 - 11/6/08	Link to Circular
PXF	POWERSHARES GLOBAL ETF TRUST DEV MKTS EX-US	-096	10/24/08 - 11/6/08	Link to Circular
PXH	PowerShares Exchange-Traded Fund Trust II	-113	10/24/08 - 11/6/08	Link to Circular
PXI	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PXJ	POWERSHARES ETF TRUST DYN OIL SVCS	-040	10/24/08 - 11/6/08	Link to Circular
PXN	POWERSHARES ETF TRUST LX NANOTCH PTF	-040	10/24/08 - 11/6/08	Link to Circular
PXQ	POWERSHARES ETF TRUST DYN NETWKG PRT	-040	10/24/08 - 11/6/08	Link to Circular
PXR	Paxar Corporation Common Stock	-141	10/24/08 - 11/6/08	Link to Circular
PYH	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PYZ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PZA	PowerShares Insured National Muni Bond Fund	-022	10/24/08 - 11/6/08	Link to Circular
PZD	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular

PZI	POWERSHARES ETF TRUST ZACKS MC PRTFL	-040	10/24/08 - 11/6/08	Link to Circular
PZJ	PowerShares Exchange-Traded Fund Trust	-040	10/24/08 - 11/6/08	Link to Circular
PZT	PowerShares Exchange-Traded Fund Trust II	-022	10/24/08 - 11/6/08	Link to Circular