



BYX Information Circular 10-004

Date: October 15, 2010

Re: Certain UTP Derivative Securities to be Traded on the Exchange

Pursuant to Rule 14.1(c)(2) of the Rules of BATS Y-Exchange, Inc. ("BYX" or the "Exchange"), this Information Circular is being issued to provide you with information about the following securities that have been approved for trading on the Exchange as UTP Derivative Securities pursuant to Chapter XIV of the Exchange's Rules.

Securities (the "Funds", units of which are referred to as "Shares")

See Attached List Below

Commencement of Trading on the Exchange: October 18, 2010

Attached to this Information Circular is a list of ticker symbols related to certain UTP Derivative Securities that will commence trading on the Exchange on Monday, October 18, 2010. Along with the list of ticker symbols, the Exchange has included the name of each particular ticker symbol's associated Fund/Trust, the original number of the circular on BATS Exchange, Inc. ("BATS Exchange"), the date the UTP Derivative Security was originally traded on BATS Exchange, and a link to the information circular containing certain information about the UTP Derivative Security.

The purpose of the information circulars linked to below is to outline various rules and policies that will be applicable to trading in these UTP Derivative Securities pursuant to the Exchange's unlisted trading privileges, as well as to provide certain characteristics and features of the Shares. For a more complete description of the Issuer, the Shares and the underlying market instruments or indexes, visit the Issuer Website, consult the Prospectus available on the Issuer Website, examine the Issuer Registration Statement or review the most current information bulletin issued by the Primary Listing Exchange.

This Information Circular is not a statutory prospectus. BYX Members should consult the prospectus for a security and the security's website for relevant information.

Please contact Membership Services at 913.815.7002 with any inquiries regarding this Information Circular.

Symbol	Fund Name	Circular Number	First Date Traded on BZX	Links
AADR	WCM/BNY Mellon Focused Growth ADR ETF	-077	7/22/2010	Link to Circular
AAXJ	ISHARES TR MSCI ACJPN IDX	-051	10/24/08 - 11/6/08	Link to Circular
ACWI	iShares Trust - iShares MSCI ACWI Index Fund	-052	10/24/08 - 11/6/08	Link to Circular
ACWX	iShares Trust - iShares MSCI ACWI ex US Index Fund	-052	10/24/08 - 11/6/08	Link to Circular
ADE	DEUTSCHE BK AG LDN BRH ETN AUD/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
ADJ	Morgan Stanley & Co., Inc.	-035	2/12/2009	Link to Circular
ADRA	PowerShares Exchange-Traded Fund Trust - BLDRS Asia 50 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ARDR	PowerShares Exchange-Traded Fund Trust - BLDRS Developed Markets 100 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADRE	PowerShares Exchange-Traded Fund Trust - BLDRS Emerging Markets 50 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADRU	PowerShares Exchange-Traded Fund Trust - BLDRS Europe 100 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADZ	DEUTSCHE BK AG LDN BRH PSHS AGRI SHR38	-182	12/16/2008	Link to Circular
AEX	NETS TR AEX IDX NETHER	-048	10/24/08 - 11/6/08	Link to Circular
AFK	Market Vectors Africa Index	-050	10/24/08 - 11/6/08	Link to Circular
AGA	DEUTSCHE BK AG LDN BRH PS AGRI DS ETN	-014	10/24/08 - 11/6/08	Link to Circular
AGF	DEUTSCHE BK AG LDN BRH PS AGRI LG ETN	-047	10/24/08 - 11/6/08	Link to Circular
AGG	ISHARES TR LEHMAN AGG BND	-046	10/24/08 - 11/6/08	Link to Circular
AGQ	ProShares ETF Trust II	-185	12/23/2008	Link to

				Circular
AGZ	iShares Trust	-012	1/29/2009	Link to Circular
AIA	ISHARES TR S&P ASIA 50 IN	-054	10/24/08 - 11/6/08	Link to Circular
ALT	iShares Diversified Alternatives Trust	-159	11/18/2009	Link to Circular
AMJ	JP Morgan Chase & Co. ETN	-090	4/9/2009	Link to Circular
AMK	Morgan Stanley & Co., Inc. ETN	-091	4/9/2009	Link to Circular
AMM	Safety First Trust Index-Linked Notes	-105	4/30/2009	Link to Circular
AOA	iShares Trust	-009	1/26/2009	Link to Circular
AOK	iShares Trust	-009	1/26/2009	Link to Circular
AOM	iShares Trust	-009	1/26/2009	Link to Circular
AOR	iShares Trust	-009	1/26/2009	Link to Circular
ASO	AirShares EU Carbon Allowances Fund	-100	4/16/2009	Link to Circular
ATA	Safety First Trust Index-Linked Notes	-104	4/30/2009	Link to Circular
AUS	NETS S&P/ASX 200 Index Fund	-145	10/24/08 - 11/6/08	Link to Circular
AXD	Merrill Lynch & Co., Inc.	-018	2/5/2009	Link to Circular
AXDI	iShares MSCI ACWI ex US Consumer Discretionary Sector Index Fund	-075	7/20/2010	Link to Circular
AXEN	iShares MSCI ACWI ex US Energy Sector Index Fund	-075	7/20/2010	Link to Circular
AXF	AB Svensk Exportkredit	-043	2/19/2009	Link to Circular

AXFN	iShares MSCI ACWI ex US Financials Sector Index Fund	-047	5/5/2010	Link to Circular
AXHE	iShares MSCI ACWI ex US Health Care Sector Index Fund	-075	7/20/2010	Link to Circular
AXID	iShares MSCI ACWI ex US Industrials Sector Index Fund	-075	7/20/2010	Link to Circular
AXIT	iShares MSCI ACWI ex US Information Technology Sector Index Fund	-075	7/20/2010	Link to Circular
AXMT	iShares MSCI ACWI ex US Materials Sector Index Fund	-075	7/20/2010	Link to Circular
AXSL	iShares MSCI ACWI ex US Consumer Staples Sector Index Fund	-075	7/20/2010	Link to Circular
AXTE	iShares MSCI ACWI ex US Telecommunication Services Sector Index Fund	-075	7/20/2010	Link to Circular
AXUT	iShares MSCI ACWI ex US Utilities Sector Index Fund	-075	7/20/2010	Link to Circular
AYT	Barclays BK PLC Asia Curr ETN	-053	10/24/08 - 11/6/08	Link to Circular
BAB	PowerShares Build America Bond Portfolio ETF	-162	12/2/2009	Link to Circular
BABS	SPDR Nuveen Barclays Capital Build America Bond ETF	-054	5/27/2010	Link to Circular
BABZ	PIMCO Build America Bond Strategy Fund	-098	9/24/2010	Link to Circular
BBH	Biotech HOLDRs Trust Biotech HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BDD	DEUTSCHE BK AG LONDON BRH MTLs DBL ETN38	-092	10/24/08 - 11/6/08	Link to Circular
BDG	PowerShares DB Base Metals Long ETNs	-176	10/24/08 - 11/6/08	Link to Circular
BDH	Broadband HOLDRs Trust Broadband HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BGU	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
BGZ	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular

BHH	B2B Internet HOLDRs Trust B2B Internet HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BIB	ProShares Ultra Nasdaq Biotechnology	-035	4/9/2010	Link to Circular
BIK	SPDR INDEX SHS FDS S&P BRIC 40ETF	-095	10/24/08 - 11/6/08	Link to Circular
BIL	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular
BIS	ProShares UltraShort Nasdaq Biotechnology	-035	4/9/2010	Link to Circular
BIV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BJK	Market Vectors ETF Trust	-018	10/24/08 - 11/6/08	Link to Circular
BJV	AB Svensk Exportkredit	-060	3/4/2009	Link to Circular
BKF	iShares MSCI BRIC Index Fund	-054	10/24/08 - 11/6/08	Link to Circular
BLM	Citigroup Funding, Inc.	-010	1/29/2009	Link to Circular
BLV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BND	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BNZ	WisdomTree Trust ETF	-076	3/30/2009	Link to Circular
BOM	DEUTSCHE BK AG LONDON BRH PS MTL DDL ETN	-092	10/24/08 - 11/6/08	Link to Circular
BOS	DEUTSCHE BK AG LONDON BRH MTL SHRT ETN38	-092	10/24/08 - 11/6/08	Link to Circular
BPF	Merrill Lynch & Co., Inc.	-041	2/19/2009	Link to Circular
BRAF	Global X Brazil Financials ETF	-080	7/29/2010	Link to Circular
BRAQ	Global X Brazil Consumer ETF	-069	7/13/2010	Link to Circular

BRAZ	Brazil Mid Cap ETF	-066	6/24/2010	Link to Circular
BRF	Market Vectors Brazil Small-Cap ETF	-121	6/5/2009	Link to Circular
BRIL	Direxion Daily BRIC Bull 2X Shares	-037	4/14/2010	Link to Circular
BRIS	Direxion Daily BRIC Bear 2X Shares	-037	4/14/2010	Link to Circular
BRU	NETS TR BEL20 IDX BELG	-048	10/24/08 - 11/6/08	Link to Circular
BRXX	Emerging Global Shares INDXX Brazil Infrastructure Index Fund	-025	3/5/2010	Link to Circular
BSC	Deutsche Bank ELEMENTS	-127	10/24/08 - 11/6/08	Link to Circular
BSCB	Claymore BulletShares 2011 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCC	Claymore BulletShares 2012 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCD	Claymore BulletShares 2013 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCE	Claymore BulletShares 2014 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCF	Claymore BulletShares 2015 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCG	Claymore BulletShares 2016 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCH	Claymore BulletShares 2017 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSL	iPath Exchange Traded Notes	-119	5/14/2009	Link to Circular
BSR	The BearLinx Alerian MLP Select Index ETN	-101	10/24/08 - 11/6/08	Link to Circular
BSV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BTR	Merrill Lynch & Co., Inc.	-056	3/4/2009	Link to Circular

BVL	DEUTSCHE BK AG LDN BRH BG LARGE ETN	-110	10/24/08 - 11/6/08	Link to Circular
BVT	DEUTSCHE BK AG LDN BRH BG TTL ETN	-110	10/24/08 - 11/6/08	Link to Circular
BWV	iPath Exchange Traded Notes linked to the CBOE S&P 500 Buy/Write Index	-064	10/24/08 - 11/6/08	Link to Circular
BWX	SPDR Series Trust	-029	10/24/08 - 11/6/08	Link to Circular
BWZ	SPDR Series Trust ETF	-065	3/18/2009	Link to Circular
BXDB	Barclays Short B Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXDC	Barclays Short C Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXDD	Barclays Short D Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXUB	Barclays Long B Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXUC	Barclays Long C Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BZF	The Brazil Fund, Inc. Common Stock	-016	10/24/08 - 11/6/08	Link to Circular
BZQ	ProShares Ultra MSCI Brazil	-125	6/25/2009	Link to Circular
BZZ	Citigroup Funding, Inc.	-033	2/12/2009	Link to Circular
CCX	WisdomTree Dreyfus Commodity Currency Fund	-100	10/1/2010	Link to Circular
CEW	WisdomTree Dreyfus Emerging Currency Fund	-114	5/14/2009	Link to Circular
CFT	iShares Trust iShares Lehman Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CGW	Claymore Exchange-Traded Fund Trust 2	-080	10/24/08 - 11/6/08	Link to Circular
CHIB	Global X China Technology	-175	12/29/2009	Link to Circular

CHIE	Global X China Energy ETF	-177	1/5/2010	Link to Circular
CHII	Global X China Industrials ETF	-164	12/4/2009	Link to Circular
CHIM	Global X China Materials ETF	-011	2/10/2010	Link to Circular
CHIQ	Global X China Consumer ETF	-164	12/4/2009	Link to Circular
CHIX	Global X China Financials ETF	-167	12/15/2009	Link to Circular
CHXX	Emerging Global Shares INDXX China Infrastructure Index Fund	-021	2/24/2010	Link to Circular
CIU	iShares Trust iShares Lehman Intermediate Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CLY	iShares 10+ Year Credit Bond Fund	-010	2/9/2010	Link to Circular
CMD	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
CMF	iShares Trust	-162	10/24/08 - 11/6/08	Link to Circular
CNDA	IQ Canada Small Cap ETF	-031	3/25/2010	Link to Circular
CNY	Morgan Stanley Market Vectors Currency ETN	-087	4/2/2009	Link to Circular
COPX	Global X Copper Miners ETF	-041	4/26/2010	Link to Circular
CORN	Teucrium Corn Fund ETF	-065	6/15/2010	Link to Circular
CORP	PIMCO ETF Trust Exchange Traded Fund	-097	9/23/2010	Link to Circular
COW	BARCLAYS BANK PLC ETN IPTH LVSTK	-119	10/24/08 - 11/6/08	Link to Circular
CPI	IQ CPI Inflation Hedged ETF	-171	12/21/2009	Link to Circular
CQQQ	Claymore China Technology ETF	-168	12/16/2009	Link to Circular

CRBA	ALPS Thomson Reuters/Jefferies CRB Global Agriculture Equity Index Fund	-029	3/5/2010	Link to Circular
CRBI	ALPS Thomson Reuters/Jefferies CRB Global Industrial Metals Equity Index Fund	-029	3/5/2010	Link to Circular
CRBQ	Global Commodity Equity Index Fund	-144	9/24/2009	Link to Circular
CRJ	Merril Lynch & Co., Inc. ETN	-084	3/30/2009	Link to Circular
CRO	Claymore Exchange-Traded Fund Trust 2	-090	10/24/08 - 11/6/08	Link to Circular
CSD	Claymore Exchange-Traded Fund Trust	-043	10/24/08 - 11/6/08	Link to Circular
CSJ	iShares Trust iShares Lehman 1-3 Year Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CSLS	Credit Suisse Long/Short Liquid Index Exchange Traded Notes	-043	5/5/2010	Link to Circular
CSM	ProShares Credit Suisse 130/30 ETF	-131	8/5/2009	Link to Circular
CU	First Trust ISE Global Copper Index Fund	-038	4/16/2010	Link to Circular
CUD	DEUTSCHE BK AG LDN BRH ETN CAD/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
CUT	Claymore Exchange-Traded Fund Trust 2	-163	10/24/08 - 11/6/08	Link to Circular
CVY	Claymore/Zacks Yield Hog ETF	-043	10/24/08 - 11/6/08	Link to Circular
CWB	SPDR Barclays Capital Convertible Bond ETF	-102	4/23/2009	Link to Circular
CWI	streetTRACKS Index Shares Funds	-042	10/24/08 - 11/6/08	Link to Circular
CXA	SPDR Series Trust	-023	10/24/08 - 11/6/08	Link to Circular
CYB	Wisdomtree Dreyfus Chinese Yuan Fund	-016	10/24/08 - 11/6/08	Link to Circular
CZA	Claymore Exchange-Traded Fund Trust	-074	10/24/08 - 11/6/08	Link to Circular

CZI	Direxion Funds Daily China Bear 3x Shares	-170	12/21/2009	Link to Circular
CZM	Direxion Funds Daily China Bull 3x Shares	-170	12/21/2009	Link to Circular
DAG	Deutsche BK AG LDN BRH PS AGRI DL ETN	-047	10/24/08 - 11/6/08	Link to Circular
DAX	NETS DAX Index Fund	-144	10/24/08 - 11/6/08	Link to Circular
DBA	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBB	PowerShares DB Multi-Sector Commodity Trust	-116	10/24/08 - 11/6/08	Link to Circular
DBC	POWERSHARES DB CMDTY IDX TRACK UNIT BEN INT	-118	10/24/08 - 11/6/08	Link to Circular
DBE	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBN	WisdomTree Trust WisdomTree International Basic Materials Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBO	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBP	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBR	WisdomTree Trust WisdomTree International Health Care Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBS	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBT	WisdomTree Trust WisdomTree International Technology Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBU	WisdomTree Trust WisdomTree International Utilities Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBV	PowerShares DB G10 Currency Harvest Fund	-005	10/24/08 - 11/6/08	Link to Circular
DDG	ProShares Trust	-178	12/1/2008	Link to Circular
DDI	WisdomTree Trust WisdomTree International Industrial Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular

DDM	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DDP	DEUTSCHE BK AG LDN BRH PS CMDTY SH ETN	-181	12/16/2008	Link to Circular
DEB	WisdomTree Trust WisdomTree Europe Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DEE	DEUTSCHE BK AG LDN BRH PS CMDT DS ETN	-181	12/16/2008	Link to Circular
DEF	Claymore Exchange-Traded Fund Trust	-043	10/24/08 - 11/6/08	Link to Circular
DEM	WisdomTree Emerging Markets High-Yielding Equity Fund Shares of beneficial interest	-161	10/24/08 - 11/6/08	Link to Circular
DENT	Advisor Dent Tactical ETF	-149	10/9/2009	Link to Circular
DES	WisdomTree Trust WisdomTree SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DEV	Merrill Lynch & Co., Inc.	-021	2/12/2009	Link to Circular
DEW	WisdomTree Trust WisdomTree Europe High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DFE	WisdomTree Trust WisdomTree Europe SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DFJ	WisdomTree Trust WisdomTree Japan SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DGG	WisdomTree Trust WisdomTree International Communications Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DGK	Merrill Lynch & Co., Inc. ETN	-077	3/30/2009	Link to Circular
DGL	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DGP	DEUTSCHE BK AG LDN BRH PS GOLD DL ETN	-013	10/24/08 - 11/6/08	Link to Circular
DGS	WISDOMTREE TRUST EMG MKTS SMCAP	-028	10/24/08 - 11/6/08	Link to Circular
DGT	SPDR SERIES TRUST DJ GLB TITANS	-174	10/24/08 - 11/6/08	Link to Circular

DGZ	DEUTSCHE BK AG LDN BRH PS GOLD SH ETN	-013	10/24/08 - 11/6/08	Link to Circular
DHS	WisdomTree Trust WisdomTree High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DIG	PROSHARES TR ULTRA O&G PRO	-002	10/24/08 - 11/6/08	Link to Circular
DIM	WisdomTree Trust WisdomTree International MidCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DJCI	UBS E-Tracs Dow Jones-UBS Commodity Exchange-Traded Notes	-051	5/18/2010	Link to Circular
DJP	iPath Exchanged Traded Notes due June 12, 2036 Linked to Dow Jones - AIG Commodity Index Total Return	-069	10/24/08 - 11/6/08	Link to Circular
DKA	WisdomTree Trust WisdomTree International Energy Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DLBL	iPath US Treasury Long Bond Bull ETN	-085	8/13/2010	Link to Circular
DLBS	iPath US Treasury Long Bond Bear ETN	-085	8/13/2010	Link to Circular
DLN	WisdomTree Trust WisdomTree LargeCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DLS	WisdomTree Trust WisdomTree International SmallCap Dividend, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DMM	MacroShares Major Metro Housing Up Trust	-129	8/5/2009	Link to Circular
DND	WisdomTree Trust WisdomTree Pacific ex-Japan Total Dividend, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DNH	WisdomTree Trust WisdomTree Pacific ex-Japan High Yielding Equity, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DNL	WisdomTree Trust WisdomTree Japan High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DOD	DEUTSCHE BK AG LONDON ETN DJ HY 2022	-149	10/24/08 - 11/6/08	Link to Circular
DOG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DOL	WisdomTree Trust WisdomTree International LargeCap Dividend, Shares of beneficial interest,	-173	10/24/08 - 11/6/08	Link to Circular

DON	WisdomTree Trust WisdomTree MidCap Dividend, Shares of beneficial interest, \$0.001par value	-173	10/24/08 - 11/6/08	Link to Circular
DOO	WisdomTree Trust WisdomTree International Dividend Top 100, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DPC	WisdomTree Trust WisdomTree International Consumer Cyclical Sector Fund	-173	10/24/08 - 11/6/08	Link to Circular
DPK	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
DPN	WisdomTree Trust WisdomTree International Consumer Non-Cyclical Sector Fund	-173	10/24/08 - 11/6/08	Link to Circular
DPU	DEUTSCHE BK AG LDN BRH PS CMDT LG ETN	-181	12/16/2008	Link to Circular
DRF	WisdomTree Trust WisdomTree International Financial Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DRN	Direxion Funds Daily Real Estate Bull 3x Shares	-130	8/5/2009	Link to Circular
DRR	Morgan Stanley & Co., Inc.	-014	2/5/2009	Link to Circular
DRV	Direxion Funds Daily Real Estate Bear 3x Shares	-130	8/5/2009	Link to Circular
DRW	WisdomTree Trust	-099	10/24/08 - 11/6/08	Link to Circular
DSC	SPDR SERIES TRUST DJWS SMALL CAP	-174	10/24/08 - 11/6/08	Link to Circular
DSG	SPDR SERIES TRUST DJWS SCAP GR	-174	10/24/08 - 11/6/08	Link to Circular
DSI	ISHARES TR KLD 400 IDX FD	-160	10/24/08 - 11/6/08	Link to Circular
DSTJ	JP Morgan Treasury Futures ETN	-103	10/8/2010	Link to Circular
DSV	SPDR SERIES TRUST DJWS SMCAP VL	-174	10/24/08 - 11/6/08	Link to Circular
DSXJ	JP Morgan Treasury Futures ETN	-103	10/8/2010	Link to Circular
DTD	WisdomTree Trust WisdomTree Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular

DTH	WisdomTree Trust WisdomTree DEFA High-Yielding Equity, Shares of beneficial interest, \$0.01 par value	-173	10/24/08 - 11/6/08	Link to Circular
DTN	WisdomTree Trust WisdomTree Dividend Top 100, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DTO	DEUTSCHE BK AG LONDON BRH PS CRD OIL ETN	-180	12/16/2008	Link to Circular
DTUL	iPath US Treasury 2-Year Bull ETN	-085	8/13/2010	Link to Circular
DTUS	iPath US Treasury 2-Year Bear ETN	-085	8/13/2010	Link to Circular
DTYL	iPath US Treasury 10-Year Bull ETN	-085	8/13/2010	Link to Circular
DTYS	iPath US Treasury 10-Year Bear ETN	-085	8/13/2010	Link to Circular
DUG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DVK	AB Svensk Exportkredit	-037	2/12/2009	Link to Circular
DVY	iShares Trust iShares Dow Jones Select Dividend Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
DWM	WisdomTree Trust WisdomTree DEFA, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DWX	SPDR Index Shares Funds	-140	10/24/08 - 11/6/08	Link to Circular
DXD	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DXJ	WisdomTree Trust WisdomTree Japan Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DXO	DEUTSCHE BK AG LONDON BRH PS CR OIL DD ETN	-180	12/16/2008	Link to Circular
DYY	DEUTSCHE BK AG LDN BRH PS CMDT DL ETN	-181	12/16/2008	Link to Circular
DZK	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
DZZ	DEUTSCHE BK AG LDN BRH PS GOLD DS ETN	-013	10/24/08 - 11/6/08	Link to Circular

EAP	Citigroup Funding, Inc.	-023	2/12/2009	Link to Circular
ECB	Citigroup Funding, Inc.	-027	2/12/2009	Link to Circular
ECB	Citigroup Funding, Inc. ELKS	-092	4/9/2009	Link to Circular
ECH	ISHARES INC MSCI CHILE INVES	-054	10/24/08 - 11/6/08	Link to Circular
ECNS	iShares Trust ETF	-099	9/29/2010	Link to Circular
ECON	EGA Emerging Global Shares Trust ETF	-093	9/13/2010	Link to Circular
EDC	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
EDV	Vanguard Extended Duration Treasury Index Fund	-166	10/24/08 - 11/6/08	Link to Circular
EDZ	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
EEB	Claymore/BNY BRIC ETF	-043	10/24/08 - 11/6/08	Link to Circular
EEG	EGA Emerging Global Shares Trust ETF	-137	8/20/2009	Link to Circular
EEH	ELEMENTS (SM) Linked to the SPECTRUM Large Cap U.S. Sector Momentum Index	-106	10/24/08 - 11/6/08	Link to Circular
EEM	iShares, Inc. iShares MSCI Emerging Markets Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EEN	Claymore Exchange-Traded Fund Trust 2	-082	10/24/08 - 11/6/08	Link to Circular
EEO	EGA Emerging Global Shares Trust ETF	-120	6/5/2009	Link to Circular
EES	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EET	ProShares Ultra MSCI Emerging Markets ETF	-124	6/12/2009	Link to Circular
EEV	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular

EEZ	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EFA	iShares Trust iShares MSCI EAFE Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EFG	iShares Trust iShares MSCI Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
EFN	Emerging Markets Financials Titans Index Fund	-148	9/24/2009	Link to Circular
EFO	ProShares Ultra MSCI EAFE ETF	-124	6/12/2009	Link to Circular
EFU	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EFV	iShares Trust iShares MSCI Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
EFZ	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EGB	DEUTSCHE BK AG LDN BRH ETN GBP/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
EGK	Citigroup Funding, Inc.	-024	2/12/2009	Link to Circular
EGPT	Market Vectors Egypt Index Exchange-Traded Fund	-020	2/24/2010	Link to Circular
EHC	Citigroup Funding, Inc.	-026	2/12/2009	Link to Circular
EHP	Citigroup Funding, Inc.	-028	2/12/2009	Link to Circular
EIDO	iShares MSCI Indonesia Investable Market Index Fund	-056	5/27/2010	Link to Circular
EIRL	iShares MSCI Ireland Capped Investable Market Index Fund	-056	5/27/2010	Link to Circular
EIS	iShares Trust	-062	3/9/2009	Link to Circular
EKH	Europe 2001 HOLDRs Trust Europe 2001 HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
EKJ	Citigroup Funding, Inc.	-029	2/12/2009	Link to Circular

ELD	WisdomTree Emerging Markets Local Debt Fund	-084	8/13/2010	Link to Circular
ELG	SPDR SERIES TRUST DJWS LGCAP GRW	-174	10/24/08 - 11/6/08	Link to Circular
ELJ	Citigroup Funding, Inc.	-025	2/12/2009	Link to Circular
ELR	SPDR SERIES TRUST DJWS LARGE CAP	-174	10/24/08 - 11/6/08	Link to Circular
ELV	SPDR SERIES TRUST DJWS LGCAP VAL	-174	10/24/08 - 11/6/08	Link to Circular
EMFN	iShares MSCI Emerging Markets Financials Sector Index Fund	-047	5/5/2010	Link to Circular
EMG	SPDR SERIES TRUST DJWS MIDCAP GR	-174	10/24/08 - 11/6/08	Link to Circular
EMIF	iShares S&P Emerging Markets Infra. Index Fund	-133	8/13/2009	Link to Circular
EMLC	Market Vectors Emerging Markets Local Currency Bond ETF	-079	7/27/2010	Link to Circular
EMM	SPDR SERIES TRUST DJWS MIDCAP	-174	10/24/08 - 11/6/08	Link to Circular
EMMT	iShares MSCI Emerging Markets Materials Sector Index Fund	-047	5/5/2010	Link to Circular
EMT	EGA Emerging Global Shares Trust ETF	-120	6/5/2009	Link to Circular
EMV	SPDR SERIES TRUST DJWS MCAP VLUE	-174	10/24/08 - 11/6/08	Link to Circular
ENY	Claymore/SWM Canadian Energy Income Index ETF	-098	10/24/08 - 11/6/08	Link to Circular
ENZL	iShares MSCI New Zealand Investable Market Index Fund	-090	9/2/2010	Link to Circular
EPHE	iShares Trust ETF	-099	9/29/2010	Link to Circular
EPI	WISDOMTREE TRUST INDIA ENRGS FD	-133	10/24/08 - 11/6/08	Link to Circular
EPOL	iShares MSCI Poland Investable Market Index Fund	-061	5/28/2010	Link to Circular

EPP	iShares, Inc. iShares MSCI Pacific ex-Japan Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EPS	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EPU	iShares MSCI All Peru Capped Index Fund	-127	7/1/2009	Link to Circular
EPV	ProShares Ultra MSCI Europe	-125	6/25/2009	Link to Circular
EQL	ALPS Equal Sector Weight ETF	-135	8/13/2009	Link to Circular
ERE	DEUTSCHE BK AG LDN BRH ETN EUR/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
ERO	Barclays Bank PLC iPath EUR/USD Exchange Rate ETN	-120	10/24/08 - 11/6/08	Link to Circular
ERX	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
ERY	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
ESM	Citigroup Funding, Inc.	-067	3/18/2009	Link to Circular
ESR	iShares MSCI Emerging Markets Eastern Europe Index Fund	-152	10/9/2009	Link to Circular
EU	WISDOMTREE TRUST DREYF EURO ETF	-016	10/24/08 - 11/6/08	Link to Circular
EUFN	iShares MSCI Europe Financials Sector Index Fund	-047	5/5/2010	Link to Circular
EUM	PROSHARES TR SHRT MSCI EMRG	-002	10/24/08 - 11/6/08	Link to Circular
EUO	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
EUSA	iShares MSCI USA Index Fund	-056	5/27/2010	Link to Circular
EVX	Market Vectors ETF Trust	-056	10/24/08 - 11/6/08	Link to Circular
EWA	iShares Inc. iShares MSCI Australia Index Fund	-160	10/24/08 - 11/6/08	Link to Circular

EWC	ISHARES INC MSCI CDA INDEX	-160	10/24/08 - 11/6/08	Link to Circular
EWD	iShares Inc. iShares MSCI Sweden Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWG	iShares Inc. iShares MSCI Germany Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWH	iShares Inc. iShares MSCI Hong Kong Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWI	iShares Inc. iShares MSCI Italy Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWJ	iShares, Inc. iShares MSCI Japan Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWK	iShares Inc. iShares MSCI Belgium Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWL	iShares Inc. iShares MSCI Switzerland Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWM	iShares, Inc. iShares MSCI Malaysia Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWN	iShares Inc. iShares MSCI Netherlands Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWO	ISHARES INC MSCI AUSTRIA INV	-160	10/24/08 - 11/6/08	Link to Circular
EWP	iShares Inc. iShares MSCI Spain Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWQ	iShares Inc. iShares MSCI France Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWS	iShares Inc. iShares MSCI Singapore Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWT	iShares Inc. iShares MSCI Taiwan Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWU	iShares Inc. iShares MSCI United Kingdom Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWV	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EWV	iShares Inc. iShares MSCI Mexico Index Fund	-160	10/24/08 - 11/6/08	Link to Circular

EWX	SPDR Index Shares Funds ETF	-079	3/30/2009	Link to Circular
EWY	iShares Inc. iShares MSCI South Korea Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWZ	iShares Inc. iShares MSCI Brazil Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWZS	iShares Trust ETF	-099	9/29/2010	Link to Circular
EXB	Claymore Exchange-Traded Fund Trust 2	-098	10/24/08 - 11/6/08	Link to Circular
EXI	iShares Trust iShares S&P Global Industrials Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EXT	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EYJ	Citigroup Funding, Inc.	-031	2/12/2009	Link to Circular
EYW	Citigroup Funding, Inc.	-030	2/12/2009	Link to Circular
EZA	iShares, Inc. iShares MSCI South Africa Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EZJ	ProShares Ultra MSCI Japan ETF	-124	6/12/2009	Link to Circular
EZM	WisdomTree MidCap Earnings Fund	-083	10/24/08 - 11/6/08	Link to Circular
EZU	ISHARES INC MSCI EMU INDEX	-160	10/24/08 - 11/6/08	Link to Circular
EZY	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
FAA	Claymore ETF Trust 2	-068	3/18/2009	Link to Circular
FAB	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FAD	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FAN	First Trust Exchange-Traded Fund Trust II	-003	1/23/2009	Link to Circular

FAS	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
FAZ	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
FBT	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FCG	FIRST TR ISE REVERE NAT GAS IN COM	-078	10/24/08 - 11/6/08	Link to Circular
FCGL	Direxion Funds Daily Natural Gas Related Bull 2X Shares ETF	-073	7/19/2010	Link to Circular
FCGS	Direxion Funds Daily Natural Gas Related Bear 2X Shares ETF	-073	7/19/2010	Link to Circular
FCHI	iShares Trust	-007	1/23/2009	Link to Circular
FCV	FaithShares Catholic Values Fund	-048	5/5/2010	Link to Circular
FDD	First Trust Exchange-Traded Fund II	-030	10/24/08 - 11/6/08	Link to Circular
FDL	First Trust Exchange-Traded Fund	-045	10/24/08 - 11/6/08	Link to Circular
FDM	FIRST TR DJS MICROCAP INDEX FD COM SHS ANNUAL	-045	10/24/08 - 11/6/08	Link to Circular
FDN	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FDV	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FEU	SPDR Index Shares Funds DJ STOXX 50 ETF Shares of Beneficial Interest	-174	10/24/08 - 11/6/08	Link to Circular
FEX	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FEZ	SPDR Index Shares Funds DJ EURO STOXX 50 ETF Shares of Beneficial Interest	-174	10/24/08 - 11/6/08	Link to Circular
FFR	First Trust Exchange-Traded Fund II	-030	10/24/08 - 11/6/08	Link to Circular
FGD	First Trust Exchange-Traded Fund II	-031	10/24/08 - 11/6/08	Link to Circular

FIO	ISHARES TR INDL OFF INDEX	-159	10/24/08 - 11/6/08	Link to Circular
FIVZ	PIMCO 3-7 Year U.S. Treasury Index Fund	-163	12/2/2009	Link to Circular
FIW	FIRST TR ISE WATER INDEX FD COM	-078	10/24/08 - 11/6/08	Link to Circular
FKL	FaithShares Lutheran Values Fund	-059	5/27/2010	Link to Circular
FLAT	iPath US Treasury Flattenr ETN	-085	8/13/2010	Link to Circular
FLM	FIRST TR ISE GLOBAL ENGR & CON COM	-142	10/24/08 - 11/6/08	Link to Circular
FMV	FaithShares Methodist Values Fund	-048	5/5/2010	Link to Circular
FNI	FIRST TR ISE CHINDIA INDEX FD COM	-084	10/24/08 - 11/6/08	Link to Circular
FNX	First Trust Exchange-Traded Fund	-076	10/24/08 - 11/6/08	Link to Circular
FOA	Merrill Lynch & Co., Inc.	-019	2/5/2009	Link to Circular
FOC	FaithShares Christian Values Fund	-048	5/5/2010	Link to Circular
FPX	First Trust Exchange-Traded Fund	-045	10/24/08 - 11/6/08	Link to Circular
FRI	First Trust Exchange-Traded Fund	-077	10/24/08 - 11/6/08	Link to Circular
FRN	Claymore ETF Trust 2	-093	4/9/2009	Link to Circular
FTA	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FTC	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FTY	ISHARES TR REAL EST 50 IN	-159	10/24/08 - 11/6/08	Link to Circular
FUD	UBS AG ETN	-103	4/23/2009	Link to Circular

FUE	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-124	10/24/08 - 11/6/08	Link to Circular
FVI	First Trust/Value Line & Ibbotson Equity Allocation Fund	-155	10/24/08 - 11/6/08	Link to Circular
FVL	First Trust Value Line 100 Fund	-093	10/24/08 - 11/6/08	Link to Circular
FXA	CurrencyShares Australian Dollar Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXB	CurrencyShares British Pound Sterling Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXC	CurrencyShares Canadian Dollar Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXD	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXE	CurrencyShares Euro Trust Euro Currency Shares	-003	10/24/08 - 11/6/08	Link to Circular
FXF	CurrencyShares Swiss Franc Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXG	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXH	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXI	iShares FTSE/Xinhua China 25 Index Fund iShares FTSE/Xinhua China 25 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
FXL	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXM	CurrencyShares Mexican Peso Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXN	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXO	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXP	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
FXR	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular

FXS	CurrencyShares Swedish Krona Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXU	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXY	CurrencyShares Japanese Yen Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXZ	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FYX	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FZB	FaithShares Baptist Values Fund	-059	5/27/2010	Link to Circular
GAF	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GAZ	BARCLAYS BANK PLC ETN IPTH NTRL	-119	10/24/08 - 11/6/08	Link to Circular
GBB	Barclays Bank PLC iPath GBP/USD Exchange Rate ETN	-120	10/24/08 - 11/6/08	Link to Circular
GBF	iShares Trust iShares Lehman Government/Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
GCC	GreenHaven Continuous Commodity Index Fund	-121	10/24/08 - 11/6/08	Link to Circular
GCE	GS FIN CORP ETN CLAYMORE37	-151	10/24/08 - 11/6/08	Link to Circular
GDX	Market Vectors ETF Trust	-056	10/24/08 - 11/6/08	Link to Circular
GDXJ	Market Vectors Junior Gold Miners ETF	-157	11/13/2009	Link to Circular
GEX	Market Vectors Global Alternative Energy ETF Trust Shares of Beneficial Interest	-089	10/24/08 - 11/6/08	Link to Circular
GII	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GLD	streetTRACKS Gold Trust StreetTRACKS Gold Shares	-006	10/24/08 - 11/6/08	Link to Circular
GLJ	iShares 10+ Year Government Credit Bond Fund	-010	2/9/2010	Link to Circular

GLL	ProShares ETF Trust II	-185	12/23/2008	Link to Circular
GMF	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GML	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GMM	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GMMB	Grail McDonnell Intermediate Municipal Bond ETF	-015	2/19/2010	Link to Circular
GMTB	Grail McDonnell Core Taxable Bond ETF	-015	2/19/2010	Link to Circular
GNR	SPDR Index Shares Funds ETF	-094	9/13/2010	Link to Circular
GOE	Credit Suisse Group	-042	2/19/2009	Link to Circular
GRES	IQ ARB Global Resources ETF	-171	12/21/2009	Link to Circular
GRI	ALPS ETF Trust	-099	4/16/2009	Link to Circular
GRI	PowerShares Actively Managed ETF Trust	-113	5/7/2009	Link to Circular
GRID	First Trust NASDAQ Clean EDGE Smart Grid Infrastructure Index Fund ETF	-174	12/29/2009	Link to Circular
GRN	BARCLAYS BANK PLC ETN GLB CARB38	-128	10/24/08 - 11/6/08	Link to Circular
GRU	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-036	10/24/08 - 11/6/08	Link to Circular
GRV	Mars Hill Global Relative Value ETF	-070	7/13/2010	Link to Circular
GSC	S&P GSCI(TM) Enhanced Commodity Total Return Strategy Index ETN Index-Linked Notes due 2037	-148	10/24/08 - 11/6/08	Link to Circular
GSD	GlobalShares FTSE Developed Countries ex US Fund	-013	2/18/2010	Link to Circular
GSD	Old Mutual Global Shares Trust ETF	-102	10/7/2010	Link to Circular

GSG	iShares S&P GSCI Commodity-Indexed Trust Shares (Units of Beneficial Interest)	-071	10/24/08 - 11/6/08	Link to Circular
GSO	GlobalShares FTSE All-World ex US Fund	-049	5/5/2010	Link to Circular
GSO	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GSP	iPath Exchange Traded Notes due June 12, 2036 Linked to GSCI Total Return Index	-070	10/24/08 - 11/6/08	Link to Circular
GSR	GlobalShares FTSE Emerging Markets Fund	-009	2/8/2010	Link to Circular
GSW	GlobalShares FTSE All-World Fund	-049	5/5/2010	Link to Circular
GSW	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GSZ	GlobalShares FTSE All-Cap Asia Pacific ex Japan Fund	-049	5/5/2010	Link to Circular
GSZ	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GULF	WisdomTree Trust	-006	1/23/2009	Link to Circular
GUR	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GVI	iShares Trust iShares Lehman Intermediate Government/Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
GVT	Grail American Beacon Large Cap Value ETF	-118	5/14/2009	Link to Circular
GWL	streetTRACKS Index Shares Funds	-073	10/24/08 - 11/6/08	Link to Circular
GWO	Credit Suisse Group	-057	3/4/2009	Link to Circular
GWX	streetTRACKS Index Shares Funds	-073	10/24/08 - 11/6/08	Link to Circular
GXC	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GXF	Global X FTSE Nordic 30 ETF	-141	9/4/2009	Link to Circular

GXG	Global X Funds ETF	-088	4/2/2009	Link to Circular
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Symbol	Fund Name	Circular Number	First Date Traded on BZX	Links
AADR	WCM/BNY Mellon Focused Growth ADR ETF	-077	7/22/2010	Link to Circular
AAXJ	ISHARES TR MSCI ACJPN IDX	-051	10/24/08 - 11/6/08	Link to Circular
ACWI	iShares Trust - iShares MSCI ACWI Index Fund	-052	10/24/08 - 11/6/08	Link to Circular
ACWX	iShares Trust - iShares MSCI ACWI ex US Index Fund	-052	10/24/08 - 11/6/08	Link to Circular
ADE	DEUTSCHE BK AG LDN BRH ETN AUD/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
ADJ	Morgan Stanley & Co., Inc.	-035	2/12/2009	Link to Circular
ADRA	PowerShares Exchange-Traded Fund Trust - BLDRS Asia 50 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADRD	PowerShares Exchange-Traded Fund Trust - BLDRS Developed Markets 100 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADRE	PowerShares Exchange-Traded Fund Trust - BLDRS Emerging Markets 50 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADRU	PowerShares Exchange-Traded Fund Trust - BLDRS Europe 100 ADR Index Fund	-055	10/24/08 - 11/6/08	Link to Circular
ADZ	DEUTSCHE BK AG LDN BRH PSHS AGRI SHR38	-182	12/16/2008	Link to Circular
AEX	NETS TR AEX IDX NETHER	-048	10/24/08 - 11/6/08	Link to Circular
AFK	Market Vectors Africa Index	-050	10/24/08 - 11/6/08	Link to Circular
AGA	DEUTSCHE BK AG LDN BRH PS AGRI DS ETN	-014	10/24/08 - 11/6/08	Link to Circular
AGF	DEUTSCHE BK AG LDN BRH PS AGRI LG ETN	-047	10/24/08 - 11/6/08	Link to Circular
AGG	ISHARES TR LEHMAN AGG BND	-046	10/24/08 - 11/6/08	Link to Circular
AGQ	ProShares ETF Trust II	-185	12/23/2008	Link to Circular
AGZ	iShares Trust	-012	1/29/2009	Link to Circular
AIA	ISHARES TR S&P ASIA 50 IN	-054	10/24/08 - 11/6/08	Link to Circular
ALT	iShares Diversified Alternatives Trust	-159	11/18/2009	Link to Circular
AMJ	JP Morgan Chase & Co. ETN	-090	4/9/2009	Link to Circular
AMK	Morgan Stanley & Co., Inc. ETN	-091	4/9/2009	Link to Circular
AMM	Safety First Trust Index-Linked Notes	-105	4/30/2009	Link to Circular
AOA	iShares Trust	-009	1/26/2009	Link to Circular
AOK	iShares Trust	-009	1/26/2009	Link to Circular
AOM	iShares Trust	-009	1/26/2009	Link to Circular
AOR	iShares Trust	-009	1/26/2009	Link to Circular
ASO	AirShares EU Carbon Allowances Fund	-100	4/16/2009	Link to Circular
ATA	Safety First Trust Index-Linked Notes	-104	4/30/2009	Link to Circular
AUS	NETS S&P/ASX 200 Index Fund	-145	10/24/08 - 11/6/08	Link to Circular
AXD	Merrill Lynch & Co., Inc.	-018	2/5/2009	Link to Circular
AXDI	iShares MSCI ACWI ex US Consumer Discretionary Sector Index Fund	-075	7/20/2010	Link to Circular
AXEN	iShares MSCI ACWI ex US Energy Sector Index Fund	-075	7/20/2010	Link to Circular
AXF	AB Svensk Exportkredit	-043	2/19/2009	Link to Circular
AXFN	iShares MSCI ACWI ex US Financials Sector Index Fund	-047	5/5/2010	Link to Circular
AXHE	iShares MSCI ACWI ex US Health Care Sector Index Fund	-075	7/20/2010	Link to Circular
AXID	iShares MSCI ACWI ex US Industrials Sector Index Fund	-075	7/20/2010	Link to Circular
AXIT	iShares MSCI ACWI ex US Information Technology Sector Index Fund	-075	7/20/2010	Link to Circular
AXMT	iShares MSCI ACWI ex US Materials Sector Index Fund	-075	7/20/2010	Link to Circular
AXSL	iShares MSCI ACWI ex US Consumer Staples Sector Index Fund	-075	7/20/2010	Link to Circular
AXTE	iShares MSCI ACWI ex US Telecommunication Services Sector Index Fund	-075	7/20/2010	Link to Circular
AXUT	iShares MSCI ACWI ex US Utilities Sector Index Fund	-075	7/20/2010	Link to Circular
AYT	Barclays BK PLC Asia Curr ETN	-053	10/24/08 - 11/6/08	Link to Circular
BAB	PowerShares Build America Bond Portfolio ETF	-162	12/2/2009	Link to Circular
BABS	SPDR Nuveen Barclays Capital Build America Bond ETF	-054	5/27/2010	Link to Circular
BABZ	PIMCO Build America Bond Strategy Fund	-098	9/24/2010	Link to Circular
BBH	Biotech HOLDRs Trust Biotech HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BDD	DEUTSCHE BK AG LONDON BRH MTLN DBL ETN38	-092	10/24/08 - 11/6/08	Link to Circular
BDG	PowerShares DB Base Metals Long ETNs	-176	10/24/08 - 11/6/08	Link to Circular
BDH	Broadband HOLDRs Trust Broadband HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BGU	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
BGZ	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
BHH	B2B Internet HOLDRs Trust B2B Internet HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
BIB	ProShares Ultra Nasdaq Biotechnology	-035	4/9/2010	Link to Circular
BIK	SPDR INDEX SHS FDS S&P BRIC 40ETF	-095	10/24/08 - 11/6/08	Link to Circular
BIL	streetTRACKS Series Trust	-086	10/24/08 - 11/6/08	Link to Circular
BIS	ProShares UltraShort Nasdaq Biotechnology	-035	4/9/2010	Link to Circular
BIV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BJK	Market Vectors ETF Trust	-018	10/24/08 - 11/6/08	Link to Circular
BJV	AB Svensk Exportkredit	-060	3/4/2009	Link to Circular
BKF	iShares MSCI BRIC Index Fund	-054	10/24/08 - 11/6/08	Link to Circular
BLM	Citigroup Funding, Inc.	-010	1/29/2009	Link to Circular
BLV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BND	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BNZ	WisdomTree Trust ETF	-076	3/30/2009	Link to Circular
BOM	DEUTSCHE BK AG LONDON BRH PS MTL DDL ETN	-092	10/24/08 - 11/6/08	Link to Circular
BOS	DEUTSCHE BK AG LONDON BRH MTL SHRT ETN38	-092	10/24/08 - 11/6/08	Link to Circular
BPF	Merrill Lynch & Co., Inc.	-041	2/19/2009	Link to Circular
BRAF	Global X Brazil Financials ETF	-080	7/29/2010	Link to Circular
BRAQ	Global X Brazil Consumer ETF	-069	7/13/2010	Link to Circular
BRAZ	Brazil Mid Cap ETF	-066	6/24/2010	Link to Circular
BRF	Market Vectors Brazil Small-Cap ETF	-121	6/5/2009	Link to Circular
BRIL	Direxion Daily BRIC Bull 2X Shares	-037	4/14/2010	Link to Circular
BRIS	Direxion Daily BRIC Bear 2X Shares	-037	4/14/2010	Link to Circular
BRU	NETS TR BEL20 IDX BELG	-048	10/24/08 - 11/6/08	Link to Circular
BRXX	Emerging Global Shares INDXX Brazil Infrastructure Index Fund	-025	3/5/2010	Link to Circular
BSC	Deutsche Bank ELEMENTS	-127	10/24/08 - 11/6/08	Link to Circular
BSCB	Claymore BulletShares 2011 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCC	Claymore BulletShares 2012 Corporate Bond ETF	-062	6/9/2010	Link to Circular

BSCD	Claymore BulletShares 2013 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCE	Claymore BulletShares 2014 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCF	Claymore BulletShares 2015 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCG	Claymore BulletShares 2016 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSCH	Claymore BulletShares 2017 Corporate Bond ETF	-062	6/9/2010	Link to Circular
BSL	iPath Exchange Traded Notes	-119	5/14/2009	Link to Circular
BSR	The BearLinx Alerian MLP Select Index ETN	-101	10/24/08 - 11/6/08	Link to Circular
BSV	Vanguard Bond Index Funds	-087	10/24/08 - 11/6/08	Link to Circular
BTR	Merrill Lynch & Co., Inc.	-056	3/4/2009	Link to Circular
BVL	DEUTSCHE BK AG LDN BRH BG LARGE ETN	-110	10/24/08 - 11/6/08	Link to Circular
BVT	DEUTSCHE BK AG LDN BRH BG TTL ETN	-110	10/24/08 - 11/6/08	Link to Circular
BWV	iPath Exchange Traded Notes linked to the CBOE S&P 500 Buy/Write Index	-064	10/24/08 - 11/6/08	Link to Circular
BWX	SPDR Series Trust	-029	10/24/08 - 11/6/08	Link to Circular
BWZ	SPDR Series Trust ETF	-065	3/18/2009	Link to Circular
BXDB	Barclays Short B Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXDC	Barclays Short C Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXDD	Barclays Short D Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXUB	Barclays Long B Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BXUC	Barclays Long C Leveraged S&P 500 Total Return ETN	-005	1/21/2010	Link to Circular
BZF	The Brazil Fund, Inc. Common Stock	-016	10/24/08 - 11/6/08	Link to Circular
BZQ	ProShares Ultra MSCI Brazil	-125	6/25/2009	Link to Circular
BZZ	Citigroup Funding, Inc.	-033	2/12/2009	Link to Circular
CCX	WisdomTree Dreyfus Commodity Currency Fund	-100	10/1/2010	Link to Circular
CEW	WisdomTree Dreyfus Emerging Currency Fund	-114	5/14/2009	Link to Circular
CFT	iShares Trust iShares Lehman Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CGW	Claymore Exchange-Traded Fund Trust 2	-080	10/24/08 - 11/6/08	Link to Circular
CHIB	Global X China Technology	-175	12/29/2009	Link to Circular
CHIE	Global X China Energy ETF	-177	1/5/2010	Link to Circular
CHII	Global X China Industrials ETF	-164	12/4/2009	Link to Circular
CHIM	Global X China Materials ETF	-011	2/10/2010	Link to Circular
CHIQ	Global X China Consumer ETF	-164	12/4/2009	Link to Circular
CHIX	Global X China Financials ETF	-167	12/15/2009	Link to Circular
CHXX	Emerging Global Shares INDXX China Infrastructure Index Fund	-021	2/24/2010	Link to Circular
CIU	iShares Trust iShares Lehman Intermediate Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CLY	iShares 10+ Year Credit Bond Fund	-010	2/9/2010	Link to Circular
CMD	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
CMF	iShares Trust	-162	10/24/08 - 11/6/08	Link to Circular
CNDA	IQ Canada Small Cap ETF	-031	3/25/2010	Link to Circular
CNY	Morgan Stanley Market Vectors Currency ETN	-087	4/2/2009	Link to Circular
COPX	Global X Copper Miners ETF	-041	4/26/2010	Link to Circular
CORN	Teucrium Corn Fund ETF	-065	6/15/2010	Link to Circular
CORP	PIMCO ETF Trust Exchange Traded Fund	-097	9/23/2010	Link to Circular
COW	BARCLAYS BANK PLC ETN IPTH LVSTK	-119	10/24/08 - 11/6/08	Link to Circular
CPI	IQ CPI Inflation Hedged ETF	-171	12/21/2009	Link to Circular
CQQQ	Claymore China Technology ETF	-168	12/16/2009	Link to Circular
CRBA	ALPS Thomson Reuters/Jefferies CRB Global Agriculture Equity Index Fund	-029	3/5/2010	Link to Circular
CRBI	ALPS Thomson Reuters/Jefferies CRB Global Industrial Metals Equity Index Fund	-029	3/5/2010	Link to Circular
CRBO	Global Commodity Equity Index Fund	-144	9/24/2009	Link to Circular
CRJ	Merrill Lynch & Co., Inc. ETN	-084	3/30/2009	Link to Circular
CRO	Claymore Exchange-Traded Fund Trust 2	-090	10/24/08 - 11/6/08	Link to Circular
CSD	Claymore Exchange-Traded Fund Trust	-043	10/24/08 - 11/6/08	Link to Circular
CSJ	iShares Trust iShares Lehman 1-3 Year Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
CSLS	Credit Suisse Long/Short Liquid Index Exchange Traded Notes	-043	5/5/2010	Link to Circular
CSM	ProShares Credit Suisse 130/30 ETF	-131	8/5/2009	Link to Circular
CU	First Trust ISE Global Copper Index Fund	-038	4/16/2010	Link to Circular
CUD	DEUTSCHE BK AG LDN BRH ETN CAD/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
CUT	Claymore Exchange-Traded Fund Trust 2	-163	10/24/08 - 11/6/08	Link to Circular
CVY	Claymore/Zacks Yield Hog ETF	-043	10/24/08 - 11/6/08	Link to Circular
CWB	SPDR Barclays Capital Convertible Bond ETF	-102	4/23/2009	Link to Circular
CWI	streetTRACKS Index Shares Funds	-042	10/24/08 - 11/6/08	Link to Circular
CXA	SPDR Series Trust	-023	10/24/08 - 11/6/08	Link to Circular
CYB	Wisdomtree Dreyfus Chinese Yuan Fund	-016	10/24/08 - 11/6/08	Link to Circular
CZA	Claymore Exchange-Traded Fund Trust	-074	10/24/08 - 11/6/08	Link to Circular
CZI	Direxion Funds Daily China Bear 3x Shares	-170	12/21/2009	Link to Circular
CZM	Direxion Funds Daily China Bull 3x Shares	-170	12/21/2009	Link to Circular
DAG	Deutsche BK AG LDN BRH PS AGRI DL ETN	-047	10/24/08 - 11/6/08	Link to Circular
DAX	NETS DAX Index Fund	-144	10/24/08 - 11/6/08	Link to Circular
DBA	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBB	PowerShares DB Multi-Sector Commodity Trust	-116	10/24/08 - 11/6/08	Link to Circular
DBC	POWERSHARES DB CMDTY IDX TRACK UNIT BEN INT	-118	10/24/08 - 11/6/08	Link to Circular
DBE	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBN	WisdomTree Trust WisdomTree International Basic Materials Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBO	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBP	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBR	WisdomTree Trust WisdomTree International Health Care Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBS	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DBT	WisdomTree Trust WisdomTree International Technology Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBU	WisdomTree Trust WisdomTree International Utilities Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DBV	PowerShares DB G10 Currency Harvest Fund	-005	10/24/08 - 11/6/08	Link to Circular
DDG	ProShares Trust	-178	12/1/2008	Link to Circular
DDI	WisdomTree Trust WisdomTree International Industrial Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular

DDM	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DDP	DEUTSCHE BK AG LDN BRH PS CMDTY SH ETN	-181	12/16/2008	Link to Circular
DEB	WisdomTree Trust WisdomTree Europe Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DEE	DEUTSCHE BK AG LDN BRH PS CMDT DS ETN	-181	12/16/2008	Link to Circular
DEF	Claymore Exchange-Traded Fund Trust	-043	10/24/08 - 11/6/08	Link to Circular
DEM	WisdomTree Emerging Markets High-Yielding Equity Fund Shares of beneficial interest	-161	10/24/08 - 11/6/08	Link to Circular
DENT	Advisor Dent Tactical ETF	-149	10/9/2009	Link to Circular
DES	WisdomTree Trust WisdomTree SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DEV	Merrill Lynch & Co., Inc.	-021	2/12/2009	Link to Circular
DEW	WisdomTree Trust WisdomTree Europe High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DFE	WisdomTree Trust WisdomTree Europe SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DFJ	WisdomTree Trust WisdomTree Japan SmallCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DGG	WisdomTree Trust WisdomTree International Communications Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DGK	Merrill Lynch & Co., Inc. ETN	-077	3/30/2009	Link to Circular
DGL	PowerShares DB Multi-Sector Commodity Trust	-004	10/24/08 - 11/6/08	Link to Circular
DGP	DEUTSCHE BK AG LDN BRH PS GOLD DL ETN	-013	10/24/08 - 11/6/08	Link to Circular
DGS	WISDOMTREE TRUST EMG MKTS SMCAP	-028	10/24/08 - 11/6/08	Link to Circular
DGT	SPDR SERIES TRUST DJ GLB TITANS	-174	10/24/08 - 11/6/08	Link to Circular
DGZ	DEUTSCHE BK AG LDN BRH PS GOLD SH ETN	-013	10/24/08 - 11/6/08	Link to Circular
DHS	WisdomTree Trust WisdomTree High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DIG	PROSHARES TR ULTRA O&G PRO	-002	10/24/08 - 11/6/08	Link to Circular
DIM	WisdomTree Trust WisdomTree International MidCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DJCI	UBS E-Tracs Dow Jones-UBS Commodity Exchange-Traded Notes	-051	5/18/2010	Link to Circular
DJP	iPath Exchanged Traded Notes due June 12, 2036 Linked to Dow Jones - AIG Commodity Index Total Return	-069	10/24/08 - 11/6/08	Link to Circular
DKA	WisdomTree Trust WisdomTree International Energy Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DLBL	iPath US Treasury Long Bond Bull ETN	-085	8/13/2010	Link to Circular
DLBS	iPath US Treasury Long Bond Bear ETN	-085	8/13/2010	Link to Circular
DLN	WisdomTree Trust WisdomTree LargeCap Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DLs	WisdomTree Trust WisdomTree International SmallCap Dividend, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DMM	MacroShares Major Metro Housing Up Trust	-129	8/5/2009	Link to Circular
DND	WisdomTree Trust WisdomTree Pacific ex-Japan Total Dividend, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DNH	WisdomTree Trust WisdomTree Pacific ex-Japan High Yielding Equity, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DNL	WisdomTree Trust WisdomTree Japan High-Yielding Equity, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DOD	DEUTSCHE BK AG LONDON ETN DJ HY 2022	-149	10/24/08 - 11/6/08	Link to Circular
DOG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DOL	WisdomTree Trust WisdomTree International LargeCap Dividend, Shares of beneficial interest,	-173	10/24/08 - 11/6/08	Link to Circular
DON	WisdomTree Trust WisdomTree MidCap Dividend, Shares of beneficial interest, \$0.001par value	-173	10/24/08 - 11/6/08	Link to Circular
DOO	WisdomTree Trust WisdomTree International Dividend Top 100, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DPC	WisdomTree Trust WisdomTree International Consumer Cyclical Sector Fund	-173	10/24/08 - 11/6/08	Link to Circular
DPK	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
DPN	WisdomTree Trust WisdomTree International Consumer Non-Cyclical Sector Fund	-173	10/24/08 - 11/6/08	Link to Circular
DPU	DEUTSCHE BK AG LDN BRH PS CMDT LG ETN	-181	12/16/2008	Link to Circular
DRF	WisdomTree Trust WisdomTree International Financial Sector Fund, Shares of beneficial interest	-173	10/24/08 - 11/6/08	Link to Circular
DRN	Direxion Funds Daily Real Estate Bull 3x Shares	-130	8/5/2009	Link to Circular
DRR	Morgan Stanley & Co., Inc.	-014	2/5/2009	Link to Circular
DRV	Direxion Funds Daily Real Estate Bear 3x Shares	-130	8/5/2009	Link to Circular
DRW	WisdomTree Trust	-099	10/24/08 - 11/6/08	Link to Circular
DSC	SPDR SERIES TRUST DJWS SMALL CAP	-174	10/24/08 - 11/6/08	Link to Circular
DSG	SPDR SERIES TRUST DJWS SCAP GR	-174	10/24/08 - 11/6/08	Link to Circular
DSI	ISHARES TR KLD 400 IDX FD	-160	10/24/08 - 11/6/08	Link to Circular
DSTJ	JP Morgan Treasury Futures ETN	-103	10/8/2010	Link to Circular
DSV	SPDR SERIES TRUST DJWS SMCAP VL	-174	10/24/08 - 11/6/08	Link to Circular
DSXJ	JP Morgan Treasury Futures ETN	-103	10/8/2010	Link to Circular
DTD	WisdomTree Trust WisdomTree Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DTH	WisdomTree Trust WisdomTree DEFA High-Yielding Equity, Shares of beneficial interest, \$0.01 par value	-173	10/24/08 - 11/6/08	Link to Circular
DTN	WisdomTree Trust WisdomTree Dividend Top 100, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DTO	DEUTSCHE BK AG LONDON BRH PS CRD OIL ETN	-180	12/16/2008	Link to Circular
DTUL	iPath US Treasury 2-Year Bull ETN	-085	8/13/2010	Link to Circular
DTUS	iPath US Treasury 2-Year Bear ETN	-085	8/13/2010	Link to Circular
DTYL	iPath US Treasury 10-Year Bull ETN	-085	8/13/2010	Link to Circular
DTYS	iPath US Treasury 10-Year Bear ETN	-085	8/13/2010	Link to Circular
DUG	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DVK	AB Svensk Exportkredit	-037	2/12/2009	Link to Circular
DVY	iShares Trust iShares Dow Jones Select Dividend Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
DWM	WisdomTree Trust WisdomTree DEFA, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DWX	SPDR Index Shares Funds	-140	10/24/08 - 11/6/08	Link to Circular
DXD	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
DXJ	WisdomTree Trust WisdomTree Japan Total Dividend, Shares of beneficial interest, \$0.001 par value	-173	10/24/08 - 11/6/08	Link to Circular
DXO	DEUTSCHE BK AG LONDON BRH PS CR OIL DD ETN	-180	12/16/2008	Link to Circular
DYY	DEUTSCHE BK AG LDN BRH PS CMDT DL ETN	-181	12/16/2008	Link to Circular
DZK	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
DZZ	DEUTSCHE BK AG LDN BRH PS GOLD DS ETN	-013	10/24/08 - 11/6/08	Link to Circular
EAP	Citigroup Funding, Inc.	-023	2/12/2009	Link to Circular
ECB	Citigroup Funding, Inc.	-027	2/12/2009	Link to Circular
ECB	Citigroup Funding, Inc. ELKS	-092	4/9/2009	Link to Circular
ECH	ISHARES INC MSCI CHILE INVES	-054	10/24/08 - 11/6/08	Link to Circular
ECNS	iShares Trust ETF	-099	9/29/2010	Link to Circular
ECON	EGA Emerging Global Shares Trust ETF	-093	9/13/2010	Link to Circular
EDC	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular
EDV	Vanguard Extended Duration Treasury Index Fund	-166	10/24/08 - 11/6/08	Link to Circular
EDZ	Direxion Shares ETF Trust	-002	1/21/2009	Link to Circular

EEB	Claymore/BNY BRIC ETF	-043	10/24/08 - 11/6/08	Link to Circular
EEG	EGA Emerging Global Shares Trust ETF	-137	8/20/2009	Link to Circular
EEH	ELEMENTS (SM) Linked to the SPECTRUM Large Cap U.S. Sector Momentum Index	-106	10/24/08 - 11/6/08	Link to Circular
EEM	iShares, Inc. iShares MSCI Emerging Markets Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EEN	Claymore Exchange-Traded Fund Trust 2	-082	10/24/08 - 11/6/08	Link to Circular
EEO	EGA Emerging Global Shares Trust ETF	-120	6/5/2009	Link to Circular
EES	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EET	ProShares Ultra MSCI Emerging Markets ETF	-124	6/12/2009	Link to Circular
EEV	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EEZ	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EFA	iShares Trust iShares MSCI EAFE Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EFG	iShares Trust iShares MSCI Growth Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
EFN	Emerging Markets Financials Titans Index Fund	-148	9/24/2009	Link to Circular
EFO	ProShares Ultra MSCI EAFE ETF	-124	6/12/2009	Link to Circular
EFU	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EFV	iShares Trust iShares MSCI Value Index Fund	-039	10/24/08 - 11/6/08	Link to Circular
EFZ	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
EGB	DEUTSCHE BK AG LDN BRH ETN GBP/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
EGK	Citigroup Funding, Inc.	-024	2/12/2009	Link to Circular
EGPT	Market Vectors Egypt Index Exchange-Traded Fund	-020	2/24/2010	Link to Circular
EHC	Citigroup Funding, Inc.	-026	2/12/2009	Link to Circular
EHP	Citigroup Funding, Inc.	-028	2/12/2009	Link to Circular
EIDO	iShares MSCI Indonesia Investable Market Index Fund	-056	5/27/2010	Link to Circular
EIRL	iShares MSCI Ireland Capped Investable Market Index Fund	-056	5/27/2010	Link to Circular
EIS	iShares Trust	-062	3/9/2009	Link to Circular
EKH	Europe 2001 HOLDRs Trust Europe 2001 HOLDRs Trust	-037	10/24/08 - 11/6/08	Link to Circular
EKJ	Citigroup Funding, Inc.	-029	2/12/2009	Link to Circular
ELD	WisdomTree Emerging Markets Local Debt Fund	-084	8/13/2010	Link to Circular
ELG	SPDR SERIES TRUST DJWS LGCAP GRW	-174	10/24/08 - 11/6/08	Link to Circular
ELJ	Citigroup Funding, Inc.	-025	2/12/2009	Link to Circular
ELR	SPDR SERIES TRUST DJWS LGCAP	-174	10/24/08 - 11/6/08	Link to Circular
ELV	SPDR SERIES TRUST DJWS LGCAP VAL	-174	10/24/08 - 11/6/08	Link to Circular
EMFN	iShares MSCI Emerging Markets Financials Sector Index Fund	-047	5/5/2010	Link to Circular
EMG	SPDR SERIES TRUST DJWS MIDCAP GR	-174	10/24/08 - 11/6/08	Link to Circular
EMIF	iShares S&P Emerging Markets Infra. Index Fund	-133	8/13/2009	Link to Circular
EMLC	Market Vectors Emerging Markets Local Currency Bond ETF	-079	7/27/2010	Link to Circular
EMM	SPDR SERIES TRUST DJWS MIDCAP	-174	10/24/08 - 11/6/08	Link to Circular
EMMT	iShares MSCI Emerging Markets Materials Sector Index Fund	-047	5/5/2010	Link to Circular
EMT	EGA Emerging Global Shares Trust ETF	-120	6/5/2009	Link to Circular
EMV	SPDR SERIES TRUST DJWS MCAP VLUE	-174	10/24/08 - 11/6/08	Link to Circular
ENY	Claymore/SWM Canadian Energy Income Index ETF	-098	10/24/08 - 11/6/08	Link to Circular
ENZL	iShares MSCI New Zealand Investable Market Index Fund	-090	9/2/2010	Link to Circular
EPHE	iShares Trust ETF	-099	9/29/2010	Link to Circular
EPI	WISDOMTREE TRUST INDIA ENRGS FD	-133	10/24/08 - 11/6/08	Link to Circular
EPOL	iShares MSCI Poland Investable Market Index Fund	-061	5/28/2010	Link to Circular
EPP	iShares, Inc. iShares MSCI Pacific ex-Japan Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EPS	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EPU	iShares MSCI All Peru Capped Index Fund	-127	7/1/2009	Link to Circular
EPV	ProShares Ultra MSCI Europe	-125	6/25/2009	Link to Circular
EQL	ALPS Equal Sector Weight ETF	-135	8/13/2009	Link to Circular
ERE	DEUTSCHE BK AG LDN BRH ETN EUR/USD 23	-049	10/24/08 - 11/6/08	Link to Circular
ERO	Barclays Bank PLC iPath EUR/USD Exchange Rate ETN	-120	10/24/08 - 11/6/08	Link to Circular
ERX	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
ERY	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
ESM	Citigroup Funding, Inc.	-067	3/18/2009	Link to Circular
ESR	iShares MSCI Emerging Markets Eastern Europe Index Fund	-152	10/9/2009	Link to Circular
EU	WISDOMTREE TRUST DREYF EURO ETF	-016	10/24/08 - 11/6/08	Link to Circular
EUFN	iShares MSCI Europe Financials Sector Index Fund	-047	5/5/2010	Link to Circular
EUM	PROSHARES TR SHRT MSCI EMRG	-002	10/24/08 - 11/6/08	Link to Circular
EUO	ProShares ETF Trust II	-184	12/23/2008	Link to Circular
EUSA	iShares MSCI USA Index Fund	-056	5/27/2010	Link to Circular
EVX	Market Vectors ETF Trust	-056	10/24/08 - 11/6/08	Link to Circular
EWA	iShares Inc. iShares MSCI Australia Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWC	ISHARES INC MSCI CDA INDEX	-160	10/24/08 - 11/6/08	Link to Circular

EWX	SPDR Index Shares Funds ETF	-079	3/30/2009	Link to Circular
EWY	iShares Inc. iShares MSCI South Korea Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWZ	iShares Inc. iShares MSCI Brazil Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EWZS	iShares Trust ETF	-099	9/29/2010	Link to Circular
EXB	Claymore Exchange-Traded Fund Trust 2	-098	10/24/08 - 11/6/08	Link to Circular
EXI	iShares Trust iShares S&P Global Industrials Sector Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EXT	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
EYJ	Citigroup Funding, Inc.	-031	2/12/2009	Link to Circular
EYW	Citigroup Funding, Inc.	-030	2/12/2009	Link to Circular
EZA	iShares, Inc. iShares MSCI South Africa Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
EZJ	ProShares Ultra MSCI Japan ETF	-124	6/12/2009	Link to Circular
EZM	WisdomTree MidCap Earnings Fund	-083	10/24/08 - 11/6/08	Link to Circular
EZU	ISHARES INC MSCI EMU INDEX	-160	10/24/08 - 11/6/08	Link to Circular
EZY	WisdomTree Trust	-083	10/24/08 - 11/6/08	Link to Circular
FAA	Claymore ETF Trust 2	-068	3/18/2009	Link to Circular
FAB	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FAD	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FAN	First Trust Exchange-Traded Fund Trust II	-003	1/23/2009	Link to Circular
FAS	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
FAZ	Direxion Shares ETF Trust	-177	11/20/2008	Link to Circular
FBT	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FCG	FIRST TR ISE REVERE NAT GAS IN COM	-078	10/24/08 - 11/6/08	Link to Circular
FCGL	Direxion Funds Daily Natural Gas Related Bull 2X Shares ETF	-073	7/19/2010	Link to Circular
FCGS	Direxion Funds Daily Natural Gas Related Bear 2X Shares ETF	-073	7/19/2010	Link to Circular
FCHI	iShares Trust	-007	1/23/2009	Link to Circular
FCV	FaithShares Catholic Values Fund	-048	5/5/2010	Link to Circular
FDD	First Trust Exchange-Traded Fund II	-030	10/24/08 - 11/6/08	Link to Circular
FDL	First Trust Exchange-Traded Fund	-045	10/24/08 - 11/6/08	Link to Circular
FDM	FIRST TR DJS MICROCAP INDEX FD COM SHS ANNUAL	-045	10/24/08 - 11/6/08	Link to Circular
FDN	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FDV	First Trust Exchange-Traded Fund	-044	10/24/08 - 11/6/08	Link to Circular
FEU	SPDR Index Shares Funds DJ STOXX 50 ETF Shares of Beneficial Interest	-174	10/24/08 - 11/6/08	Link to Circular
FEX	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FEZ	SPDR Index Shares Funds DJ EURO STOXX 50 ETF Shares of Beneficial Interest	-174	10/24/08 - 11/6/08	Link to Circular
FFR	First Trust Exchange-Traded Fund II	-030	10/24/08 - 11/6/08	Link to Circular
FGD	First Trust Exchange-Traded Fund II	-031	10/24/08 - 11/6/08	Link to Circular
FIO	ISHARES TR INDL OFF INDEX	-159	10/24/08 - 11/6/08	Link to Circular
FIVZ	PIMCO 3-7 Year U.S. Treasury Index Fund	-163	12/2/2009	Link to Circular
FIW	FIRST TR ISE WATER INDEX FD COM	-078	10/24/08 - 11/6/08	Link to Circular
FKL	FaithShares Lutheran Values Fund	-059	5/27/2010	Link to Circular
FLAT	iPath US Treasury Flatteners ETN	-085	8/13/2010	Link to Circular
FLM	FIRST TR ISE GLOBAL ENGR & CON COM	-142	10/24/08 - 11/6/08	Link to Circular
FMV	FaithShares Methodist Values Fund	-048	5/5/2010	Link to Circular
FNI	FIRST TR ISE CHINDIA INDEX FD COM	-084	10/24/08 - 11/6/08	Link to Circular
FNX	First Trust Exchange-Traded Fund	-076	10/24/08 - 11/6/08	Link to Circular
FOA	Merrill Lynch & Co., Inc.	-019	2/5/2009	Link to Circular
FOC	FaithShares Christian Values Fund	-048	5/5/2010	Link to Circular
FPX	First Trust Exchange-Traded Fund	-045	10/24/08 - 11/6/08	Link to Circular
FRI	First Trust Exchange-Traded Fund	-077	10/24/08 - 11/6/08	Link to Circular
FRN	Claymore ETF Trust 2	-093	4/9/2009	Link to Circular
FTA	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FTC	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FTY	ISHARES TR REAL EST 50 IN	-159	10/24/08 - 11/6/08	Link to Circular
FUD	UBS AG ETN	-103	4/23/2009	Link to Circular
FUE	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-124	10/24/08 - 11/6/08	Link to Circular
FVI	First Trust/Value Line & Ibbotson Equity Allocation Fund	-155	10/24/08 - 11/6/08	Link to Circular
FVL	First Trust Value Line 100 Fund	-093	10/24/08 - 11/6/08	Link to Circular
FXA	CurrencyShares Australian Dollar Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXB	CurrencyShares British Pound Sterling Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXC	CurrencyShares Canadian Dollar Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXD	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXE	CurrencyShares Euro Trust Euro Currency Shares	-003	10/24/08 - 11/6/08	Link to Circular
FXF	CurrencyShares Swiss Franc Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXG	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXH	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXI	iShares FTSE/Xinhua China 25 Index Fund iShares FTSE/Xinhua China 25 Index Fund	-160	10/24/08 - 11/6/08	Link to Circular
FXL	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXM	CurrencyShares Mexican Peso Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXN	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXO	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXP	ProShares Trust	-002	10/24/08 - 11/6/08	Link to Circular
FXR	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXS	CurrencyShares Swedish Krona Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXU	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FXV	CurrencyShares Japanese Yen Trust Sponsored by Rydex Specialized Products LLC	-003	10/24/08 - 11/6/08	Link to Circular
FXZ	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FYX	First Trust Exchange-Traded AlphaDEX Fund	-076	10/24/08 - 11/6/08	Link to Circular
FZB	FaithShares Baptist Values Fund	-059	5/27/2010	Link to Circular
GAF	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GAZ	BARCLAYS BANK PLC ETN IPH NTRL	-119	10/24/08 - 11/6/08	Link to Circular
GBB	Barclays Bank PLC iPath GBP/USD Exchange Rate ETN	-120	10/24/08 - 11/6/08	Link to Circular

GBF	iShares Trust iShares Lehman Government/Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
GCC	GreenHaven Continuous Commodity Index Fund	-121	10/24/08 - 11/6/08	Link to Circular
GCE	GS FIN CORP ETN CLAYMORE37	-151	10/24/08 - 11/6/08	Link to Circular
GDX	Market Vectors ETF Trust	-056	10/24/08 - 11/6/08	Link to Circular
GDXJ	Market Vectors Junior Gold Miners ETF	-157	11/13/2009	Link to Circular
GEX	Market Vectors Global Alternative Energy ETF Trust Shares of Beneficial Interest	-089	10/24/08 - 11/6/08	Link to Circular
GII	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GLD	streetTRACKS Gold Trust StreetTRACKS Gold Shares	-006	10/24/08 - 11/6/08	Link to Circular
GLJ	iShares 10+ Year Government Credit Bond Fund	-010	2/9/2010	Link to Circular
GLL	ProShares ETF Trust II	-185	12/23/2008	Link to Circular
GMF	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GML	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GMM	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GMMB	Graill McDonnell Intermediate Municipal Bond ETF	-015	2/19/2010	Link to Circular
GMTB	Graill McDonnell Core Taxable Bond ETF	-015	2/19/2010	Link to Circular
GNR	SPDR Index Shares Funds ETF	-094	9/13/2010	Link to Circular
GOE	Credit Suisse Group	-042	2/19/2009	Link to Circular
GRES	IQ ARB Global Resources ETF	-171	12/21/2009	Link to Circular
GRI	ALPS ETF Trust	-099	4/16/2009	Link to Circular
GRI	PowerShares Actively Managed ETF Trust	-113	5/7/2009	Link to Circular
GRID	First Trust NASDAQ Clean EDGE Smart Grid Infrastructure Index Fund ETF	-174	12/29/2009	Link to Circular
GRN	BARCLAYS BANK PLC ETN GLB CARB38	-128	10/24/08 - 11/6/08	Link to Circular
GRU	AB Svensk Ekportkredit (Swedish Export Credit Corporation)	-036	10/24/08 - 11/6/08	Link to Circular
GRV	Mars Hill Global Relative Value ETF	-070	7/13/2010	Link to Circular
GSC	S&P GSCI(TM) Enhanced Commodity Total Return Strategy Index ETN Index-Linked Notes due 2037	-148	10/24/08 - 11/6/08	Link to Circular
GSD	GlobalShares FTSE Developed Countries ex US Fund	-013	2/18/2010	Link to Circular
GSD	Old Mutual Global Shares Trust ETF	-102	10/7/2010	Link to Circular
GSG	iShares S&P GSCI Commodity-Indexed Trust Shares (Units of Beneficial Interest)	-071	10/24/08 - 11/6/08	Link to Circular
GSO	GlobalShares FTSE All-World ex US Fund	-049	5/5/2010	Link to Circular
GSO	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GSP	iPath Exchange Traded Notes due June 12, 2036 Linked to GSCI Total Return Index	-070	10/24/08 - 11/6/08	Link to Circular
GSR	GlobalShares FTSE Emerging Markets Fund	-009	2/8/2010	Link to Circular
GSW	GlobalShares FTSE All-World Fund	-049	5/5/2010	Link to Circular
GSW	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GSZ	GlobalShares FTSE All-Cap Asia Pacific ex Japan Fund	-049	5/5/2010	Link to Circular
GSZ	Old Mutual Global Shares Trust ETF	-101	10/7/2010	Link to Circular
GULF	WisdomTree Trust	-006	1/23/2009	Link to Circular
GUR	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GVI	iShares Trust iShares Lehman Intermediate Government/Credit Bond Fund	-046	10/24/08 - 11/6/08	Link to Circular
GVT	Graill American Beacon Large Cap Value ETF	-118	5/14/2009	Link to Circular
GWL	streetTRACKS Index Shares Funds	-073	10/24/08 - 11/6/08	Link to Circular
GWO	Credit Suisse Group	-057	3/4/2009	Link to Circular
GWX	streetTRACKS Index Shares Funds	-073	10/24/08 - 11/6/08	Link to Circular
GXC	streetTRACKS Index Shares Funds	-063	10/24/08 - 11/6/08	Link to Circular
GXF	Global X FTSE Nordic 30 ETF	-141	9/4/2009	Link to Circular
GXG	Global X Funds ETF	-088	4/2/2009	Link to Circular