



Information Circular 2012-15

DATE: November 6, 2012
TO: Members of EDGX Exchange, Inc.
FROM: Member Services
RE: Summary Suspension of ThinkEquity LLC

EDGX Exchange, Inc. ("EDGX" or the Exchange) summarily suspends ThinkEquity LLC, from its membership in the Exchange, effective as of November 6, 2012, pursuant to EDGX Rule 7.1.

Please contact Member Services at 201-942-8220 – Option 3, or via email at memberservices@directedge.com, with any inquiries regarding this Information Circular.

Thank you for your continued support and assistance.

Kind Regards,

Direct Edge Member Services