

INFORMATION CIRCULAR



Information Circular 2014-04

DATE: February 18, 2014
TO: Members of EDGX Exchange, Inc.
FROM: Member Services
RE: Summary Suspension of Grace Financial Group, LLC

EDGX Exchange, Inc. (the “Exchange”) summarily suspends Grace Financial Group, LLC from its membership in the Exchange, effective as of February 18, 2014, pursuant to the Exchange’s Rule 7.1.

Please contact Member Services at 201-942-8220 – Option 3, or via email at memberservices@directedge.com, with any inquiries regarding this Information Circular.

Thank you for your continued support and assistance.

Kind Regards,

Direct Edge Member Services