



Cboe LIS Service Addendum

Participant Agreement Addendum

The Cboe LIS Service Addendum form (“**LIS Form**”) is for Cboe Europe Equities Participants wishing to use or offer one or more of the following services. This LIS Form is an addendum to the Participant Agreement between the Participant and Cboe Europe Limited (“**Cboe UK**”) or Cboe Europe B.V. (“**Cboe EU**”) or both (collectively “**Cboe**”). You will be notified once your LIS Form has been processed.

If the Participant has applied to the Cboe EU venue, this Agreement shall be conditional upon (i) the regulatory authorisations being granted to Cboe EU to provide its services and (ii) Cboe EU confirms that it will launch the operations.

Participant Information:

Name of Legal Entity	
Registered Address	
Contact Name	
Email address for the request of Designated Broker services	
Date of execution of the Participant Agreement: Cboe UK	
Date of Execution of the Participant Agreement: Cboe EU	
<i>Please note that the LIS Form shall extend to all Named Affiliates listed in the Participant Application Form</i>	

Cboe Venue: **Cboe UK** **Cboe EU**

Designated Broker Service:

Service	Description	Required (Tick Box)	Commencement Date
Designated Broker	A Participant who has an agreement with a Buy Side firm to offer execution and clearing services on Cboe LIS	<input type="checkbox"/>	

In this LIS Form, “**Cboe LIS User**” means a firm who is using the Cboe LIS Service, but is not a Cboe Europe Equities Participant.

Once enabled, the Participant will be notified when a Cboe LIS User requests that it acts as a Designated Broker on behalf of the Cboe LIS User. By completing this LIS Form, the Participant agrees to be named as a Designated Broker on external Cboe documentation, including on the Cboe website.

Direct LIS Broker Service:

Service	Description	Required (Tick Box)	Commencement Date
Direct LIS Broker	A Participant accessing the Cboe LIS service to submit IOIs and receive the resulting trades in their own name as set out in the Rules	<input data-bbox="1050 389 1102 439" type="checkbox"/>	

Introducing Broker:

Service	Description	Required (Tick Box)	Commencement Date
Introducing Broker	A Participant who has a relationship with a Cboe LIS User(s), but has outsourced its Designated Broker responsibilities to another Participant as defined within the Rules	<input type="checkbox"/>	
Legal entity of selected Designated Broker			

The persons mentioned below should be contacted in the event of a trade bust when acting as a Designated Broker or Direct LIS Broker. The onus is on the Participant to keep Cboe informed of any changes to this list.

Name	Title	E-mail	Telephone

The Participant hereby agrees that this Form shall be governed by the Participant Agreement executed between Cboe and the Participant. In case of conflict, the provisions of this addendum shall prevail. Unless otherwise provided herein, defined terms in this LIS Form shall have the same meaning ascribed to them in the Participant Agreement.

Once completed, please return the completed Form to:
 Cboe Equities Europe
 11 Monument Street, 5th Floor
 London, EC3R 8AF

It can also be emailed to: ParticipantServicesEurope@cboe.com
 Duly authorised for and behalf of:

Participant: _____

By (printed): _____

Signature: _____

Date: _____

Title: _____

For any enquiries please contact ParticipantServicesEurope@cboe.com or +44 20 7012 8902.