

UK Stamp Duty Reserve Tax (SDRT) Relief Form

All purchases on the Cboe Markets of UK shares will be subject to UK Stamp Duty Reserve Tax SDRT. However, some Participants may be able to claim intermediary relief from UK SDRT.

If any Participant believes that it can claim intermediary relief and it wants to apply for intermediary relief, then it is required to forward a completed version of this self-certified UK SDRT Intermediary Relief Form to Cboe. Alternatively, any Participant which has already been afforded intermediary status by HMRC should provide full details of its status to Cboe.

For any queries in relation to this Form, please contact +44 20 7012 8902 or e-mail ParticipantServicesEurope@cboe.com.

To Cboe Europe Equities
From _____

UK and Non-UK Based Cboe Markets Participants:

Name of Cboe Participant	
Registered Address	
CREST Participant Code	

We hereby apply, in accordance with sections 80A and 88A of the UK Finance Act 1986, for recognition as an Intermediary and confirm that we:

- i. carry on a bona fide business of dealing in shares; and
- ii. do not carry on an “excluded business” as defined within the Finance Act 1986.

Non-UK Based Cboe Markets Participants

UK Address where HM Revenue & Customs may inspect your records (this may be the address of the Cboe Markets Participants’ clearing agent where the clearing agent has agreed to provide this service):

Company Name	
Address	

Duly authorized for and on behalf of the Participant by:

Name (PRINTED) _____

Title _____

Date _____

Signature _____