## Application for approval by the Revenue Commissioners

## RECOGNISED INTERMEDIARY

**Under the relevant legislation[1] a recognised intermediary is not charged to stamp duty on a transfer to it of Irish securities where such transfer is not effected in connection with excluded business as defined in the relevant legislation.**

Name & Address of Applicant

(1) We confirm that we are a member firm of:

| | |
|---|---|
| the Irish Stock Exchange Limited | |
| the London Stock Exchange plc | |
| other designated exchange or market | |
| Specify | |

(2) **CREST Participant ID[2](s)**

(3) We hereby apply for approval as a recognised intermediary within the meaning of the relevant legislation.

In this respect we certify that –

a. we have considered the relevant legislation and confirm that we are an intermediary as defined in the relevant legislation;

b. we will not claim relief as a recognised intermediary in respect of any transfer of securities effected in connection with excluded business as defined in the relevant legislation;

and

c. we hereby consent to have the relevant records of the firm made available to an officer of the Revenue Commissioners, when so required, for the purposes of verifying compliance with the relevant legislation.

(4) The address at which the Revenue Commissioners can contact the applicant in relation to its accounting for stamp duty is as follows:

| | | | |
|---|---|---|---|
| Telephone: | | Email: | |

**Signed:**

**Please print name:**

**Capacity:**

***(Director, Company Secretary etc.)***

**Date:** dd/mm/yyyy

[1] The relevant legislation means section 75 of the Stamp Duties Consolidation Act 1999, as substituted by section 109 (1)(d) of the Finance Act 2007

[2] Specify *each* CREST participant ID for which approval is sought

Revenue