



Participant Notice

Ref: PN16/14

Dated: 09th December 2016

From: Participant Services

Subject: Confirmation of amendments to the Participant Manual and Rule Book

This Notice confirms a number of additions that Bats Europe ("Bats") has made to the Participant Manual and Rule Book reflecting the introduction of the new Bats LIS Service. Changes are effective from Friday 9th December.

The final version of the amended Participant Manual and Rule Book are attached to this Notice and will also be made available on the Bats website at the following link: <http://www.bats.com/europe/equities/support/regulation/>. A summary of the changes is provided in the table below.

Any queries about the Participant Manual and Rule Book should be addressed to Participant Services at ParticipantServicesEurope@bats.com or +44 (0) 20 7012 8927.

Participant Manual: Summary of amendments between RIE version 25 and RIE version 26

Page	Amendment
Cover	Change of date, change of version number
Page 7/8	Introduction of the description of Bats LIS service
Page 11	Additional description on how to use the Bats LIS Service
Page 12/13	Adjusted definition of Sponsored Access

Rule Book: Summary of amendments between RIE version 10 and RIE version 11

Page	Amendment
Cover	Change of date, change of version number
Page 2/3/4	Updated table of content and numbering
Page 5/6/7/8/9/10	Addition of the definition of Actionable IOI, Bats LIS Service, Bats LIS User, CFD, Designated Broker, Direct LIS Broker, Introducing broker and Non-actionable IOI
Page 14	Adjusted definition of Sponsored Access
Page 14	Definition of Bats LIS
Page 23	Introduction of rule 13.1.4
Page 23	Adjusted rule 13.2