

Participant Notice

Ref: PN14/04

Dated: 17th April 2014

From: Participant Services

Subject: Amendments to the Rules and Participant Manual

This notice confirms the changes to the Rules and Participant Manual that were proposed in Participant Notice 1402. The changes will become effective on Thursday 1 May 2014.

Marked up versions of the amended Rules and Participant Manual can be found on the BATS website at the following link: <http://www.batstrading.co.uk/regulation/library/>. Summaries of significant changes are provided in the tables below.

Rules: Summary of amendments between RIE version 5 and RIE version 6

Page	Amendment
Cover	Change of date and change of version number
Page 14	Amendment to Rule 11.1.5 – removal of price condition

Participant Manual: Summary of amendments between RIE version 6 and RIE version 7

Page	Amendment
Cover	Change of date and change of version number
Page 27	Minor wording change to description of Exchange Trade Reports