

## Participant Notice

Ref: PN13/10

Dated: 1<sup>st</sup> August 2013

From: Participant Services

### **Subject: Confirmation of proposed amendments to the Rules and Participant Manual**

Following the end of the consultation on the proposed changes to the Rules and Participant Manual detailed in PN1309, this notice confirms that the changes proposed to allow firms to cancel or amend single Participant Exchange Trade Reports will be implemented without further amendment. Confirmation of deferred publication will be published in a future Participant Notice.

Given that BATS received no adverse comments and the Rule changes relate to optional functionality, all changes will become effective Friday 16<sup>th</sup> August 2013.

Clean and marked up versions of the amended Rules and Participant Manual can be found on the BATS website at the following link: <http://www.batstrading.co.uk/regulation/library/>. Summaries of significant changes are provided in the tables below.

#### Rule Book: Summary of amendments between RIE version 2 and RIE version 3

Page	Amendment
Cover	Change of date and change of version number
Page 13	New Rule 10.13 – provision of the ability to cancel or amend single Participant Exchange Trade Reports
Page 13	Renumbering of existing Rule 10.13 to 10.14
Page 15	New Rule 11.14 – provision of the ability to request publication delay for Negotiated Transactions.

#### Participant Manual: Summary of amendments between RIE version 2 and RIE version 3

Page	Amendment
Cover	Change of date and change of version number
Pages 14-15	Correction of Rule references
Page 16	New guidance on electronic cancellation and amendment of Exchange Trade Reports

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Page 25	New guidance on delayed publication of Negotiated Transactions
Page 27	References to open and close of certification environment removed