

Participant Notice

Ref: PN13/09

Dated: 16th July 2013

From: Participant Services

Subject: Proposed amendments to the Rules and Participant Manual

This Notice summarises a number of amendments that BATS Chi-X Europe (“BATS”) is proposing to make to the Rules and Participant Manual. These changes are required to allow firms to cancel or amend single Participant Exchange Trade Reports and to request delayed publication for Negotiated Transactions.

Marked up versions of the amended Rules and Participant Manual are attached to this Notice and can also be found on the BATS website at the following link: <http://www.batstrading.co.uk/regulation/library/>. Summaries of significant changes are provided in the tables below.

Participants are invited to comment on the proposed changes by Tuesday 30th July 2013. Any comments or queries on the proposed changes should be addressed to Participant Services at ParticipantServicesEurope@bats.com or +44 (0) 20 7012 8927.

Rule Book: Summary of amendments between RIE version 2 and RIE version 3

Page	Amendment
Cover	Change of date and change of version number
Page 13	New Rule 10.13 – provision of the ability to cancel or amend single Participant Exchange Trade Reports
Page 13	Renumbering of existing Rule 10.13 to 10.14
Page 15	New Rule 11.14 – provision of the ability to request publication delay for Negotiated Transactions.

Participant Manual: Summary of amendments between RIE version 2 and RIE version 3

Page	Amendment
Cover	Change of date and change of version number

Pages 14-15	Correction of Rule references
Page 16	New guidance on electronic cancellation and amendment of Exchange Trade Reports
Page 25	New guidance on delayed publication of Negotiated Transactions
Page 27	References to open and close of certification environment removed