

## Participant Notice

Ref: PN13/08

Dated: 27<sup>th</sup> June 2013

From: Participant Services

### **Subject: Confirmation of proposed amendments to the Rules and Participant Manual**

Following the end of the consultation on the proposed changes to the Rules and Participant Manual detailed in PN1307, this notice confirms that all changes proposed will be implemented without further amendment.

Given that BATS received no adverse comments and the Rule changes relate to optional functionality, all changes will become effective Friday 28th June 2013.

Clean and marked up versions of the amended Rules and Participant Manual are attached to this Notice and can also be found on the BATS website at the following link: <http://www.batstrading.co.uk/regulation/library/>. Summaries of significant changes are provided in the tables below.

#### Rules: Summary of amendments between RIE Rule Book version 1 and RIE Rule Book version 2

Page	Amendment
Cover	Change of date and change of version number
Page 14	Additional paragraphs added to Rules 11.1.4 and 11.1.5 permitting Negotiated Transactions that are subject to conditions other than the current market price of the Security.

#### Participant Manual: Summary of amendments between RIE version 1 and RIE version 2

Page	Amendment
Cover	Change of date and change of version number
Page 24	Removal of Cycle strategy
Page 24	Additional guidance with respect to reporting very large trades
Page 25	Guidance on what might constitute conditions other than the current market price of the share.