

**Cboe Options Exchange  
Market-Maker Letter of Guarantee/  
Floor Broker Letter of Clearing Authorization**

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Name of Individual Trading Permit Holder (if applicable): \_\_\_\_\_

\_\_\_\_\_  
Name of TPH Organization

\_\_\_\_\_  
Acronym

**Market-Maker Letter of Guarantee**

☐ Market-Maker

☐ LMM

☐ DPM

In connection with the qualification of the above named Trading Permit Holder/TPH organization as a Market-Maker/LMM/DPM on the Exchange and pursuant to Cboe Options Rule 3.28, the undersigned Clearing Trading Permit Holder hereby guarantees and accepts financial responsibility for all transactions on the Exchange made by, or resulting from any orders, bids, offers, and other messages from the above named Trading Permit Holder/TPH organization when acting as a Market-Maker/LMM/DPM on the Exchange.

☐ **Floor Broker Letter of Clearing Authorization**

In connection with the qualification of the above named Trading Permit Holder as a Floor Broker on the Exchange and pursuant to Cboe Options Rule 6.72, the undersigned Clearing Trading Permit Holder accepts financial responsibility for all Exchange transactions made by the above named Trading Permit Holder.

Clearing Trading Permit Holder \_\_\_\_\_  
OCC # \_\_\_\_\_

Clearing Trading Permit Holder Representative (print) \_\_\_\_\_

Clearing Trading Permit Holder Representative (signature) \_\_\_\_\_

Clearing Trading Permit Holder Representative Title \_\_\_\_\_  
Date \_\_\_\_\_