

# Cboe C2 Options Exchange Give-Up Change Form

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**Clearing Trading Permit Holder (TPH) Rejecting the Trade**

**OCC #**

In signing this form, the signatory represents that it is authorized by the Clearing TPH to act on its behalf to reject the trade.

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Phone Number: \_\_\_\_\_ Email Address: \_\_\_\_\_

**Trade Information (attach copy of trade record):**

**Executing clearing number:** \_\_\_\_\_

**CMTA (if applicable):** \_\_\_\_\_

Class: \_\_\_\_\_ Expiration Month: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Strike Price: \_\_\_\_\_ Put or Call: \_\_\_\_\_ Volume: \_\_\_\_\_ Price: \_\_\_\_\_

Trade Date: \_\_\_\_\_ Trade Time: \_\_\_\_\_

Parties to the Trade \_\_\_\_\_

Reason for Rejecting the Trade:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**The new Give Up on the above trade will be (please check one):**

The executing Trading Permit Holder's Guarantor ("Guarantor")

Guarantor's Name and OCC #: \_\_\_\_\_

Another Clearing Trading Permit Holder ("Accepting Clearing Trading Permit Holder")\*

Accepting Clearing Trading Permit Holder's Name and OCC#: \_\_\_\_\_

\*The Accepting Clearing Trading Permit Holder must complete and submit to the Operations Support Center the "Cboe C2 Give-Up Change Form For Accepting Clearing Trading Permit Holders"

Please email the completed form to [giveupchange@cboe.com](mailto:giveupchange@cboe.com).

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**Operations Support Center Information (Only):**

Name: \_\_\_\_\_ Time CTMI Opened: \_\_\_\_\_ Give-up and trade checked: \_\_\_\_\_