

Notice No. 14-10

Date: July 1, 2014

Re: Amendments to Javelin SEF Rules

This Notice to Participants is issued to inform you of the rule amendments submitted by Javelin SEF, LLC ("Javelin") to the Commodity Futures Trading Commission on June 19, 2014.

Specifically, Javelin SEF has amended Rule 513. This rule amendment will be effective on July 03, 2014.

The following is a table that summarizes all of the rule amendments. A marked copy of the Javelin SEF Rules, which indicate the revisions with additions underscored and deletions overstruck as indicated, is attached as Exhibit A. A clean copy of the Javelin SEF Rules is attached as Exhibit B.

Rule Amendment	Explanation
Rule 513	Javelin SEF, LLC is amending Rule 513 in order to conform to the
	industry Standard for CTI Codes.

If you have any questions regarding this Notice to Participants, please contact Suellen Galish at suellen.galish@thejavelin.com.

## **EXHIBIT A**

## **Marked Copy of Rule Amendments**

### Rule 513. Customer Type Indicator Codes

At the time of order entry, all Participants shall identify each order submitted to Javelin SEF with the correct Customer type indicator code ("CTI Code"). The CTI Codes are as follows:

- CTI 1 <u>All orders that do not fall within the definition of CTI 2entered on behalf of the proprietary account of a Participant.</u>
- CTI 2 Aall orders entered on behalf of the proprietary account of a Participant that do not fall within the definition of CTI 1.

#### **EXHIBIT B**

#### **Rule Amendments**

# **Rule 513. Customer Type Indicator Codes**

At the time of order entry, all Participants shall identify each order submitted to Javelin SEF with the correct Customer type indicator code ("CTI Code"). The CTI Codes are as follows:

CTI1- All Orders that do not fall within the definition of CTI 2

CTI2- All orders entered on behalf of the proprietary account of a Participant.